# **Breaking Generator Symmetry**\*

**George Katsirelos** NICTA Sydney, Australia george.katsirelos@nicta.com.au nina.narodytska@nicta.com.au

Nina Narodytska NICTA and UNSW Sydney, Australia

**Toby Walsh** NICTA and UNSW Sydney, Australia toby.walsh@nicta.com.au

## Abstract

Dealing with large numbers of symmetries is often problematic. One solution is to focus on just symmetries that generate the symmetry group. Whilst there are special cases where breaking just the symmetries in a generating set is complete, there are also cases where no irredundant generating set eliminates all symmetry. However, focusing on just generators improves tractability. We prove that it is polynomial in the size of the generating set to eliminate all symmetric solutions, but NP-hard to prune all symmetric values. Our proof considers row and column symmetry, a common type of symmetry in matrix models where breaking just generator symmetries is very effective. We show that propagating a conjunction of lexicographical ordering constraints on the rows and columns of a matrix of decision variables is NP-hard.

#### 1 Introduction

A number of general methods have been proposed to eliminate symmetry from a problem. For example, we can post lexicographical ordering constraints to exclude symmetries of each solution [Crawford et al., 1996; Puget, 2006; Walsh, 2006]. As a second example, SBDS dynamically posts constraints on backtracking to eliminate symmetries of the explored search nodes [Backofen and Will, 1999; Gent and Smith, 2000]. One problem with such methods is that they typically need to post as many symmetry breaking constraints as there are symmetries. As problems can have an exponential number of symmetries, this can be costly. One option is to break only a subset of the problem's symmetries. Crawford et al. suggested breaking just those symmetries which generate the group [Crawford et al., 1996]. This is attractive as the size of the generator set is logarithmic in the size of the group, and many algorithms in computational group theory work on generators. Crawford et al. observed that whilst using just the generators may leave some symmetry, it eliminated all symmetry on a particular pigeonhole problem they proposed. However, it is worth noting that not all sets of generators of this pigeonhole problem eliminate all symmetry. Aloul et al. also suggested breaking only those symmetries corresponding to generators of the group [Aloul et al., 2002]. They demonstrated experimentally that breaking just those generator symmetries found by a graph automorphism program was effective on some SAT benchmarks [Aloul et al., 2003].

In this paper we focus on the generating sets of variable or value symmetries. We investigate first the completeness and tractability of breaking generator symmetries compared to breaking all symmetries. We show that while it is always tractable to post constaints that break generator symmetries, there are cases when this may not be sufficient to eliminate all symmetry despite the fact that eliminating all symmetry in these cases is tractable. Second, we address the tractability of pruning all generator symmetric values. That is, we consider the tractability of making domain consistent a symmetry breaking constraint that eliminates all generator symmetries. We show that even if posting constraints that break the generator symmetries is tractable, there exists a set of generators such that pruning all generator symmetric values is NP-hard.

One frequently occurring example of symmetry is row and column interchangeability in matrix models [Flener et al., 2002; Walsh, 2003]. In that case, it is tractable to post constraints that break all generator symmetries. However, we show that pruning all generator symmetric values in such a model is NP-hard. This solves the open challenge stated by Frisch and al. [Frisch et al., 2002] seven years ago:

"Global constraints for lexicographic orderings simultaneously along both rows and columns of a matrix would also present a significant challenge."

We prove that propagating completely a global constraint that lexicographically orders both the rows and columns of a matrix of variables is NP-hard.

#### Background 2

Constraint satisfaction problem. A constraint satisfaction problem (CSP) consists of a set of variables X, each with a finite domain of values, and a set of constraints. The domain of a variable X is denoted D(X). A constraint C is defined over a set of variables  $scope(C) \subseteq \mathbf{X}$  and it specifies allowed

<sup>\*</sup>NICTA is funded by the Australian Government's Department of Broadband, Communications, and the Digital Economy and the Australian Research Council.

combinations of values for the variables scope(C). Each allowed combination of values for the variables scope(C) is called a solution of C. A solution of a CSP is an assignment of a value to each variable that is also a solution of all its constraints. Backtracking search solvers construct partial assignments, enforcing a local consistency to prune the domains of the variables so that values which cannot appear in any extension of the current partial assignment to a solution are removed. We consider one of the most common local consistencies: domain consistency (DC). A value X = ais domain consistent in a constraint C iff the current partial assignment can be extended to a solution of C that includes X = a. Such a solution of C is called a *support* of X = a. A constraint is domain consistent iff all the values of variables in scope(C) are domain consistent. A CSP is domain consistent iff every constraint is domain consistent.

**Symmetry in CSP.** We will consider two types of symmetry. A *variable symmetry* is a permutation of the variables that preserves solutions. Formally, it is a bijection  $\sigma$  on the indices of variables such that if  $X_1 = d_1, \ldots, X_n = d_n$  is a solution then  $X_{\sigma(1)} = d_1, \ldots, X_{\sigma(n)} = d_n$  is also. A *value symmetry* is a permutation of the values that preserves solutions. Formally, it is a bijection  $\theta$  on the values such that if  $X_1 = d_1, \ldots, X_{\sigma(n)} = d_n$  is a solution then  $X_1 = \theta(d_1), \ldots, X_n = \theta(d_n)$  is also. As the inverse of a symmetry and the identity mapping are symmetries, the set of symmetries of a problem forms a group under composition. One method to deal with symmetry is to add constraints which eliminate some but not all of the symmetric solutions [Puget, 1993]. For example, Crawford *et al.* proposed a general method that posts lexicographically least solution in each symmetry class [Crawford *et al.*, 1996].

Consider a group of symmetries,  $\Sigma$ . For simplicity symmetries will be described as permutations that act on integers 1 to n (i.e. variable indices or domain values). Given a subset  $S \subseteq \Sigma$ , we write  $\langle S \rangle$  for the group generated by taking products of elements from S as well as their inverses. A generating set G of a group  $\Sigma$  has  $\Sigma = \langle G \rangle$ . The elements of a generating set are called generators. A generating set is irredundant iff no strict subset also generates the group. A special type of generating set generate all subgroups in a stabilizer chain. A stabilizer chain is defined in terms of a base, a permutation of 1 to n which we denote  $[b_1, \ldots, b_n]$ . The corresponding stabilizer chain is the sequence of subgroups  $G_0, \ldots, G_n$  defined by:

$$G_0 = \Sigma,$$
  $G_i = \{\sigma \in \Sigma \mid \forall j \le i.\sigma(b_j) = b_j\}$ 

A strong generating set S is a generating set whose elements can generate each subgroup in the stabilizer chain. That is,  $G_i = \langle S \cap G_i \rangle$ . A strong generating set is irredundant iff no strict subset is a strong generating set. As is the case for generating sets, the size of a strong generating set is at most  $\log_2 |G|$ , as a strong generator set can be computed from a generator set in polynomial time. Computer algebra systems like GAP contain efficient polynomial methods for computing strong generating sets based on the Scheier-Sims algorithm. Many operations on groups like membership testing are efficiently reducible to the computation of a strong generating set. Focusing symmetry breaking on a generating set has the advantage that it becomes tractable to eliminate all symmetric solutions.

# **3** Breaking generator symmetry

Strong generating sets are attractive as they make symmetry breaking more tractable Because the size of a (strong) generating set is always polynomial in the size of the CSP instance, it is polynomial to break (strong) generator symmetries. We simply post a lexicographical ordering constraint for each generator symmetry. Interestingly, breaking all symmetries in a generating set can even break all problem symmetries as we show in Example 1.

**Example 1** Consider interchangeable variables  $X_1$  to  $X_4$ . We describe this symmetry by the complete symmetry group  $S_4$ . An irredundant generating set for  $S_4$  is the identity mapping, the pair swap (1,2) and the rotation (2,3,4,1). To break the symmetry (1,2), we can post  $X_1 \leq X_2$ . To break the symmetry (2, 3, 4, 1), we can post  $[X_1, X_2, X_3, X_4] \leq_{\text{lex}}$  $[X_2, X_3, X_4, X_1]$ . However, these two symmetry breaking constraints do not eliminate all symmetry. For instance, they permit both  $X_1 = X_3 = 0$ ,  $X_2 = X_4 = 1$  and its symmetry  $X_1 = X_2 = 0$ ,  $X_3 = X_4 = 1$ . There is an alternative irredundant generating set (which is also an irredundant strong generating set) which breaks all symmetry. Consider the base [4, 3, 2, 1]. A strong generating set for this base is the set of permutations  $\{(1,2), (2,3), (3,4)\}$ . We can break these three symmetries with  $X_1 \leq X_2 \leq X_3 \leq X_4$ . These eliminate all variable interchangeability.

We might wonder if there is *always* an irredundant (strong) generating set which eliminates all symmetry. With row and column interchangeability in matrix models, it is not hard to see that no irredundant generating set eliminates all symmetry. However, in this case, we also know that breaking all symmetries is intractable [Bessiere *et al.*, 2004]. We show next that, even when breaking all symmetries is tractable, there exist cases where no irredundant generating set or irredundant strong generating set eliminates all symmetry.

**Observation 1** There exist variable and value symmetries for which symmetry breaking constraints based on any irredundant generating set or on any irredundant strong generating set fail to break all symmetry, even when breaking all symmetries is polynomial.

**Proof:** Consider the cyclic group  $C_4$ . Irrespective of the base, there are just two possible irredundant strong generating sets. These sets are also the only possible irredundant set of generators. They contain the identity and either the rotation symmetry (2, 3, 4, 1) or its inverse. Note that no element of  $C_4$  other than the identity mapping leaves any value unchanged. Hence, subgroups in the stabilizer chain contain just the identity mapping. A lexicographical ordering constraint [Frisch *et al.*, 2002; 2006] breaking this rotational symmetry will not eliminate all variable symmetry. Consider, for example:  $X_1X_2X_3X_4 \leq_{\text{lex}} X_2X_3X_4X_1$ . This breaks the rotation symmetry (2, 3, 4, 1). However, it

admits both  $X_1 = X_2 = X_3 = 0$ ,  $X_4 = 1$  and two of its rotations:  $X_1 = X_2 = X_4 = 0$ ,  $X_3 = 1$  and  $X_1 = X_3 = X_4 = 0$ ,  $X_2 = 1$ . Similarly, a lexicographical ordering constraint breaking this rotational symmetry will not eliminate all value symmetry. Consider, for example:  $X_1X_2X_3X_4 \leq_{\text{lex}} \theta(X_1)\theta(X_2)\theta(X_3)\theta(X_4)$  where  $\theta$  is the rotational symmetry (2, 3, 4, 1). This simplifies to  $X_1 < 4$ . This admits both  $X_1 = X_2 = X_3 = X_4 = 1$ and two of its rotations:  $X_1 = X_2 = X_3 = X_4 = 2$  and  $X_1 = X_2 = X_3 = X_4 = 3$ .  $\Box$ 

Breaking just the symmetries in a generating set does not eliminate all symmetry in general. However, there are some special cases where it does. For instance, with interchangeable values, breaking just the linear number of generator symmetries which swap adjacent values is enough [Walsh, 2007].

## **4** Pruning generator symmetric values

We now consider a common type of symmetry where breaking just the symmetries in a generating set has proven to be very effective in practice. Many problems are naturally modelled by a matrix of decision variables in which (some subset of) the rows and columns are interchangeable [Flener et al., 2002; Walsh, 2003]. For example, a simple but effective model of the balanced incomplete block design (BIBD) problem (prob028 from CSPLib.org [Gent and Walsh, 1999]) has a matrix of 0/1 variables in which the rows and the columns are freely interchangeable. It is infeasible to break all symmetry in this problem, as this was shown in [Bessiere et al., 2004] to be NP-hard. In contrast, breaking only the symmetries of a generating set which permute neighbouring rows and columns [Flener et al., 2002] is polynomial. For instance, we can use a linear number of LEX constraints to break all generator symmetries.

In order to improve the number of symmetric values pruned, [Carlsson and Beldiceanu, 2002] proposed a propagator for the LEXCHAIN constraint. This is the conjunction of all LEX constraints over the rows (columns) of the model. Enforcing domain consistency on a single LEXCHAIN constraint takes polynomial time and achieves stronger pruning compared to a set of LEX constraints. In fact, a single LEXCHAIN constraint removes all symmetric values in a model where only the rows (columns) of a matrix are interchangeable.

We might wonder whether two LEXCHAIN constraints are enough to prune all symmetric or all generator symmetric values in a matrix model where both rows and columns are interchangeable. Example 3 in [Flener *et al.*, 2002], shows that two LEXCHAIN constraints are not enough to prune all symmetric values in a matrix model with row and column interchangeability. In example 2, we show that two LEXCHAIN constraints are not enough even to prune all generator symmetric values.

**Example 2** Consider a 2 by 2 matrix of 0/1 decision variables in which rows and columns are completely interchangeable. Suppose our backtracking search method assigns  $X_{2,2} = 0$ . The constraints LEXCHAIN( $[X_{11}, X_{12}], [X_{21}, X_{22}]$ ) and LEXCHAIN( $[X_{11}, X_{21}], [X_{12}, X_{22}]$ ) break the two generator

symmetries. Both of them are domain consistent, while the value  $1 \in D(X_{11})$  is a generator symmetric value.  $\Box$ 

In order to prune all generator symmetric values we have therefore to enforce domain consistency on the conjunction of the two LEXCHAIN constraints over the rows and columns. We use DOUBLELEX to denote the global constraint that represents this conjunction.

**Definition 1** Let M be a matrix of decision variables such that rows and columns of M are fully interchangeable. The DOUBLELEX constraint holds iff the rows and columns of M are lexicographically ordered.

In spite of the encouraging result that the LEXCHAIN constraint has a polynomial domain consistency algorithm we will show that enforcing domain consistency on the DOUBLELEX constraint is *NP*-hard. This shows that it is *NP*-hard to eliminate all the generator symmetric values in a matrix model with interchangeable rows and columns.

**Theorem 1** Enforcing domain consistency on the DOUBLELEX constraint is NP-hard.

**Proof:** We present a reduction from an instance of 1-in-3SAT on positive clauses with n variables and m clauses to a partially instantiated instance of the DOUBLELEX constraint. Throughout, we use the following example to illustrate the reduction:

$$c_1 = (x_1 \lor x_2 \lor x_3), c_2 = (x_1 \lor x_2 \lor x_4)$$

The presentation is simpler if we reduce to a constraint that orders the columns in lexicographic order and the rows in reverse lexicographic order. This modification does not change the generality of the reduction, as for each assignment that orders the rows in reverse lexicographic order, we can get an assignment that orders them in lexicographic order simply by renumbering.

The matrix is partially filled with 0s and 1s. This partial instantiation can be extended to a complete solution of the constraint iff the 1-in-3 SAT problem has a solution. We will refer to a CSP variable as a cell in the matrix and vice versa. We will also use labels for some CSP variables instead of the coordinates of the matrix to emphasize that some CSP variables encode a particular SAT variable.

Before we describe the reduction we introduce some notation. The central notion of the proof is the notion of a pair of lexicographically "wrongly" ordered rows (columns). Informally, a pair of rows is "wrongly" ordered if the fixed cells after some position k require that the unfixed cells before kneed to order the rows in strict lexicographic order to satisfy the constraint. This means that the sub-rows starting from position k are inversely (wrongly) ordered. Consider, for example, the two rows,  $R_1, R_2$  such that  $R_1 = (001)$  and  $R_2 = (\{0, 1\} 00)$ . These two rows are "wrongly" ordered at position 3, as  $R_1[3] >_{lex} R_2[3]$ . However, the value of the second row at position 1 is unfixed and can be used to ensure that these rows are lexicographically ordered. If we set  $R_2$ to 1 then  $R_1 = (001) <_{lex} R_2 = (100)$  and the "wrongly" ordered rows are fixed. More formally, given a partial instantiation of the matrix of Boolean variables, a pair of rows (columns)  $R_1$  and  $R_2$  is ordered "wrongly" if there exists a



Figure 1: (a) The first gadget for  $x_1$ , which participates in clauses  $c_1$  and  $c_2$ , (b) a particular instantiation of the first gadget, illustrating the pairs of wrongly ordered rows and columns that it generates. All gray cells contain the value 0. Cells that are framed with a thick line are not fixed in the construction. All other cells are fixed to 1.

position k such that  $R_1[j] < R_2[j]$  does not hold for any j < k,  $R_1[k] > R_2[k]$  and the set  $J = \{j|j < k, (R_1[j] = 0 \lor R_1[j] \in \{0,1\}) \land (R_2[j] \in \{0,1\})$  is non-empty. The notation  $R_1[j] = 0$  means that the cell is fixed to 0, while  $R_1[j] \in \{0,1\}$  ( $R_2[j] \in \{0,1\}$ ) means that  $R_1[j]$  ( $R_2[j]$ ) is unset. Non emptiness of J ensures that each "wrongly" ordered pair of rows (columns) has at least one position before the "wrong" point k where the pair of rows (columns) can be lexicographically ordered.

We show the construction for our running example in figures 1 and 3. Note that *all gray cells* in these figures are *fixed* to 0. We do not put the value 0 explicitly in each gray cell to avoid clutter. A white cell is either explicitly fixed to 1, or it has a bold outline and is unfixed.

The matrix of CSP variables includes special sub-matrices of two types that we will call gadgets. First, we consider gadgets as stand alone sub-matrices. We prove here properties of these gadgets that relate to row symmetry and only prove the properties that relate to column symmetry when we discuss the complete construction.

**Gadget 1** We encode a propositional variable  $x_i$  that participates in p clauses as a  $(2p + 4) \times (4p + 4 + r)$  sub-matrix, for some given r. The gadget has 4p + 4 free cells. Two of these cells are indicator cells, called  $t_i$  and  $f_i$ , corresponding to  $x_i$  being true and false, respectively. The indicator  $t_i$  is at position (p + 1, r + 3 + 2p) and  $f_i$  is at position (2p + 3, 4p + 4 + r). There exist 2p more free cells, called dependents of  $t_i$  and  $f_i$ ,  $t_i^{c_k}$ ,  $f_i^{c_k}$ , respectively, for  $k \in [1, p]$ . The cell  $t_i^{c_k}$  is at position (k, r + 3 + 2(k - 1)) and  $f_i^{c_k}$  is at (p + 2 + k, r + 4 + 2p + 2(k - 1)). Finally, the last 2p + 2 free cells form a switcher in the cells (2, 1)-(2p + 3, 1) of the first column.

The rest of the cells are fixed as follows. The cell (1, 1) is 1 and (1, 2p + 4) is 0. The entire second column is 1. The columns 3-r+2 are 0. The row after each dependent  $t_i^{c_k}$ ,  $f_i^{c_k}$ is completed by two 0-cells followed by 1-cells. The row after each indicator is completed with 1-cells. Finally, the cells (p+2, r+3+2p)-(4p+4+r) and (2p+4, 4p+4+r) are 1. This means that the the row above the indicator  $t_i$  contains 1s starting at the position of the indicator and similarly for  $f_i$ . The rest of the cells are fixed to 0.

The instantiation of the first gadget for variable  $x_1$  of our example and r = 0 is shown in figure 1(a).

The intent in this construction is that if  $t_i$  is 1, it should force its dependents  $t_i^{c_k}$ ,  $k = 1, \ldots, p$  to also be 1 and the same for  $f_i$  and its dependents  $f_i^{c_k}$ ,  $k = 1, \ldots, p$ . Additionally, the dependents  $t_i^{c_k}$  should get different values from the dependents  $f_i^{c_k}$ . The construction of this gadget ensures that the first of these two conditions holds for at least one of  $t_i$ ,  $f_i$ . Interaction with the rest of the construction ensures the second condition. This guarantees that a complete assignment to all the gadgets that correspond to propositional variables can be mapped to a well-formed assignment of the Boolean variables (i.e., no Boolean variable is required to be both true and false). Finally, the free parameter r can be used to insert a number of 0-columns in the construction to ensure that many instances of this gadget can be stacked without unintended interactions.

We show the following properties for gadget 1.

**Property 1** Any instantiation of the switcher consists of consecutive 0s followed by 1s.

This property is enforced by the LEXCHAIN constraint on the rows.

#### **Property 2** At least one of $t_i$ , $f_i$ has to be set to 1.

The switcher ensures this property. Setting an indicator cell  $t_i(f_i)$  to 0 creates a pair of "wrongly" ordered rows. If  $t_i(f_i)$  is 0 then the switcher has to have a step from 0s to 1s in the corresponding row to order these "wrongly" ordered rows. As the switcher can have at most one step (due to property 1), it can order at most one pair of "wrongly" ordered rows. Therefore, the other indicator has to take the value 1.

**Property 3** Any number of 0-columns can be inserted before gadget 1.

This property follows from the fact that the extra columns do not affect row ordering (as they just add a sequence of 0s to every row) or column ordering (as the added columns are the lexicographically smallest possible).

# **Property 4** For at least one of the indicators $t_i$ , $f_i$ , if the indicator is 1, its dependents are also 1.

To show this, we observe first that the switcher may be used to order a wrongly ordered pair of rows either above the row that contains  $t_i$  or below it. In the first case, the cell  $t_i$  has to contain the value 1 forcing  $t_i^{c_k}$ , k = 1, ..., p to take the value 1. In the second case,  $f_i$  has to be one as well as all its dependents  $f_i^{c_k}$ , k = 1, ..., p. Therefore, one of  $t_i$  and  $f_i$  has a "cascade effect" in a valid assignment.

**Property 5** A dependent cell is 1 if and only if a pair of wrongly ordered columns is created.

This property is illustrated in Figure 1(b).

**Property 6** Gadget 1 for a variable that participates in p clauses creates at least p disjoint wrongly ordered pairs of columns.

This is a consequence of property 5 and of the cascade effect of the gadget (property 4). We use this property later to communicate the assignment to the rest of the matrix.

In the description of the second gadget, we necessarily depend on the particular placement of the dependents  $t_i^{c_k}$ ,  $f_i^{c_k}$ , which depends on the number of occurrences of each variable, as well as the specific ordering of the variables. Therefore, we use the notation  $col(t_i^{c_k})$  to indicate the column in which  $t_i^{c_k}$  is placed in the final construction.

	1	2	3	4	5	6	7	8	9	10	11	12
6		1								a	1	1
5		1									1	1
4		1					b	1	1	1	1	1
3		1						1	1	1	1	1
2		1		С	1	1	1	1	1	1	1	1
1	1	1			1	1	1	1	1	1	1	1

Figure 2: The second gadget. All gray cells contain the value 0. Cells that are framed with a thick line are not fixed in the construction. All other cells are fixed to 1.

**Gadget 2** We encode a positive clause  $c_k$  with three submatrices of 6 rows each. We denote each of the three submatrices as instantiations of the same gadget  $g_2$ . Specifically, if  $c_k \equiv x_a \lor x_b \lor x_c$ , the three sub-matrices are  $g_2(0, t_a^{c_k}, t_b^{c_k}, t_c^{c_k}), g_2(2, f_a^{c_k}, f_b^{c_k}, f_c^{c_k}), g_2(4, f_a^{c_k}, f_b^{c_k}, f_c^{c_k}).$ The gadget  $g_2(r, a, b, c)$  for cells a, b, c is a sub-matrix

The gadget  $g_2(r, a, b, c)$  for cells a, b, c is a sub-matrix with 6 rows and 7 free cells. This sub-matrix covers all the columns of the final construction, so we do not specify them here. Instead, we refer to the maximum column of the final construction as  $\max_g$ . Four of the free cells form a switcher in column 2n + r of the gadget, similar to gadget 1. The switcher is in rows 2–5. The other three free cells are in position (2, col(a) + 1), (4, col(b) + 1) and (6, col(c + 1)). The cells  $(1, col(a) + 2)-(2, \max_g)$ ,  $(3, col(b) + 2)-(4, \max_g)$ ,  $(5, col(c) + 2)-(6, \max_g)$  are fixed to 1. The cell (1, 2n + r) is fixed to 1. The entire column 2n + r + 1 is also fixed to 1. The rest of the cells are fixed to 0.

An instance of  $g_2(a, b, c)$  is shown in figure 2.

**Property 7** At most one of the cells a, b, c of an instance of  $g_2$  gets the value 1.

To show this property, observe that setting any of a, b, c to 1, creates a pair wrongly ordered rows. The switcher can only fix one such pair (by an analogue of property 1). These pairs cannot be fixed in any other position before the switcher, as they are all fixed to 0, so the gadget ensures this property holds in any assignment.

**Property 8** In an instance of  $g_2$ , assigning any of a, b or c to 0 does not create wrongly ordered columns.

This holds by the construction of the gadget.

**Complete construction.** Recall that we reduce from a 1in-3 SAT formula on n variables and m positive clauses. For reference, the entire construction for our example is shown in figure 3. We create non-overlapping gadgets of the first type for each SAT variable  $x_i$ , i = 1, ..., n and gadgets of the second type (consisting of three sub-gadgets  $g_2$ ) for each clause  $c_i$ , i = 1, ..., m and stack them together in the entire matrix. Specifically, the type 1 gadget for variable  $x_1$  is constructed with parameter  $r_1 = 2(n-1+m)$ , starting at row  $s_1^r = 1$  and column  $s_1^c = 1$  and ending at row  $e_1^r = 2p_1 + 4 + s_1^r - 1$  and column  $e_1^c = 4p + 4 + r_1 - s_1^c - 1$ . The starting row of the rest of the type 1 gadgets is defined inductively as  $s_i^r = e_{i-1}^r + 1$ and the starting column in closed form  $s_i^c = 2(i-1)$ .

The type 2 gadgets are stacked on top of the type 1 gadgets. As the size of gadgets is fixed, we can specify their starting positions in closed form: The top row of the last type 1 gadget is  $e_n^r$ , so the type 2 gadget for clause  $c_i$  is at  $sc_i^r = e_n^r + 1 + 18(i-1)$ . The starting column for all type 2 gadgets is 0.

Finally, the entire construction uses a header to split the matrix into partially interchangeable columns and to isolate communication between different gadgets of the same type. The header consists of n rows at the top of the matrix, starting at row  $sc_m^r + 1$ . The cells  $(sc_m^r + 18 + i, s_i^c + r_i + 3) - (sc_m^r + 18 + i, e_n^c)$ , for  $i \in 1, \ldots, n$  are 1. The rest of the cells of the header are 0.

Essentially the set of 1s at the  $i^{th}$  row stacked above the type 2 gadgets covers the "body" of the type 1 gadget of variables i-n, i.e. the part of the gadget after the 0-columns required by the parameter  $r_i$ . This header plays a similar role to the parameter r of gadget type 1, which prevents interaction among stacked type 1 gadgets, creating partitions of partially interchangeable rows. In figure 3, we use thick lines to highlight the effect of these separators – creating strictly lexicographically ordered rows and columns.

**Property 9** Columns of different type 1 gadgets are not interchangeable. Rows of different gadgets of any type are not interchangeable.



Figure 3: The construction for the running example:  $c_1 = (x_1 \lor x_2 \lor x_3), c_2 = (x_1 \lor x_2 \lor x_4)$ . Black lines are strictly lexicographically ordered columns and rows that are used to separate the gadgets from each other.

We now see that each type 1 gadget encodes a propositional variable and each type 2 gadget encodes a 1-in-3 positive clause. Each variable gadget has free cells placed so that each free cell interacts with exactly one 1-in-3 positive clause.

**Property 10** If a dependent cell  $t_i^{c_k}$   $(f_i^{c_k})$  of the type 1 gadget of variable *i* creates a wrongly ordered pair of columns, this pair can be fixed only by the first (any of the second or third)  $g_2$  sub-matrix of the type 2 gadget of clause  $c_k$ 

It is clear that this property holds, by the alignment of the free cells.

Note now that by property 6, any assignment that fills in the free cells of this matrix, creates at least 3m wrongly ordered pairs of columns. By property 10, at most 3m wrongly ordered pairs of columns may be fixed by sub-matrices of gadget 2. This means that the assignment to the free cells of type 1 gadgets creates exactly 3m wrongly ordered pairs of columns. Combining this with properties 2 and 4, we get that either all of the  $t_i^{c_k}$  or all of the  $f_i^{c_k}$  cells will be 1 and never a mix. This shows that this matrix encodes a well-formed assignment to the propositional variables: if all the dependents of  $t_i$  are 1, then  $x_i$  is true, otherwise it is false.

It remains to show that this assignment satisfies all the clauses. Consider first that exactly 3m pairs of wrongly ordered columns are created by type 1 gadgets and fixed by type 2 gadgets. This means that there exists a 1-to-1 mapping between these. This means that the first sub-matrix of a type 2 gadget fixes a wrongly ordered pair of columns that was created by an assignment of one of the clause's variables to true, while the second and third sub-matrices fix pairs generated by assignments of the clause's variables to false. Since this mapping is 1-to-1, the variables used are distinct in each of the three sub-matrices. In other words, this construction guarantees that at least one variable of each clause is true and at least two variables are false, which are exactly the conditions required for 1-in-3 satisfiability.

The constructed DOUBLELEX constraint thus has a solution iff the 1-in-3 SAT formula is satisfiable. Hence, it is NP-hard to enforce domain consistency on the DOUBLELEX constraint [Bessiere *et al.*, 2004].  $\Box$ 

# **5** Conclusions

Breaking just the symmetries in a generating set is an efficient and tractable way to deal with large numbers of symmetries. However, pruning all symmetric values remains NP-hard. In fact, our proof shows that it is intractable to propagate completely a conjunction of lexicographical ordering constraints on the rows and columns of a matrix model. Such ordering constraints have been frequently and effectively used to break row and column symmetry.

# References

[Aloul et al., 2002] F.A. Aloul, A. Ramani, I. Markov, and K.A. Sakallah. Solving difficult SAT instances in the presence of symmetries. In *Proceedings of the Design Automation Conference*, pages 731–736, 2002.

- [Aloul et al., 2003] F.A. Aloul, K.A. Sakallah, and I.L. Markov. Efficient symmetry breaking for Boolean satisfiability. In Proceedings of the 18th International Joint Conference on AI, pages 271–276. International Joint Conference on Artificial Intelligence, 2003.
- [Backofen and Will, 1999] R. Backofen and S. Will. Excluding symmetries in constraint-based search. In J. Jaffar, editor, *Proceedings of the 5th International Conference on Principles and Practice of Constraint Programming*, number 1713 in Lecture Notes in Computer Science, pages 73– 87. Springer-Verlag, 1999.
- [Bessiere *et al.*, 2004] C. Bessiere, E. Hebrard, B. Hnich, and T. Walsh. The complexity of global constraints. In *Proceedings of the 19th National Conference on AI*. Association for Advancement of Artificial Intelligence, 2004.
- [Carlsson and Beldiceanu, 2002] M. Carlsson and N. Beldiceanu. Arc-consistency for a chain of lexicographic ordering constraints. Technical report T2002-18, Swedish Institute of Computer Science, 2002. ftp://ftp.sics.se/pub/SICS-reports/Reports/SICS-T-2002-18-SE.ps.Z.
- [Crawford et al., 1996] J. Crawford, M. Ginsberg, G. Luks, and A. Roy. Symmetry breaking predicates for search problems. In Proceedings of the 5th International Conference on Knowledge Representation and Reasoning, (KR '96), pages 148–159, 1996.
- [Flener et al., 2002] P. Flener, A. Frisch, B. Hnich, Z. Kiziltan, I. Miguel, J. Pearson, and T. Walsh. Breaking row and column symmetry in matrix models. In 8th International Conference on Principles and Practices of Constraint Programming (CP-2002). Springer, 2002.
- [Frisch et al., 2002] A. Frisch, B. Hnich, Z. Kiziltan, I. Miguel, and T. Walsh. Global constraints for lexicographic orderings. In 8th International Conference on Principles and Practices of Constraint Programming (CP-2002). Springer, 2002.
- [Frisch et al., 2006] A. Frisch, B. Hnich, Z. Kiziltan, I. Miguel, and T. Walsh. Propagation algorithms for lexicographic ordering constraints. *Artificial Intelligence*, 170 (10), pages 803-908, 2006.
- [Gent and Smith, 2000] I.P. Gent and B.M. Smith. Symmetry breaking in constraint programming. In W. Horn, editor, *Proceedings of ECAI-2000*, pages 599–603. IOS Press, 2000.
- [Gent and Walsh, 1999] I.P. Gent and T. Walsh. CSPLib: a benchmark library for constraints. Technical report, Technical report APES-09-1999, 1999. A shorter version appears in the Proceedings of the 5th International Conference on Principles and Practices of Constraint Programming (CP-99).
- [Puget, 1993] J.-F. Puget. On the satisfiability of symmetrical constrained satisfaction problems. In J. Komorowski and Z.W. Ras, editors, *Proceedings of ISMIS'93*, LNAI 689, pages 350–361. Springer-Verlag, 1993.

- [Puget, 2006] J-F. Puget. An efficient way of breaking value symmetries. In *Proceedings of the 21st National Conference on AI*. Association for Advancement of Artificial Intelligence, 2006.
- [Walsh, 2003] T. Walsh. Constraint patterns. In F. Rossi, editor, 9th International Conference on Principles and Practices of Constraint Programming (CP-2003). Springer, 2003.
- [Walsh, 2006] T. Walsh. General symmetry breaking constraints. In 12th International Conference on Principles and Practices of Constraint Programming (CP-2006). Springer-Verlag, 2006.
- [Walsh, 2007] T. Walsh. Breaking value symmetry. In 13th International Conference on Principles and Practices of Constraint Programming (CP-2007). Springer-Verlag, 2007.