

Finding a Minimum Feedback Vertex Set in time $\mathcal{O}(1.7548^n)$ *

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Abstract. We present an $\mathcal{O}(1.7548^n)$ algorithm finding a minimum feedback vertex set in a graph on n vertices.

Keywords: *minimum feedback vertex set, maximum induced forest, exact exponential algorithm*

1 Introduction

The problem of finding a minimum feedback vertex set has many applications and its history can be traced back to the early '60s (see the survey of Festa et al. [2]). It is also one of the classical NP-complete problems from Karp's list [5]. There is quite a dramatic story of obtaining faster and faster parameterized algorithms with a chain of improvements (see e.g. [7]) concluding with $2^{\mathcal{O}(k)}n^{\mathcal{O}(1)}$ -time algorithms obtained independently by different research groups [1, 4].

A feedback vertex set of a graph on n vertices can be trivially found in time $\mathcal{O}(2^n)$ by trying all possible vertex subsets. For a long time, despite attacks of many researchers, no faster exponential time algorithm was known. Very recently Razgon [8] broke the 2^n barrier with an $\mathcal{O}(1.8899^n)$ time algorithm. The algorithm of Razgon is based on the Branch & Reduce paradigm and its analysis is nice and clever.

In this paper we show how to find a minimum feedback vertex set in time $\mathcal{O}(1.7548^n)$. Our improvement is based on Razgon's idea of measuring the progress of the branching algorithm. The most significant improvement in the running time of our algorithm is due to a new branching rule which is based on Proposition 2. This rule works nicely except one case, which, luckily, can be reduced to finding an independent set of maximum size.

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2 Preliminaries

Let $G = (V, E)$ be an undirected graph on n vertices. For $V' \subseteq V$ we denote by $G[V']$ the graph induced by V' and by $G \setminus V'$ the graph induced by $V \setminus V'$. For a vertex $v \in V$ let $N(v)$ be the sets of its neighbors. We denote by $\Delta(G)$ the maximum vertex degree of G .

The set $X \subseteq V$ is called a *feedback vertex set* or an *FVS* if $G \setminus X$ is a forest. Thus the problem of finding a minimum FVS is equivalent to the problem of finding a maximum induced forest or an *MIF*. For the description of the algorithm it is more convenient to work with *MIF* than with *FVS*.

We call a subset $F \subseteq V$ *acyclic* if $G[F]$ is a forest and *independent* if every component of $G[F]$ is an isolated vertex; F is a *maximum independent set* of G if has a maximum cardinality among all independent sets. If F is acyclic but not independent then every connected component on at least two vertices is called *non-trivial*. If T is a non-trivial component then we denote by $\text{Id}(T, t)$ the operation of contracting all edges of T into one vertex t and removing appeared loops. Note that this operation may create multiedges in G . We denote by $\text{Id}^*(T, t)$ the operation $\text{Id}(T, t)$ followed by the removal of all vertices connected with t by multiedges.

For an acyclic subset $F \subseteq V$, denote by $\mathcal{M}_G(F)$ the set of all maximum acyclic supersets of F in G (we omit the subindex G when it is clear from the context which graph is meant). Let $\mathcal{M} = \mathcal{M}(\emptyset)$. Then the problem of finding a *MIF* can be stated as finding an element of \mathcal{M} . We solve a more general problem, namely finding an element of $\mathcal{M}(F)$ for an arbitrary acyclic subset F .

To simplify the description of the algorithm, we suppose that F is always an independent set. The next proposition justifies this supposition.

Proposition 1. *Let $G = (V, E)$ be a graph, $F \subseteq V$ be an acyclic subset of vertices and T be a non-trivial component of F . Denote by G' the graph obtained from G by the operation $\text{Id}^*(T, t)$ and let $F' = F \cup \{t\} \setminus T$. Then $X \in \mathcal{M}_G(F)$ if and only if $X' \in \mathcal{M}_{G'}(F')$ where $X' = X \cup \{t\} \setminus T$.*

Proof. If, after the operation $\text{Id}(T, t)$, a vertex v is connected with t by a multiedge then the set $T \cup \{v\}$ is not acyclic in G . Hence, no element of $\mathcal{M}_G(F)$ may contain v . Therefore, the function $X \mapsto X \cup \{t\} \setminus T$ is a bijection from $\mathcal{M}_G(F)$ to $\mathcal{M}_{G'}(F')$. \square

By using the operation Id^* on every non-trivial component of F , we obtain an independent set F' .

The following proposition is used to justify the main branching rule of the algorithm.

Proposition 2. *Let $G = (V, E)$ be a graph, $F \subseteq V$ be an independent subset of vertices and $v \notin F$ be a vertex adjacent to exactly one vertex $t \in F$. Then, there exists $X \in \mathcal{M}(F)$ such that either v or at least two vertices of $N(v) \setminus \{t\}$ are in X .*

Proof. Suppose, for the sake of contradiction, that there is $X \in \mathcal{M}(F)$ such that $v \notin X$ and only one vertex of $N(v) \setminus \{t\}$ is in X , say z . It follows from the maximality of X that $X \cup \{v\}$ is not acyclic. But since v has degree at most 2 in X all the cycles in $X \cup \{v\}$ must contain z . Then the set $X \cup \{v\} \setminus \{z\}$ is in $\mathcal{M}(F)$ and satisfies the conditions. The case where no vertex of $N(v) \setminus \{t\}$ is in X is even simpler. \square

Consequently, if $N(v) = \{t, v_1, v_2, \dots, v_k\}$, then there exists $X \in \mathcal{M}(F)$ satisfying one of the following properties:

1. $v \in X$;
2. $v \notin X$, $v_i \in X$ for some $i \in \{1, 2, \dots, k-2\}$ while $v_j \notin X$ for all $j < i$;
3. $v, v_1, v_2, \dots, v_{k-2} \notin X$ but $v_{k-1}, v_k \in X$.

In particular, if $k \leq 1$, then $v \in X$ for some $X \in \mathcal{M}(F)$.

We also need the following

Proposition 3. *Let $G = (V, E)$ be a graph and F be an independent set in G such that $G \setminus F = N(t)$ for some $t \in F$. Consider the graph $G' = G[N(t)]$ and for every pair of vertices $u, v \in N(t)$ having a common neighbor in $F \setminus \{t\}$ add an edge uv to G' . Denote the obtained graph by H and let I be a maximum independent set in H . Then $F \cup I \in \mathcal{M}_G(F)$.*

Proof. Let $X \in \mathcal{M}_G(F)$ and $u, v \in G \setminus F$. If $uv \in E$ then u, v, t form a triangle. If there is a vertex $w \in F \setminus \{t\}$ adjacent to both u and v then $tuwv$ is a 4-cycle. In both cases, X cannot contain u and v at the same time. Therefore, $X \in \mathcal{M}_G(F)$ if and only if $X \setminus F$ is a maximum independent set in H . \square

There are several fast exponential algorithms computing a maximum independent set in a graph. We use the fastest known polynomial space algorithm.

Proposition 4 ([3]). *Let G be a graph on n vertices. Then a maximum independent set in G can be found in time $\mathcal{O}(1.2210^n)$.*

3 The algorithm

In this section the algorithm finding the maximum size of an induced forest containing a given acyclic set F is presented. This algorithm can easily be turned into an algorithm computing at least one element of $\mathcal{M}_G(F)$. During the work of the algorithm one vertex $t \in F$ is called an *active vertex*. The algorithm branches on a chosen neighbor of t . Let $v \in N(t)$. Denote by K the set of all vertices of F other than t that are adjacent to v . Let G' be the graph obtained after the operation $\text{Id}(K \cup \{v\}, u)$. We say that a vertex $w \in V \setminus \{t\}$ is a *generalized neighbor* of v in G if w is the neighbor of u in G' . Denote by $\text{gd}(v)$ the *generalized degree* of v which is the number of its generalized neighbors.

The description of the algorithm consists of a sequence of cases and subcases. To avoid a confusing nesting of if-then-else statements let us use the following convention: The first case which applies is used in the algorithm. Thus, inside a given case, the hypotheses of all previous cases are assumed to be false.

Algorithm $\text{mif}(G, F)$ computing for a given graph G and an acyclic set F the maximum size of an induced forest containing F is described by the following preprocessing and main procedures. (Let us note that $\text{mif}(G, \emptyset)$ computes the maximum size of an induced forest in G .)

Preprocessing

1. If G consists of $k \geq 2$ connected components G_1, G_2, \dots, G_k , then the algorithm is called on each of the components and

$$\text{mif}(G, F) = \sum_{i=1}^k \text{mif}(G_i, F_i),$$

where $F_i = G_i \cap F$ for all $i \in \{1, 2, \dots, k\}$.

2. If F is not independent, then apply operation $\text{Id}^*(T, v_T)$ on every non-trivial component T of F . Moreover, if T contains the active vertex then v_T becomes active. Let G' be the resulting graph and let F' be the independent set in G' obtained from F . Then

$$\text{mif}(G, F) = \text{mif}(G', F') + |F \setminus F'|.$$

Main procedures

1. If $F = V$ then $\mathcal{M}_G(F) = \{V\}$. Thus,

$$\text{mif}(G, F) = |V|.$$

2. If $F = \emptyset$ and $\Delta(G) \leq 1$ then $\mathcal{M}_G(F) = \{V\}$ and

$$\text{mif}(G, F) = |V|.$$

3. If $F = \emptyset$ and $\Delta(G) \geq 2$ then the algorithm chooses a vertex $t \in V(G)$ of degree at least 2. Then t is either contained in a maximum induced forest or not. Thus the algorithm branches on two subproblems and returns the maximum:

$$\text{mif}(G, F) = \max \{ \text{mif}(G, F \cup \{t\}), \\ \text{mif}(G \setminus \{t\}, F) \}.$$

4. If F contains no active vertex then choose an arbitrary vertex $t \in F$ as an active vertex. Denote the active vertex by t from now on.
 5. If $V \setminus F = N(t)$ then the algorithm constructs the graph H from Proposition 3 and computes a maximum independent set I in H . Then

$$\text{mif}(G, F) = |F| + |I|.$$

6. If there is $v \in N(t)$ with $\text{gd}(v) \leq 1$ then add v to F .

$$\text{mif}(G, F) = \text{mif}(G, F \cup \{v\})$$

7. If there is $v \in N(t)$ with $\text{gd}(v) \geq 4$ then either add v to F or remove v from G .

$$\text{mif}(G, F) = \max \{ \text{mif}(G, F \cup \{v\}), \\ \text{mif}(G \setminus \{v\}, F) \}$$

8. If there is $v \in N(t)$ with $\text{gd}(v) = 2$ then denote its generalized neighbors by w_1 and w_2 . Either add v to F or remove v from G but add w_1 and w_2 to F .

$$\text{mif}(G, F) = \max \{ \text{mif}(G, F \cup \{v\}), \\ \text{mif}(G \setminus \{v\}, F \cup \{w_1, w_2\}) \}$$

9. If all vertices in $N(t)$ have exactly three generalized neighbors then at least one of these vertices must have a generalized neighbor outside $N(t)$, since the graph is connected and the condition of the case Main 5 does not hold. Denote such a vertex by v and its generalized neighbors by w_1 , w_2 and w_3 in such a way that $w_1 \notin N(t)$. Then we either add v to F ; or remove v from G but add w_1 to F ; or remove v and w_1 from G and add w_2 and w_3 to F .

$$\begin{aligned} \text{mif}(G, F) = \max \{ & \text{mif}(G, F \cup \{v\}), \\ & \text{mif}(G \setminus \{v\}, F \cup \{w_1\}), \\ & \text{mif}(G \setminus \{v, w_1\}, F \cup \{w_2, w_3\}) \} \end{aligned}$$

The behavior of the algorithm is analyzed in the following

Theorem 1. *Let G be a graph on n vertices. Then a maximum induced forest of G can be found in time $\mathcal{O}(1.7548^n)$.*

Proof. Let us consider the algorithm $\text{mif}(G, F)$ described above. The correctness of Preprocessing 1 and Main 1,2,3,4,7 is clear. The correctness of Main 5 follows from Proposition 3, while the correctness of Preprocessing 2 and Main 6,8,9 follows from Proposition 1 and 2 (indeed, applying Proposition 2 to the vertex u of the graph G' shows that for some $X \in \mathcal{M}_G(F)$ either v or at least two of its generalized neighbors are in X).

In order to evaluate the time complexity of the algorithm we use the following measure:

$$\mu = |V \setminus F| + \alpha|V \setminus (F \cup N(t))|$$

where $\alpha = 0.955$. In other words, each vertex in F has weight 0, each vertex in $N(t)$ has weight 1, each other vertex has weight $1 + \alpha$, and the size of the problem is equal to the sum of the vertex weights. We will prove that a problem of size μ can be solved in time $\mathcal{O}(x^\mu)$ where

$$x < 1.333277.$$

Denote by $f(\mu)$ the maximum number of times the algorithm is called recursively on a problem of size μ (i. e. the number of leaves in the search tree). Then the running time $T(\mu)$ of the algorithm is bounded by $\mathcal{O}(f(\mu) \cdot n^{\mathcal{O}(1)})$. We use induction on μ to prove that $f(\mu) \leq x^\mu$. Then $T(\mu) = \mathcal{O}(f(\mu) \cdot n^{\mathcal{O}(1)})$, and since the polynomial is suppressed by rounding the exponential base, we have $T(\mu) = \mathcal{O}(1.333277^\mu)$. Clearly, $f(0) = 1$. Suppose that $f(k) \leq x^k$ for every $k < \mu$ and consider a problem of size μ .

It is clear that the following steps do not contribute to the exponential factor of the running time of the algorithm: Preprocessing 1,2 and Main 1,2,4,6.

If the condition of the case Main 5 holds then the graph H has exactly μ vertices since each vertex that is not in F has weight 1. By Theorem 4, a maximum independent set in H can be found in time $\mathcal{O}(1.2210^\mu)$. Also the algorithm computing a maximum independent set in [3] is a branching algorithm with a number of recursive calls bounded by $1.2210^\mu < 1.333277^\mu$.

In all remaining cases the algorithm is called recursively on smaller problems. We consider these cases separately.

In the case Main 3 every vertex has weight $1 + \alpha$. So, removing v leads to a problem of size $\mu - 1 - \alpha$. Otherwise, v becomes active after the next Main 4 step. Then all its neighbors become of weight 1, and we obtain a problem of size at most $\mu - 1 - 3\alpha$ since v has degree at least 2. Thus

$$f(\mu) \leq f(\mu - 1 - \alpha) + f(\mu - 1 - 3\alpha) \leq (x^{\mu-1-\alpha} + x^{\mu-1-3\alpha}) \leq x^\mu$$

by the induction assumption and the choice of x and α .

In the case Main 7 removing the vertex v decreases the size of the problem by 1. If v is added to F then we obtain a non-trivial component in F , which is contracted into a new active vertex t' at the next Preprocessing 2 step. Those of the generalized neighbors of v that had weight 1 will be connected with t' by multiedges and thus removed during the next Preprocessing 2 step. If a generalized neighbor of v had weight $1 + \alpha$ then it will become a neighbor of t' , i. e. of weight 1. Thus, in any case the size of the problem is decreased by at least $1 + 4\alpha$. So, we have that

$$f(\mu) \leq f(\mu - 1) + f(\mu - 1 - 4\alpha) \leq (x^{\mu-1} + x^{\mu-1-4\alpha}) \leq x^\mu.$$

In the case Main 8 we distinguish three subcases depending on the weights of the generalized neighbors of v . Let i be the number of generalized neighbors of v having weight $1 + \alpha$. Adding v to F reduces the weight of a generalized neighbor either from 1 to 0 or from $1 + \alpha$ to 1. Removing v from the graph reduces the weight of both generalized neighbors of v to 0 (since we add them to F). According to this, we obtain three recurrences: for $i \in \{0, 1, 2\}$,

$$f(\mu) \leq f(\mu - (3 - i) - i\alpha) + f(\mu - 3 - i\alpha) \leq (x^{\mu-3+i-i\alpha} + x^{\mu-3-i\alpha}) \leq x^\mu.$$

The case Main 9 is considered analogously to the case Main 8, except that at least one of the generalized neighbors of v has weight $1 + \alpha$, that is $i \geq 1$. In this case, we have for $i \in \{1, 2, 3\}$,

$$\begin{aligned} f(\mu) &\leq f(\mu - (4 - i) - i\alpha) + f(\mu - 2 - \alpha) + f(\mu - 4 - i\alpha) \\ &\leq (x^{\mu-4+i-i\alpha} + x^{\mu-2-\alpha} + x^{\mu-4-i\alpha}) \leq x^\mu. \end{aligned}$$

Thus

$$f(\mu) \leq x^\mu.$$

Since every vertex of G is of weight at most $1 + \alpha$, we have that the running time of the algorithm is

$$T(\mu) = \mathcal{O}(x^\mu) = \mathcal{O}(x^{(1+\alpha)n}) = \mathcal{O}(1.333277^{1.955n}) = \mathcal{O}(1.7548^n).$$

□

Remark 1. The only tight recurrence is the one of case Main 7 when v has degree 4. Thus, an improvement of this case would improve the overall (upper bound of the) running time of the algorithm.

4 Conclusion

We have shown that a few simple changes in the branch-and-reduce algorithm of Razgon [8] together with a flexible measure of the size of a (sub)problem leads to a significant improvement in the proved upper bound of the worst case running time of the algorithm.

Note added in camera-ready: Recently, we also proved that the number of maximal induced forests (and thus the number of minimal feedback vertex sets) in a graph on n vertices is at most 1.8638^n . Schwikowski and Speckenmeyer presented in [6] an algorithm which enumerates all minimal feedback vertex sets of a graph with polynomial time delay. Thus our upper bound implies that all minimal feedback vertex sets (and maximal induced forests) can be enumerated in time $\mathcal{O}(1.8638^n)$.

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