



NICTA Advanced Course

Theorem Proving
Principles, Techniques, Applications



CONTENT

- Intro & motivation, getting started with Isabelle
- **Foundations & Principles**
 - **Lambda Calculus**
 - **Higher Order Logic, natural deduction**
 - Term rewriting
- Proof & Specification Techniques
 - Datatypes, recursion, induction
 - Inductively defined sets, rule induction
 - Calculational reasoning, mathematics style proofs
 - Hoare logic, proofs about programs

λ CALCULUS IS INCONSISTENT

From last lecture:

Can find term R such that $R R \equiv_{\beta} \text{not}(R R)$

There are more terms that do not make sense:

$1\ 2$, `true false`, `etc.`

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Solution: rule out ill-formed terms by using types.
(Church 1940)

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Write: $(\lambda x. x) :: \alpha \Rightarrow \alpha$

→ for $s t$ to be sensible:

s must be function

t must be right type for parameter

If $s :: \alpha \Rightarrow \beta$ and $t :: \alpha$ then $(s t) :: \beta$

THAT'S ABOUT IT

NOW FORMALLY, AGAIN

SYNTAX FOR λ^{\rightarrow}

Terms: $t ::= v \mid c \mid (t t) \mid (\lambda x. t)$
 $v, x \in V, \quad c \in C, \quad V, C$ sets of names

Types: $\tau ::= \mathbf{b} \mid \nu \mid \tau \Rightarrow \tau$
 $\mathbf{b} \in \{\text{bool}, \text{int}, \dots\}$ base types
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Term t has type τ in context Γ : $\Gamma \vdash t :: \tau$

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A term t is **well typed** or **type correct**
if there are Γ and τ such that $\Gamma \vdash t :: \tau$

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Examples:

$\text{int} \Rightarrow \text{bool} \lesssim \alpha \Rightarrow \beta \lesssim \beta \Rightarrow \alpha \not\lesssim \alpha \Rightarrow \alpha$

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Type checking and type inference on λ^{\rightarrow} are decidable.

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This property is called **subject reduction**

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This is why Isabelle can automatically reduce each term to $\beta\eta$ normal form.

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- Y is called fix point operator
- used for recursion

TYPES AND TERMS IN ISABELLE

Types: $\tau ::= b \mid \nu \mid \nu :: C \mid \tau \Rightarrow \tau \mid (\tau, \dots, \tau) K$

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Example: `$\alpha :: \text{order}$`
- **schematic variables:** variables that can be instantiated.

TYPE CLASSES

→ similar to Haskell's type classes, but with semantic properties

axclass order < ord

order_refl: " $x \leq x$ "

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→ can be instantiated

instance nat :: " $\{order, linorder\}$ " **by** ...

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Solution:

Isabelle has **free** (x), **bound** (x), and **schematic** ($?X$) variables.

Only schematic variables can be instantiated.

Free converted into schematic after proof is finished.

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$$P \ (?f \ x) \quad =_{\alpha\beta\eta} \quad ?Y \ x \quad [?f \leftarrow \lambda x. x, ?Y \leftarrow P]$$

Higher Order: schematic variables can be functions.

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Higher Order Pattern:

- is a term in β normal form where
- each occurrence of a schematic variable is of the form $?f t_1 \dots t_n$
- and the $t_1 \dots t_n$ are η -convertible into n distinct bound variables

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- Types and terms in Isabelle

PREVIEW: PROOFS IN ISABELLE

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General schema:

lemma name: "<goal>"

apply <method>

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...

done

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done

→ Sequential application of methods until all **subgoals** are solved.

THE PROOF STATE

1. $\bigwedge x_1 \dots x_p. \llbracket A_1; \dots; A_n \rrbracket \implies B$

2. $\bigwedge y_1 \dots y_q. \llbracket C_1; \dots; C_m \rrbracket \implies D$

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2. $\bigwedge y_1 \dots y_q. \llbracket C_1; \dots; C_m \rrbracket \implies D$

$x_1 \dots x_p$ Parameters

$A_1 \dots A_n$ Local assumptions

B Actual (sub)goal

ISABELLE THEORIES

Syntax:

```
theory MyTh = ImpTh1 + ... + ImpThn :  
(declarations, definitions, theorems, proofs, ...)*  
end
```

- *MyTh*: name of theory. Must live in file *MyTh.thy*
- *ImpTh*_{*i*}: name of *imported* theories. Import transitive.

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Unless you need something special:

```
theory MyTh = Main:
```

NATURAL DEDUCTION RULES

$$\begin{array}{l} \frac{}{A \wedge B} \text{ conjI} \\ \frac{A \wedge B}{C} \text{ conjE} \\ \frac{}{A \vee B} \quad \frac{}{A \vee B} \text{ disjI1/2} \\ \frac{A \vee B}{C} \text{ disjE} \\ \frac{}{A \longrightarrow B} \text{ impl} \\ \frac{A \longrightarrow B}{C} \text{ impE} \end{array}$$

For each connective (\wedge , \vee , etc):
introduction and **elimination** rules

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apply assumption

proves

1. $\llbracket B_1; \dots; B_m \rrbracket \implies C$

by unifying C with one of the B_i

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There may be more than one matching B_i and multiple unifiers.

Backtracking!

Explicit backtracking command: **back**

INTRO RULES

Intro rules decompose formulae to the right of \implies .

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Applying rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ to subgoal C :

→ unify A and C

→ replace C with n new subgoals $A_1 \dots A_n$

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Applying rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ to subgoal C :

Like **rule** but also

→ unifies first premise of rule with an assumption

→ eliminates that assumption

DEMO

EXERCISES

- what are the types of $\lambda x y. y x$ and $\lambda x y z. x y (y z)$
- construct a type derivation tree on paper for $\lambda x y z. x y (y z)$
- find a unifier (substitution) such that $\lambda x y. ?F x = \lambda x y. c (?G y x)$
- prove $(A \longrightarrow B \longrightarrow C) = (A \wedge B \longrightarrow C)$ in Isabelle
- prove $\neg(A \wedge B) \implies \neg A \vee \neg B$ in Isabelle (tricky!)