

# COMP4161

## Advanced Topics in Software Verification



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# Content

## → Foundations & Principles

- Intro, Lambda calculus, natural deduction [1,2]
- Higher Order Logic, Isar (part 1) [2,3<sup>a</sup>]
- Term rewriting [3,4]

## → Proof & Specification Techniques

- Inductively defined sets, rule induction [4,5]
- Datatype induction, primitive recursion [5,7]
- General recursive functions, termination proofs [7]
- Proof automation, Isar (part 2) [8<sup>b</sup>]
- Hoare logic, proofs about programs, invariants [8,9]
- C verification [9,10]
- Practice, questions, exam prep [10<sup>c</sup>]

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<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

# Last Time

- Sets
- Type Definitions
- Inductive Definitions

# INDUCTIVE DEFINITIONS

HOW THEY WORK

# The Nat Example

$$\frac{}{0 \in N} \quad \frac{n \in N}{n+1 \in N}$$

- $N$  is the set of natural numbers  $\mathbb{N}$
- But why not the set of real numbers?  $0 \in \mathbb{R}$ ,  
 $n \in \mathbb{R} \implies n+1 \in \mathbb{R}$
- $\mathbb{N}$  is the **smallest** set that is **consistent** with the rules.

## Why the smallest set?

- Objective: **no junk**. Only what must be in  $X$  shall be in  $X$ .
- Gives rise to a nice proof principle (rule induction)

## Formally

Rules  $\frac{a_1 \in X \ \dots \ a_n \in X}{a \in X}$  with  $a_1, \dots, a_n, a \in A$   
define set  $X \subseteq A$

**Formally:** set of rules  $R \subseteq A \text{ set} \times A$  ( $R, X$  possibly infinite)

**Applying rules  $R$  to a set  $B$ :**

$$\hat{R} B \equiv \{x. \exists H. (H, x) \in R \wedge H \subseteq B\}$$

**Example:**

$$\begin{aligned} R &\equiv \{(\{\}, 0)\} \cup \{(\{n\}, n+1). n \in \mathbb{R}\} \\ \hat{R} \{3, 6, 10\} &= \{0, 4, 7, 11\} \end{aligned}$$

# The Set

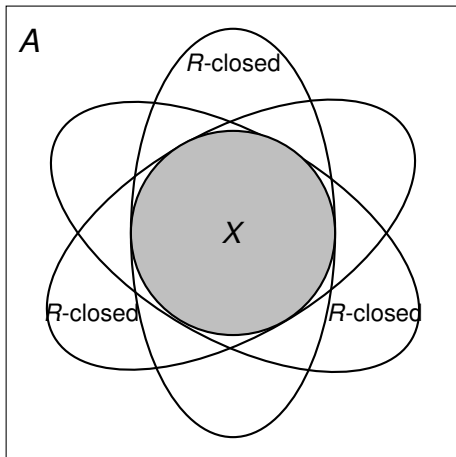
**Definition:**  $B$  is  $R$ -closed iff  $\hat{R} B \subseteq B$

**Definition:**  $X$  is the least  $R$ -closed subset of  $A$

This does always exist:

**Fact:**  $X = \bigcap \{B \subseteq A. B \text{ } R\text{-closed}\}$

## Generation from Above





## Rule Induction

$$\frac{}{0 \in N} \quad \frac{n \in N}{n+1 \in N}$$

induces induction principle

$$\llbracket P 0; \bigwedge n. P n \implies P (n+1) \rrbracket \implies \forall x \in N. P x$$

**In general:**

$$\frac{\forall (\{a_1, \dots, a_n\}, a) \in R. P a_1 \wedge \dots \wedge P a_n \implies P a}{\forall x \in X. P x}$$

## Why does this work?

$$\frac{\forall(\{a_1, \dots, a_n\}, a) \in R. P a_1 \wedge \dots \wedge P a_n \implies P a}{\forall x \in X. P x}$$

$$\forall(\{a_1, \dots, a_n\}, a) \in R. P a_1 \wedge \dots \wedge P a_n \implies P a$$

says  
 $\{x. P x\}$  is  $R$ -closed

**but:**  $X$  is the least  $R$ -closed set

**hence:**  $X \subseteq \{x. P x\}$

**which means:**  $\forall x \in X. P x$

qed

## Rules with side conditions

$$\frac{a_1 \in X \quad \dots \quad a_n \in X \quad C_1 \quad \dots \quad C_m}{a \in X}$$

induction scheme:

$$\begin{aligned} & (\forall (\{a_1, \dots, a_n\}, a) \in R. P a_1 \wedge \dots \wedge P a_n \wedge \\ & \quad C_1 \wedge \dots \wedge C_m \wedge \\ & \quad \{a_1, \dots, a_n\} \subseteq X \implies P a) \\ & \implies \\ & \forall x \in X. P x \end{aligned}$$

## $X$ as Fixpoint

### How to compute $X$ ?

$X = \bigcap \{B \subseteq A. B \text{ } \hat{R} \text{ - closed}\}$  hard to work with.

**Instead:** view  $X$  as least fixpoint,  $X$  least set with  $\hat{R} X = X$ .

### Fixpoints can be approximated by iteration:

$$X_0 = \hat{R}^0 \{\} = \{\}$$

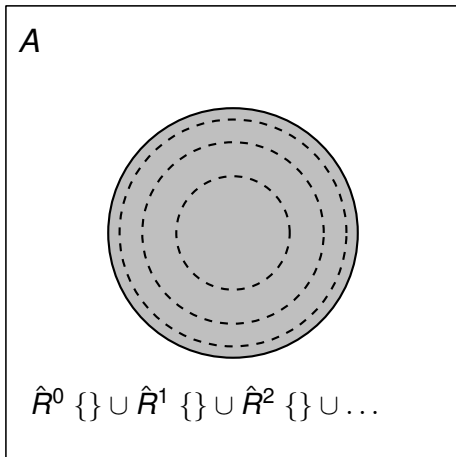
$$X_1 = \hat{R}^1 \{\} = \text{rules without hypotheses}$$

$\vdots$

$$X_n = \hat{R}^n \{\}$$

$$X_\omega = \bigcup_{n \in \mathbb{N}} (\hat{R}^n \{\}) = X$$

## Generation from Below



## Does this always work?

### Knaster-Tarski Fixpoint Theorem:

Let  $(A, \leq)$  be a complete lattice, and  $f :: A \Rightarrow A$  a monotone function.

Then the fixpoints of  $f$  again form a complete lattice.

### Lattice:

Finite subsets have a greatest lower bound (meet) and least upper bound (join).

### Complete Lattice:

All subsets have a greatest lower bound and least upper bound.

### Implications:

- least and greatest fixpoints exist (complete lattice always non-empty).
- can be reached by (possibly infinite) iteration. (Why?)

## Exercise

Formalize this lecture in Isabelle:

- Define **closed**  $f A :: (\alpha \text{ set} \Rightarrow \alpha \text{ set}) \Rightarrow \alpha \text{ set} \Rightarrow \text{bool}$
- Show  $\text{closed } f A \wedge \text{closed } f B \implies \text{closed } f (A \cap B)$  if  $f$  is monotone  
(**mono** is predefined)
- Define **lfpt**  $f$  as the intersection of all  $f$ -closed sets
- Show that  $\text{lfpt } f$  is a fixpoint of  $f$  if  $f$  is monotone
- Show that  $\text{lfpt } f$  is the least fixpoint of  $f$
- Declare a constant  $R :: (\alpha \text{ set} \times \alpha) \text{ set}$
- Define  $\hat{R} :: \alpha \text{ set} \Rightarrow \alpha \text{ set}$  in terms of  $R$
- Show soundness of rule induction using  $R$  and  $\text{lfpt } \hat{R}$

## We have learned today ...

- Formal background of inductive definitions
- Definition by intersection
- Computation by iteration
- Formalisation in Isabelle