COMP4161 Advanced Topics in Software Verification





Thomas Sewell, Miki Tanaka, Rob Sison T3/2024



Content

→ Foundations & Principles

Higher Order Logic, Isar (part 1)Term rewriting	$[2,3^a]$ $[3,4]$
→ Proof & Specification Techniques	
 Inductively defined sets, rule induction 	[4,5]
 Datatype induction, primitive recursion 	[5,7]

Intro. Lambda calculus, natural deduction.

• General recursive functions, termination proofs

 Proof automation, Isar (part 2) [8^b] Hoare logic, proofs about programs, invariants [8,9] C verification [9,10]

 Practice, questions, exam prep $[10^{c}]$

^aa1 due: ^ba2 due: ^ca3 due



[1.2]

Last Time

- → Equations and Term Rewriting
- → Confluence and Termination of reduction systems
- → Term Rewriting in Isabelle



Applying a Rewrite Rule

- → $I \longrightarrow r$ applicable to term t[s] if there is substitution σ such that $\sigma I = s$
- → Result: $t[\sigma r]$
- **→ Equationally:** $t[s] = t[\sigma \ r]$

Example:

Rule: $0 + n \longrightarrow n$

Term: a + (0 + (b + c))

Substitution: $\sigma = \{n \mapsto b + c\}$

Result: a + (b + c)



Conditional Term Rewriting

Rewrite rules can be conditional:

$$\llbracket P_1 \dots P_n \rrbracket \Longrightarrow I = r$$

is **applicable** to term t[s] with σ if

- $\rightarrow \sigma I = s$ and
- → σ P_1, \ldots, σ P_n are provable by rewriting.

Rewriting with Assumptions

Last time: Isabelle uses assumptions in rewriting.

Can lead to non-termination.

Example:

lemma "
$$f x = g x \wedge g x = f x \Longrightarrow f x = 2$$
"

simp (simp (no_asm)) use and simplify assumptions ignore assumptions (simp (no_asm_use)) simplify, but do not use assumptions (simp (no_asm_simp)) use, but do not simplify assumptions

Preprocessing

Preprocessing (recursive) for maximal simplification power:

$$\begin{array}{cccc}
\neg A & \mapsto & A = \textit{False} \\
A \longrightarrow B & \mapsto & A \Longrightarrow B \\
A \land B & \mapsto & A, B \\
\forall x. \ A \ x & \mapsto & A \ ?x \\
A & \mapsto & A = \textit{True}
\end{array}$$

Example:
$$(
ho \longrightarrow q \land \neg r) \land s$$
 \mapsto

$$p \Longrightarrow q = True$$
 $p \Longrightarrow r = False$ $s = True$

DEMO

Case splitting with simp

$$P ext{ (if } A ext{ then } s ext{ else } t)$$

$$= (A \longrightarrow P s) \land (\neg A \longrightarrow P t)$$
Automatic

$$P ext{ (case } e ext{ of } 0 \Rightarrow a \mid \operatorname{Suc} n \Rightarrow b)$$

$$= (e = 0 \longrightarrow P a) \land (\forall n. \ e = \operatorname{Suc} n \longrightarrow P b)$$
Manually: apply (simp split: nat.split)

Similar for any data type t: t.split



Congruence Rules

congruence rules are about using context

Example: in $P \longrightarrow Q$ we could use P to simplify terms in Q

For \Longrightarrow hardwired (assumptions used in rewriting)

For other operators expressed with conditional rewriting.

Example:

$$\llbracket P=P';P'\Longrightarrow Q=Q'\rrbracket\Longrightarrow (P\longrightarrow Q)=(P'\longrightarrow Q')$$

Read: to simplify $P \longrightarrow Q$

- \rightarrow first simplify P to P'
- \rightarrow then simplify Q to Q' using P' as assumption
- \rightarrow the result is $P' \longrightarrow Q'$





More Congruence

Sometimes useful, but not used automatically (slowdown):

$$\textbf{conj_cong:} \ \llbracket P = P'; P' \Longrightarrow Q = Q' \rrbracket \Longrightarrow (P \land Q) = (P' \land Q')$$

Context for if-then-else:

if_cong:
$$[b = c; c \Longrightarrow x = u; \neg c \Longrightarrow y = v] \Longrightarrow$$
 (if *b* then *x* else *y*) = (if *c* then *u* else *v*)

Prevent rewriting inside then-else (default):

if_weak_cong:

$$b = c \Longrightarrow (\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } x \text{ else } y)$$

- → declare own congruence rules with [cong] attribute
- → delete with [cong del]
- → use locally with e.g. **apply** (simp cong: <rule>)



Ordered rewriting

Problem: $x + y \longrightarrow y + x$ does not terminate

Solution: use permutative rules only if term becomes

lexicographically smaller.

Example: $b + a \rightsquigarrow a + b$ but not $a + b \rightsquigarrow b + a$.

For types nat, int etc:

- lemmas add_ac sort any sum (+)
- lemmas mult_ac sort any product (*)

Example: apply (simp add: add_ac) yields $(b+c)+a \rightsquigarrow \cdots \rightsquigarrow a+(b+c)$

AC Rules

Example for associative-commutative rules:

Associative: $(x \odot y) \odot z = x \odot (y \odot z)$

Commutative: $x \odot y = y \odot x$

These 2 rules alone get stuck too early (not confluent).

Example: $(z \odot x) \odot (y \odot v)$

We want: $(z \odot x) \odot (y \odot v) = v \odot (x \odot (y \odot z))$ We get: $(z \odot x) \odot (y \odot v) = v \odot (y \odot (x \odot z))$

We need: AC rule $x \odot (y \odot z) = y \odot (x \odot z)$

If these 3 rules are present for an AC operator Isabelle will order terms correctly

DEMO

Back to Confluence

Last time: confluence in general is undecidable.

But: confluence for terminating systems is decidable!

Problem: overlapping lhs of rules.

Definition:

Let $l_1 \longrightarrow r_1$ and $l_2 \longrightarrow r_2$ be two rules with disjoint variables.

They form a **critical pair** if a non-variable subterm of I_1 unifies with I_2 .

Example:

Rules: (1) $f x \longrightarrow a$ (2) $g y \longrightarrow b$ (3) $f (g z) \longrightarrow b$ Critical pairs:

$$(1)+(3) \qquad \{x \mapsto g \ z\} \qquad \qquad a \stackrel{(1)}{\longleftarrow} f (g \ z) \xrightarrow{(3)} b$$

$$(3)+(2) \qquad \{z \mapsto y\} \qquad \qquad b \stackrel{(3)}{\longleftarrow} f (g \ y) \xrightarrow{(2)} f b$$

Completion

(1)
$$f x \longrightarrow a$$
 (2) $g y \longrightarrow b$ (3) $f (g z) \longrightarrow b$ is not confluent

But it can be made confluent by adding rules!

How: join all critical pairs

Example:

(1)+(3)
$$\{x \mapsto g z\}$$
 $a \stackrel{(1)}{\longleftrightarrow} f(g z) \stackrel{(3)}{\longleftrightarrow} b$ shows that $a = b$ (because $a \stackrel{*}{\longleftrightarrow} b$), so we add $a \longrightarrow b$ as a rule

This is the main idea of the Knuth-Bendix completion algorithm.



DEMO: WALDMEISTER

Orthogonal Rewriting Systems

Definitions:

A rule $l \longrightarrow r$ is left-linear if no variable occurs twice in l. A rewrite system is left-linear if all rules are.

A system is **orthogonal** if it is left-linear and has no critical pairs.

Orthogonal rewrite systems are confluent

Application: functional programming languages



We have learned today ...

- → Conditional term rewriting
- → Congruence rules
- → AC rules
- → More on confluence

