

**COMP4161**  
**Advanced Topics in Software**  
**Verification**



# HOL

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## Last time...

- natural deduction rules for  $\wedge$ ,  $\vee$ ,  $\longrightarrow$ ,  $\neg$ , iff...
- proof by assumption, by intro rule, elim rule
- safe and unsafe rules
  
- indent your proofs! (one space per subgoal)
- prefer implicit backtracking (chaining) or *rule\_tac*, instead of *back*
- *prefer* and *defer*
- *oops* and *sorry*

# Content

## → Foundations & Principles

- Intro, Lambda calculus, natural deduction [1,2]
- Higher Order Logic, Isar (part 1) [2,3<sup>a</sup>]
- Term rewriting [3,4]

## → Proof & Specification Techniques

- Inductively defined sets, rule induction [4,5]
- Datatype induction, primitive recursion [5,7]
- General recursive functions, termination proofs [7]
- Proof automation, Isar (part 2) [8<sup>b</sup>]
- Hoare logic, proofs about programs, invariants [8,9]
- C verification [9,10]
- Practice, questions, exam prep [10<sup>c</sup>]

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<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

# QUANTIFIERS

# Scope

- Scope of parameters: whole subgoal
- Scope of  $\forall, \exists, \dots$ : ends with ; or  $\implies$

## Example:

# Scope

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## Example:

$$\bigwedge x y. [\forall y. P y \longrightarrow Q z y; Q x y] \implies \exists x. Q x y$$

means

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## Example:

$$\bigwedge x y. [\forall y. P y \longrightarrow Q z y; Q x y] \implies \exists x. Q x y$$

means

$$\bigwedge x y. [(\forall y_1. P y_1 \longrightarrow Q z y_1); Q x y] \implies (\exists x_1. Q x_1 y)$$

## Natural deduction for quantifiers

$$\frac{}{\forall x. P x} \text{ allI}$$

$$\frac{\forall x. P x}{R} \text{ allE}$$

$$\frac{}{\exists x. P x} \text{ exI}$$

$$\frac{\exists x. P x}{R} \text{ exE}$$



## Natural deduction for quantifiers

$$\frac{\bigwedge x. P x}{\bigvee x. P x} \text{ all}$$

$$\frac{\bigvee x. P x}{R} \text{ allE}$$

$$\overline{\bigvee x. P x} \text{ exI}$$

$$\frac{\bigvee x. P x}{R} \text{ exE}$$

## Natural deduction for quantifiers

$$\frac{\bigwedge x. P x}{\forall x. P x} \text{ all} \qquad \frac{\forall x. P x \quad P ?x \implies R}{R} \text{ allE}$$

$$\frac{}{\exists x. P x} \text{ exI} \qquad \frac{\exists x. P x}{R} \text{ exE}$$

## Natural deduction for quantifiers

$$\frac{\bigwedge x. P x}{\forall x. P x} \text{ all} \qquad \frac{\forall x. P x \quad P ?x \implies R}{R} \text{ allE}$$

$$\frac{P ?x}{\exists x. P x} \text{ exI} \qquad \frac{\exists x. P x}{R} \text{ exE}$$

## Natural deduction for quantifiers

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$$\frac{\exists x. P x \quad \bigwedge x. P x \implies R}{R} \text{ exE}$$

## Natural deduction for quantifiers

$$\frac{\bigwedge x. P x}{\forall x. P x} \text{ all} \qquad \frac{\forall x. P x \quad P ?x \implies R}{R} \text{ allE}$$

$$\frac{P ?x}{\exists x. P x} \text{ exI} \qquad \frac{\exists x. P x \quad \bigwedge x. P x \implies R}{R} \text{ exE}$$

- **all** and **exE** introduce new parameters ( $\bigwedge x$ ).
- **allE** and **exI** introduce new unknowns ( $?x$ ).

## Instantiating Rules

**apply** (rule\_tac x = "*term*" in *rule*)

Like **rule**, but ?*x* in *rule* is instantiated by *term* before application.

Similar: **erule\_tac**

**! *x* is in *rule*, not in goal !**

# Two Successful Proofs

1.  $\forall x. \exists y. x = y$

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**apply** (rule allI)

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best practice

**apply** (rule\_tac x = "x" in exI)

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**apply** (rule refl)

exploration

**apply** (rule exI)

$$1. \wedge x. x = ?y x$$

## Two Successful Proofs

1.  $\forall x. \exists y. x = y$

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best practice

**apply** (rule\_tac x = "x" in exI)

1.  $\bigwedge x. x = x$

**apply** (rule refl)

exploration

**apply** (rule exI)

1.  $\bigwedge x. x = ?y x$

**apply** (rule refl)

$?y \mapsto \lambda u. u$

## Two Successful Proofs

1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

1.  $\bigwedge x. \exists y. x = y$

best practice

**apply** (rule\_tac x = "x" in exI)

1.  $\bigwedge x. x = x$

**apply** (rule refl)

**simpler & clearer**

exploration

**apply** (rule exI)

1.  $\bigwedge x. x = ?y\ x$

**apply** (rule refl)

$?y \mapsto \lambda u. u$

**shorter & trickier**

# Two Unsuccessful Proofs

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$$1. \exists y. \forall x. x = y$$

**apply** (rule\_tac x = ??? in exI)

**apply** (rule exI)

$$1. \forall x. x = ?y$$



## Two Unsuccessful Proofs

$$1. \exists y. \forall x. x = y$$

**apply** (rule\_tac x = ??? in exI)

**apply** (rule exI)

$$1. \forall x. x = ?y$$

**apply** (rule allI)

$$1. \bigwedge x. x = ?y$$

## Two Unsuccessful Proofs

$$1. \exists y. \forall x. x = y$$

**apply** (rule\_tac x = ??? in exI)

**apply** (rule exI)

$$1. \forall x. x = ?y$$

**apply** (rule allI)

$$1. \bigwedge x. x = ?y$$

**apply** (rule refl)

$$?y \mapsto x \text{ yields } \bigwedge x'. x' = x$$

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$$1. \exists y. \forall x. x = y$$

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$$1. \forall x. x = ?y$$

**apply** (rule allI)

$$1. \bigwedge x. x = ?y$$

**apply** (rule refl)

$$?y \mapsto x \text{ yields } \bigwedge x'. x' = x$$

### Principle:

*?f  $x_1 \dots x_n$  can only be replaced by term  $t$*

*if  $\text{params}(t) \subseteq x_1, \dots, x_n$*

# Safe and Unsafe Rules

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Safe alll, exE

Unsafe allE, exl

# Safe and Unsafe Rules

Safe allI, exE

Unsafe allE, exI

**Create parameters first, unknowns later**

# DEMO: QUANTIFIER PROOFS

# Parameter names

**Parameter names are chosen by Isabelle**

1.  $\forall x. \exists y. x = y$



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1.  $\forall x. \exists y. x = y$

**apply** (rule all)

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# Parameter names

## Parameter names are chosen by Isabelle

1.  $\forall x. \exists y. x = y$

**apply** (rule allI)

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**apply** (rule\_tac x = "x" in exI)

**Brittle!**

# Renaming parameters

1.  $\forall x. \exists y. x = y$

**apply** (rule all)

1.  $\bigwedge x. \exists y. x = y$

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1.  $\forall x. \exists y. x = y$

**apply** (rule all)

1.  $\bigwedge x. \exists y. x = y$

**apply** (rename\_tac N)

1.  $\bigwedge N. \exists y. N = y$

## Renaming parameters

1.  $\forall x. \exists y. x = y$

**apply** (rule all)

1.  $\bigwedge x. \exists y. x = y$

**apply** (rename\_tac N)

1.  $\bigwedge N. \exists y. N = y$

**apply** (rule\_tac x = "N" in exI)

**In general:**

**(rename\_tac  $x_1 \dots x_n$ )** renames the rightmost (inner)  $n$  parameters  
to  $x_1 \dots x_n$

## Forward Proof: frule and drule

**apply** (frule < *rule* >)

Rule:  $\llbracket A_1; \dots; A_m \rrbracket \implies A$

Subgoal: 1.  $\llbracket B_1; \dots; B_n \rrbracket \implies C$

## Forward Proof: frule and drule

**apply** (frule < *rule* >)

Rule:  $\llbracket A_1; \dots; A_m \rrbracket \implies A$

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Substitution:  $\sigma(B_i) \equiv \sigma(A_1)$

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Substitution:  $\sigma(B_i) \equiv \sigma(A_1)$

New subgoals: 1.  $\sigma(\llbracket B_1; \dots; B_n \rrbracket \implies A_2)$

$\vdots$

m-1.  $\sigma(\llbracket B_1; \dots; B_n \rrbracket \implies A_m)$

m.  $\sigma(\llbracket B_1; \dots; B_n; A \rrbracket \implies C)$



## Forward Proof: frule and drule

**apply** (frule < rule >)

Rule:  $\llbracket A_1; \dots; A_m \rrbracket \implies A$

Subgoal: 1.  $\llbracket B_1; \dots; B_n \rrbracket \implies C$

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m.  $\sigma(\llbracket B_1; \dots; B_n; A \rrbracket \implies C)$

Like **frule** but also deletes  $B_i$ : **apply** (drule < rule >)

## Examples for Forward Rules

$$\frac{P \wedge Q}{P} \text{ conjunct1} \quad \frac{P \wedge Q}{Q} \text{ conjunct2}$$

$$\frac{P \longrightarrow Q \quad P}{Q} \text{ mp}$$

$$\frac{\forall x. P x}{P ?x} \text{ spec}$$

## Forward Proof: OF

$r$  [OF  $r_1 \dots r_n$ ]

*Prove assumption 1 of theorem  $r$  with theorem  $r_1$ , and assumption 2 with theorem  $r_2$ , and ...*

## Forward Proof: OF

$$r \text{ [OF } r_1 \dots r_n]$$

*Prove assumption 1 of theorem  $r$  with theorem  $r_1$ , and assumption 2 with theorem  $r_2$ , and ...*

$$\text{Rule } r \quad \llbracket A_1; \dots; A_m \rrbracket \implies A$$

$$\text{Rule } r_1 \quad \llbracket B_1; \dots; B_n \rrbracket \implies B$$

## Forward Proof: OF

$$r \text{ [OF } r_1 \dots r_n]$$

*Prove assumption 1 of theorem  $r$  with theorem  $r_1$ , and assumption 2 with theorem  $r_2$ , and ...*

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$$\text{Substitution} \quad \sigma(B) \equiv \sigma(A_1)$$

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### Example:

$$\text{dvd\_add} : \llbracket ?a \text{ dvd } ?b; ?a \text{ dvd } ?c \rrbracket \implies ?a \text{ dvd } ?b + ?c$$

$$\text{dvd\_refl} : ?a \text{ dvd } ?a$$

$$\text{dvd\_add[OF dvd\_refl]} : \llbracket ?a \text{ dvd } ?c \rrbracket \implies ?a \text{ dvd } ?a + ?c$$

## Forward proofs: THEN

$r_1$  [THEN  $r_2$ ] means  $r_2$  [OF  $r_1$ ]



# DEMO: FORWARD PROOFS

# Hilbert's Epsilon Operator



(David Hilbert, 1862-1943)

$\varepsilon x. Px$  is a value that satisfies  $P$  (if such a value exists)

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In Isabelle the  $\varepsilon$ -operator is written  $\text{SOME } x. P x$

$$\frac{P ?x}{P (\text{SOME } x. P x)} \text{ someI}$$

## More Epsilon

$\varepsilon$  implies Axiom of Choice:

$$\forall x. \exists y. Q x y \implies \exists f. \forall x. Q x (f x)$$

Existential and universal quantification can be defined with  $\varepsilon$ .

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Existential and universal quantification can be defined with  $\varepsilon$ .

Isabelle also knows the definite description operator **THE** (aka  $\iota$ ):

$$\overline{(\text{THE } x. x = a) = a} \text{ the\_eq\_trivial}$$

## Some Automation

### More Proof Methods:

**apply** (intro <intro-rules>) repeatedly applies intro rules

**apply** (elim <elim-rules>) repeatedly applies elim rules

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### More Proof Methods:

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## Some Automation

### More Proof Methods:

- apply** (intro <intro-rules>) repeatedly applies intro rules
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- apply** blast an automatic tableaux prover (works well on predicate logic)

## Some Automation

### More Proof Methods:

- apply** (intro <intro-rules>) repeatedly applies intro rules
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- apply** clarify applies all safe rules that do not split the goal
- apply** safe applies all safe rules
- apply** blast an automatic tableaux prover (works well on predicate logic)
- apply** fast another automatic search tactic

# **EPSILON AND AUTOMATION DEMO**

## We have learned so far...

→ Proof rules for predicate calculus

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- Safe and unsafe rules

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- Proof rules for predicate calculus
- Safe and unsafe rules
- Forward Proof

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## We have learned so far...

- Proof rules for predicate calculus
- Safe and unsafe rules
- Forward Proof
- The Epsilon Operator
- Some automation

# **ISAR (PART 1)**

**A LANGUAGE FOR STRUCTURED PROOFS**

# Motivation

Is this true:  $(A \rightarrow B) = (B \vee \neg A)$  ?

# Motivation

Is this true:  $(A \longrightarrow B) = (B \vee \neg A)$  ?

YES!

```
apply (rule iffI)
  apply (cases A)
    apply (rule disjI1)
    apply (erule impE)
      apply assumption
      apply assumption
    apply (rule disjI2)
    apply assumption
  apply (rule impI)
  apply (erule disjE)
    apply assumption
  apply (erule notE)
  apply assumption
done
```

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Or by blast

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    apply assumption
done
```

or by blast

OK it's true. But WHY?

# Motivation

WHY is this true:  $(A \longrightarrow B) = (B \vee \neg A)$  ?

Demo

# Isar

## apply scripts

→ hard to read



# Isar

## apply scripts

- hard to read
- hard to maintain

# Isar

## **apply scripts**

- hard to read
- hard to maintain

**No explicit structure.**

# Isar

## apply scripts

- hard to read
- hard to maintain
- Elegance?

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## What about..

- Elegance?
- Explaining deeper insights?

**No explicit structure.**

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## apply scripts

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## What about..

- Elegance?
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**Isar!**

## A typical Isar proof

```
proof  
  assume formula0  
  have formula1 by simp  
  ⋮  
  have formulan by blast  
  show formulan+1 by ...  
qed
```

## A typical Isar proof

```
proof  
  assume  $formula_0$   
  have  $formula_1$  by simp  
   $\vdots$   
  have  $formula_n$  by blast  
  show  $formula_{n+1}$  by ...  
qed
```

proves  $formula_0 \implies formula_{n+1}$

## A typical Isar proof

```
proof  
  assume formula0  
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  ⋮  
  have formulan by blast  
  show formulan+1 by ...  
qed
```

proves  $formula_0 \implies formula_{n+1}$

(analogous to **assumes/shows** in lemma statements)



## Isar core syntax

proof = **proof** [method] statement\* **qed**  
| **by** method

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proof = **proof** [method] statement\* **qed**  
| **by** method

method = (simp ...) | (blast ...) | (rule ...) | ...

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proof = **proof** [method] statement\* **qed**  
| **by** method

method = (simp ...) | (blast ...) | (rule ...) | ...

statement = **fix** variables  $(\wedge)$   
| **assume** proposition  $(\implies)$   
| [**from** name<sup>+</sup>] (**have** | **show**) proposition proof  
| **next** (separates subgoals)

# Isar core syntax

proof = **proof** [method] statement\* **qed**  
| **by** method

method = (simp ...) | (blast ...) | (rule ...) | ...

statement = **fix** variables  $(\wedge)$   
| **assume** proposition  $(\implies)$   
| [**from** name<sup>+</sup>] (**have** | **show**) proposition proof  
| **next** (separates subgoals)

proposition = [name:] formula

## proof and qed

**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

## proof and qed

**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

## proof and qed

**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

**assume** A: "A"

**from** A **show** "A" **by** assumption

## proof and qed

**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

**assume** A: "A"

**from** A **show** "A" **by** assumption

**next**



## proof and qed

**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

**assume** A: "A"

**from** A **show** "A" **by** assumption

**next**

**assume** B: "B"

**from** B **show** "B" **by** assumption

## proof and qed

**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

**assume** A: "A"

**from** A **show** "A" **by** assumption

**next**

**assume** B: "B"

**from** B **show** "B" **by** assumption

**qed**

## proof and qed

**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

**assume** A: "A"

**from** A **show** "A" **by** assumption

**next**

**assume** B: "B"

**from** B **show** "B" **by** assumption

**qed**

→ **proof** (<method>) applies method to the stated goal

## proof and qed

**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

**assume** A: "A"

**from** A **show** "A" **by** assumption

**next**

**assume** B: "B"

**from** B **show** "B" **by** assumption

**qed**

- **proof** (<method>) applies method to the stated goal
- **proof** applies a single rule that fits

## proof and qed

**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

**assume** A: "A"

**from** A **show** "A" **by** assumption

**next**

**assume** B: "B"

**from** B **show** "B" **by** assumption

**qed**

- **proof** (<method>) applies method to the stated goal
- **proof** applies a single rule that fits
- **proof -** does nothing to the goal

# How do I know what to Assume and Show?

**Look at the proof state!**

**lemma** "[[A; B]]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

# How do I know what to Assume and Show?

**Look at the proof state!**

**lemma** " $\llbracket A; B \rrbracket \implies A \wedge B$ "

**proof** (rule conjI)

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- We are allowed to **assume** A,  
because A is in the assumptions of the proof state.

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**from** A **[chain]** **show** "A" **[prove]** **by** assumption **[state]**

**next** **[state]** ...

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Can be used to make intermediate steps.

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**lemma** " $(x :: \text{nat}) + 1 = 1 + x$ "

**proof** -

**have** A: " $x + 1 = \text{Suc } x$ " **by** simp

**have** B: " $1 + x = \text{Suc } x$ " **by** simp

**show** " $x + 1 = 1 + x$ " **by** (simp only: A B)

**qed**

**DEMO**

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## General case: from $A_1 \dots A_n$ have $R$ proof

- first  $n$  assumptions of rule must unify with  $A_1 \dots A_n$
- conclusion of rule must unify with  $R$

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**?thesis** = the last enclosing goal statement



**DEMO**

## Moreover and Ultimately

**have**  $X_1: P_1 \dots$

**have**  $X_2: P_2 \dots$

$\vdots$

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{ ... } is a proof block similar to **proof** ... **qed**

{ **assume**  $P_1$  ... **have**  $P$  <proof> }

stands for  $P_1 \implies P$

## Mixing proof styles

```
from ...  
have ...  
  apply -      make incoming facts assumptions  
  apply (...)  
  ⋮  
  apply (...)  
done
```

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