

COMP4161

Advanced Topics in Software Verification



λ \rightarrow and HOL

Thomas Sewell, Miki Tanaka, Rob Sison

T3/2024



Last time...

- Simply typed lambda calculus: λ^{\rightarrow}
- Typing rules for λ^{\rightarrow} , type variables, type contexts
- β -reduction in λ^{\rightarrow} satisfies subject reduction
- β -reduction in λ^{\rightarrow} always terminates
- Types and terms in Isabelle

Content

→ Foundations & Principles

- Intro, Lambda calculus, natural deduction [1,2]
- Higher Order Logic, Isar (part 1) [2,3^a]
- Term rewriting [3,4]

→ Proof & Specification Techniques

- Inductively defined sets, rule induction [4,5]
- Datatype induction, primitive recursion [5,7]
- General recursive functions, termination proofs [7]
- Proof automation, Isar (part 2) [8^b]
- Hoare logic, proofs about programs, invariants [8,9]
- C verification [9,10]
- Practice, questions, exam prep [10^c]

^aa1 due; ^ba2 due; ^ca3 due

PREVIEW: PROOFS IN ISABELLE

Proofs in Isabelle

General schema:

lemma name: "<goal>"

apply <method>

apply <method>

...

done

Proofs in Isabelle

General schema:

lemma name: "<goal>"

apply <method>

apply <method>

...

done

- Sequential application of methods until all **subgoals** are solved.

The Proof State

1. $\bigwedge x_1 \dots x_p. \llbracket A_1; \dots; A_n \rrbracket \implies B$
2. $\bigwedge y_1 \dots y_q. \llbracket C_1; \dots; C_m \rrbracket \implies D$

The Proof State

1. $\bigwedge x_1 \dots x_p. \llbracket A_1; \dots; A_n \rrbracket \implies B$
2. $\bigwedge y_1 \dots y_q. \llbracket C_1; \dots; C_m \rrbracket \implies D$

$x_1 \dots x_p$ Parameters
 $A_1 \dots A_n$ Local assumptions
 B Actual (sub)goal

Isabelle Theories

Syntax:

```
theory MyTh
imports ImpTh1 ... ImpThn
begin
(declarations, definitions, theorems, proofs, ...)*
end
```

- *MyTh*: name of theory. Must live in file *MyTh.thy*
- *ImpTh*_{*i*}: name of *imported* theories. Import transitive.

Isabelle Theories

Syntax:

```
theory MyTh
imports ImpTh1 ... ImpThn
begin
(declarations, definitions, theorems, proofs, ...)*
end
```

- *MyTh*: name of theory. Must live in file *MyTh.thy*
- *ImpTh*_{*i*}: name of *imported* theories. Import transitive.

Unless you need something special:

```
theory MyTh imports Main begin ... end
```

Natural Deduction Rules

$$\frac{}{A \wedge B} \text{ conjI}$$

$$\frac{A \wedge B}{C} \text{ conjE}$$

$$\frac{}{A \vee B} \quad \frac{}{A \vee B} \text{ disjI1/2}$$

$$\frac{A \vee B}{C} \text{ disjE}$$

$$\frac{}{A \rightarrow B} \text{ implI}$$

$$\frac{A \rightarrow B}{C} \text{ impE}$$

For each connective (\wedge , \vee , etc):
introduction and **elimination** rules

Natural Deduction Rules

$$\frac{A \quad B}{A \wedge B} \text{ conjI}$$

$$\frac{A \wedge B}{C} \text{ conjE}$$

$$\frac{}{A \vee B} \quad \frac{}{A \vee B} \text{ disjI1/2}$$

$$\frac{A \vee B}{C} \text{ disjE}$$

$$\frac{}{A \rightarrow B} \text{ implI}$$

$$\frac{A \rightarrow B}{C} \text{ impE}$$

For each connective (\wedge , \vee , etc):
introduction and **elimination** rules

Natural Deduction Rules

$$\frac{A \quad B}{A \wedge B} \text{ conjI}$$

$$\frac{A \wedge B \quad [[A; B]] \Rightarrow C}{C} \text{ conjE}$$

$$\frac{}{A \vee B} \quad \frac{}{A \vee B} \text{ disjI1/2}$$

$$\frac{A \vee B}{C} \text{ disjE}$$

$$\frac{}{A \rightarrow B} \text{ implI}$$

$$\frac{A \rightarrow B}{C} \text{ impE}$$

For each connective (\wedge , \vee , etc):
introduction and **elimination** rules

Natural Deduction Rules

$$\frac{A \quad B}{A \wedge B} \text{ conjI}$$

$$\frac{A \wedge B \quad [[A; B]] \Rightarrow C}{C} \text{ conjE}$$

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \text{ disjI1/2}$$

$$\frac{A \vee B}{C} \text{ disjE}$$

$$\frac{}{A \rightarrow B} \text{ impl}$$

$$\frac{A \rightarrow B}{C} \text{ impE}$$

For each connective (\wedge , \vee , etc):
introduction and **elimination** rules

Natural Deduction Rules

$$\frac{A \quad B}{A \wedge B} \text{ conjI}$$

$$\frac{A \wedge B \quad [[A; B]] \Rightarrow C}{C} \text{ conjE}$$

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \text{ disjI1/2}$$

$$\frac{A \vee B \quad A \Rightarrow C \quad B \Rightarrow C}{C} \text{ disjE}$$

$$\frac{}{A \rightarrow B} \text{ impl}$$

$$\frac{A \rightarrow B}{C} \text{ impE}$$

For each connective (\wedge , \vee , etc):
introduction and **elimination** rules

Natural Deduction Rules

$$\frac{A \quad B}{A \wedge B} \text{ conjI}$$

$$\frac{A \wedge B \quad [[A; B]] \Rightarrow C}{C} \text{ conjE}$$

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \text{ disjI1/2}$$

$$\frac{A \vee B \quad A \Rightarrow C \quad B \Rightarrow C}{C} \text{ disjE}$$

$$\frac{A \Rightarrow B}{A \rightarrow B} \text{ impl}$$

$$\frac{A \rightarrow B}{C} \text{ impE}$$

For each connective (\wedge , \vee , etc):
introduction and **elimination** rules

Natural Deduction Rules

$$\frac{A \quad B}{A \wedge B} \text{ conjI}$$

$$\frac{A \wedge B \quad [[A; B]] \Rightarrow C}{C} \text{ conjE}$$

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \text{ disjI1/2}$$

$$\frac{A \vee B \quad A \Rightarrow C \quad B \Rightarrow C}{C} \text{ disjE}$$

$$\frac{A \Rightarrow B}{A \rightarrow B} \text{ impl}$$

$$\frac{A \rightarrow B \quad A \quad B \Rightarrow C}{C} \text{ impE}$$

For each connective (\wedge , \vee , etc):
introduction and **elimination** rules

Proof by assumption

apply assumption

proves

1. $\llbracket B_1; \dots; B_m \rrbracket \implies C$

by unifying C with one of the B_i

Proof by assumption

apply assumption

proves

$$1. \llbracket B_1; \dots; B_m \rrbracket \implies C$$

by unifying C with one of the B_i

There may be more than one matching B_i and multiple unifiers.

Backtracking!

Explicit backtracking command: **back**

Intro rules

Intro rules decompose formulae to the right of \implies .

apply (rule <intro-rule>)

Intro rules

Intro rules decompose formulae to the right of \implies .

apply (rule <intro-rule>)

Intro rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ means

→ To prove A it suffices to show $A_1 \dots A_n$

Intro rules

Intro rules decompose formulae to the right of \implies .

apply (rule <intro-rule>)

Intro rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ means

→ To prove A it suffices to show $A_1 \dots A_n$

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ to subgoal C :

Intro rules

Intro rules decompose formulae to the right of \implies .

apply (rule <intro-rule>)

Intro rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ means

→ To prove A it suffices to show $A_1 \dots A_n$

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ to subgoal C :

→ unify A and C

→ replace C with n new subgoals $A_1 \dots A_n$

Intro rules: example

To prove subgoal $A \longrightarrow A$ we can use: $\frac{P \implies Q}{P \longrightarrow Q}$ *impl*

(in Isabelle: *impl* : $(?P \implies ?Q) \implies ?P \longrightarrow ?Q$)

Intro rules: example

To prove subgoal $A \longrightarrow A$ we can use: $\frac{P \Longrightarrow Q}{P \longrightarrow Q}$ impl

(in Isabelle: $impl : (?P \Longrightarrow ?Q) \Longrightarrow ?P \longrightarrow ?Q$)

Recall:

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$ to subgoal C :

- unify A and C
- replace C with n new subgoals $A_1 \dots A_n$

Intro rules: example

To prove subgoal $A \longrightarrow A$ we can use: $\frac{P \implies Q}{P \longrightarrow Q}$ impl

(in Isabelle: $impl : (?P \implies ?Q) \implies ?P \longrightarrow ?Q$)

Recall:

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ to subgoal C :

- unify A and C
- replace C with n new subgoals $A_1 \dots A_n$

Here:

- unify...
- replace subgoal...

Intro rules: example

To prove subgoal $A \longrightarrow A$ we can use: $\frac{P \Longrightarrow Q}{P \longrightarrow Q}$ *impl*

(in Isabelle: *impl* : $(?P \Longrightarrow ?Q) \Longrightarrow ?P \longrightarrow ?Q$)

Recall:

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$ to subgoal C :

- unify A and C
- replace C with n new subgoals $A_1 \dots A_n$

Here:

- unify... $?P \longrightarrow ?Q$ with $A \longrightarrow A$
- replace subgoal...

Intro rules: example

To prove subgoal $A \longrightarrow A$ we can use: $\frac{P \Longrightarrow Q}{P \longrightarrow Q} \text{ impl}$

(in Isabelle: $\text{impl} : (?P \Longrightarrow ?Q) \Longrightarrow ?P \longrightarrow ?Q$)

Recall:

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$ to subgoal C :

- unify A and C
- replace C with n new subgoals $A_1 \dots A_n$

Here:

- unify... $?P \longrightarrow ?Q$ with $A \longrightarrow A$
- replace subgoal... $A \longrightarrow A$ (i.e. $\llbracket \] \Longrightarrow A \longrightarrow A$)
with $\llbracket A \] \Longrightarrow A$ (which can be proved with: **apply** assumption)

Elim rules

Elim rules decompose formulae on the left of \Rightarrow .

apply (erule <elim-rule>)

Elim rules

Elim rules decompose formulae on the left of \implies .

apply (erule <elim-rule>)

Elim rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ means

→ If I know A_1 and want to prove A it suffices to show $A_2 \dots A_n$

Elim rules

Elim rules decompose formulae on the left of \implies .

apply (erule <elim-rule>)

Elim rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ means

→ If I know A_1 and want to prove A it suffices to show $A_2 \dots A_n$

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ to subgoal C :

Like **rule** but also

Elim rules

Elim rules decompose formulae on the left of \implies .

apply (erule <elim-rule>)

Elim rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ means

→ If I know A_1 and want to prove A it suffices to show $A_2 \dots A_n$

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \implies A$ to subgoal C :

Like **rule** but also

- unifies first premise of rule with an assumption
- eliminates that assumption

Elim rules: example

To prove $\llbracket B \wedge A \rrbracket \Longrightarrow A$ we can use: $\frac{P \wedge Q \quad \llbracket P; Q \rrbracket \Longrightarrow R}{R}$ conjE

(in Isabelle: *conjE* : $\llbracket ?P \wedge ?Q; \llbracket ?P; ?Q \rrbracket \Longrightarrow ?R \rrbracket \Longrightarrow ?R$)

Elim rules: example

To prove $\llbracket B \wedge A \rrbracket \Longrightarrow A$ we can use: $\frac{P \wedge Q \quad \llbracket P; Q \rrbracket \Longrightarrow R}{R}$ conjE

(in Isabelle: $\text{conjE} : \llbracket ?P \wedge ?Q; \llbracket ?P; ?Q \rrbracket \Longrightarrow ?R \rrbracket \Longrightarrow ?R$)

Recall:

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$ to subgoal C :

Like **rule** but also

- unifies first premise of rule with an assumption
- eliminates that assumption

Elim rules: example

To prove $\llbracket B \wedge A \rrbracket \Longrightarrow A$ we can use: $\frac{P \wedge Q \quad \llbracket P; Q \rrbracket \Longrightarrow R}{R}$ conjE

(in Isabelle: $\text{conjE} : \llbracket ?P \wedge ?Q; \llbracket ?P; ?Q \rrbracket \Longrightarrow ?R \rrbracket \Longrightarrow ?R$)

Recall:

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$ to subgoal C:

Like **rule** but also

- unifies first premise of rule with an assumption
- eliminates that assumption

Here:

- unify...
- and also unify...
- replace subgoal...

Elim rules: example

To prove $\llbracket B \wedge A \rrbracket \Longrightarrow A$ we can use: $\frac{P \wedge Q \quad \llbracket P; Q \rrbracket \Longrightarrow R}{R}$ conjE

(in Isabelle: $\text{conjE} : \llbracket ?P \wedge ?Q; \llbracket ?P; ?Q \rrbracket \Longrightarrow ?R \rrbracket \Longrightarrow ?R$)

Recall:

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$ to subgoal C :

Like **rule** but also

- unifies first premise of rule with an assumption
- eliminates that assumption

Here:

- unify... $?R$ with A
- and also unify...
- replace subgoal...

Elim rules: example

To prove $\llbracket B \wedge A \rrbracket \Longrightarrow A$ we can use: $\frac{P \wedge Q \quad \llbracket P; Q \rrbracket \Longrightarrow R}{R}$ conjE

(in Isabelle: $\text{conjE} : \llbracket ?P \wedge ?Q; \llbracket ?P; ?Q \rrbracket \Longrightarrow ?R \rrbracket \Longrightarrow ?R$)

Recall:

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$ to subgoal C :

Like **rule** but also

- unifies first premise of rule with an assumption
- eliminates that assumption

Here:

- unify... $?R$ with A
- and also unify... $?P \wedge ?Q$ with assumption $B \wedge A$
- replace subgoal...

Elim rules: example

To prove $\llbracket B \wedge A \rrbracket \Longrightarrow A$ we can use: $\frac{P \wedge Q \quad \llbracket P; Q \rrbracket \Longrightarrow R}{R}$ conjE

(in Isabelle: $\text{conjE} : \llbracket ?P \wedge ?Q; \llbracket ?P; ?Q \rrbracket \Longrightarrow ?R \rrbracket \Longrightarrow ?R$)

Recall:

Applying rule $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$ to subgoal C :

Like **rule** but also

- unifies first premise of rule with an assumption
- eliminates that assumption

Here:

- unify... $?R$ with A
- and also unify... $?P \wedge ?Q$ with assumption $B \wedge A$
- replace subgoal... $\llbracket B \wedge A \rrbracket \Longrightarrow A$
with $\llbracket B; A \rrbracket \Longrightarrow A$ (which can be proved with: **apply** assumption)

DEMO

MORE PROOF RULES

Iff, Negation, True and False

$$\frac{}{A = B} \text{ iffI} \qquad \frac{A = B}{C} \text{ iffE}$$

$$\frac{A = B}{A = B} \text{ iffD1}$$

$$\frac{A = B}{A = B} \text{ iffD2}$$

$$\frac{}{\neg A} \text{ notI}$$

$$\frac{\neg A}{P} \text{ notE}$$

Iff, Negation, True and False

$$\frac{A \implies B \quad B \implies A}{A = B} \text{ iffI}$$

$$\frac{A = B}{C} \text{ iffE}$$

$$\frac{A = B}{\text{iffD1}}$$

$$\frac{A = B}{\text{iffD2}}$$

$$\frac{}{\neg A} \text{ notI}$$

$$\frac{\neg A}{P} \text{ notE}$$

Iff, Negation, True and False

$$\frac{A \implies B \quad B \implies A}{A = B} \text{ iffI}$$

$$\frac{A = B \quad [A \longrightarrow B; B \longrightarrow A] \implies C}{C} \text{ iffE}$$

$$\frac{A = B}{A = B} \text{ iffD1}$$

$$\frac{A = B}{A = B} \text{ iffD2}$$

$$\frac{}{\neg A} \text{ notI}$$

$$\frac{\neg A}{P} \text{ notE}$$

Iff, Negation, True and False

$$\frac{A \implies B \quad B \implies A}{A = B} \text{ iffI}$$

$$\frac{A = B \quad [A \longrightarrow B; B \longrightarrow A] \implies C}{C} \text{ iffE}$$

$$\frac{A = B}{A \implies B} \text{ iffD1}$$

$$\frac{A = B}{B \implies A} \text{ iffD2}$$

$$\frac{}{\neg A} \text{ notI}$$

$$\frac{\neg A}{P} \text{ notE}$$

Iff, Negation, True and False

$$\frac{A \implies B \quad B \implies A}{A = B} \text{ iffI}$$

$$\frac{A = B \quad [A \longrightarrow B; B \longrightarrow A] \implies C}{C} \text{ iffE}$$

$$\frac{A = B}{A \implies B} \text{ iffD1}$$

$$\frac{A = B}{B \implies A} \text{ iffD2}$$

$$\frac{A \implies \text{False}}{\neg A} \text{ notI}$$

$$\frac{\neg A}{P} \text{ notE}$$

Iff, Negation, True and False

$$\frac{A \implies B \quad B \implies A}{A = B} \text{ iffI}$$

$$\frac{A = B \quad [A \longrightarrow B; B \longrightarrow A] \implies C}{C} \text{ iffE}$$

$$\frac{A = B}{A \implies B} \text{ iffD1}$$

$$\frac{A = B}{B \implies A} \text{ iffD2}$$

$$\frac{A \implies \text{False}}{\neg A} \text{ notI}$$

$$\frac{\neg A \quad A}{P} \text{ notE}$$

Iff, Negation, True and False

$$\frac{A \implies B \quad B \implies A}{A = B} \text{ iffI}$$

$$\frac{A = B \quad [A \longrightarrow B; B \longrightarrow A] \implies C}{C} \text{ iffE}$$

$$\frac{A = B}{A \implies B} \text{ iffD1}$$

$$\frac{A = B}{B \implies A} \text{ iffD2}$$

$$\frac{A \implies \text{False}}{\neg A} \text{ notI}$$

$$\frac{\neg A \quad A}{P} \text{ notE}$$

$$\frac{}{\text{True}} \text{ TrueI}$$

$$\frac{\text{False}}{P} \text{ FalseE}$$

Equality

$$\frac{}{t = t} \text{ refl} \quad \frac{s = t}{t = s} \text{ sym} \quad \frac{r = s \quad s = t}{r = t} \text{ trans}$$

Equality

$$\frac{}{t = t} \text{ refl} \quad \frac{s = t}{t = s} \text{ sym} \quad \frac{r = s \quad s = t}{r = t} \text{ trans}$$

$$\frac{s = t \quad P s}{P t} \text{ subst}$$

Equality

$$\frac{}{t = t} \text{ refl} \quad \frac{s = t}{t = s} \text{ sym} \quad \frac{r = s \quad s = t}{r = t} \text{ trans}$$

$$\frac{s = t \quad P s}{P t} \text{ subst}$$

Rarely needed explicitly — used implicitly by term rewriting

Classical

$$\overline{P = \textit{True} \vee P = \textit{False}} \quad \text{True-or-False}$$

Classical

$$\overline{P = \text{True} \vee P = \text{False}} \text{ True-or-False}$$

$$\overline{P \vee \neg P} \text{ excluded-middle}$$

$$\frac{\neg A \implies \text{False}}{A} \text{ ccontr} \quad \frac{\neg A \implies A}{A} \text{ classical}$$

Classical

$$\overline{P = \text{True} \vee P = \text{False}} \text{ True-or-False}$$

$$\overline{P \vee \neg P} \text{ excluded-middle}$$

$$\frac{\neg A \implies \text{False}}{A} \text{ ccontr} \quad \frac{\neg A \implies A}{A} \text{ classical}$$

→ **excluded-middle**, **ccontr** and **classical**
not derivable from the other rules.

Classical

$$\overline{P = True \vee P = False} \text{ True-or-False}$$

$$\overline{P \vee \neg P} \text{ excluded-middle}$$

$$\frac{\neg A \implies False}{A} \text{ ccontr} \quad \frac{\neg A \implies A}{A} \text{ classical}$$

- **excluded-middle**, **ccontr** and **classical**
not derivable from the other rules.
- if we include True-or-False, they are derivable

They make the logic “classical”, “non-constructive”

Cases

$\overline{P \vee \neg P}$ excluded-middle

is a case distinction on type *bool*

Cases

$\overline{P \vee \neg P}$ excluded-middle

is a case distinction on type *bool*

Isabelle can do case distinctions on arbitrary terms:

apply (case_tac *term*)

Safe and not so safe

Safe rules preserve provability

Safe and not so safe

Safe rules preserve provability

conjI, impl, notI, iffI, refl, ccontr, classical, conjE, disjE

$$\frac{A \quad B}{A \wedge B} \text{conjI}$$

Safe and not so safe

Safe rules preserve provability

conjI, impl, notI, iffI, refl, ccontr, classical, conjE, disjE

$$\frac{A \quad B}{A \wedge B} \text{conjI}$$

Unsafe rules can turn a provable goal into an unprovable one

Safe and not so safe

Safe rules preserve provability

conjI, impl, notI, iffI, refl, ccontr, classical, conjE, disjE

$$\frac{A \quad B}{A \wedge B} \text{conjI}$$

Unsafe rules can turn a provable goal into an unprovable one

disjI1, disjI2, impE, iffD1, iffD2, notE

$$\frac{A}{A \vee B} \text{disjI1}$$

Safe and not so safe

Safe rules preserve provability

conjI, impl, notI, iffI, refl, ccontr, classical, conjE, disjE

$$\frac{A \quad B}{A \wedge B} \text{conjI}$$

Unsafe rules can turn a provable goal into an unprovable one

disjI1, disjI2, impE, iffD1, iffD2, notE

$$\frac{A}{A \vee B} \text{disjI1}$$

Apply safe rules before unsafe ones

DEMO

What we have learned so far...

- natural deduction rules for \wedge , \vee , \longrightarrow , \neg , iff...
- proof by assumption, by intro rule, elim rule
- safe and unsafe rules

- indent your proofs! (one space per subgoal)
- prefer implicit backtracking (chaining) or *rule_tac*, instead of *back*
- *prefer* and *defer*
- *oops* and *sorry*