



COMP4161: Advanced Topics in Software Verification

# HOL

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# Content



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<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

# Defining Higher Order Logic

# What is Higher Order Logic?



## → Propositional Logic:

- no quantifiers
- all variables have type bool

## → First Order Logic:

- quantification over values, but not over functions and predicates,
- terms and formulas syntactically distinct

## → Higher Order Logic:

- quantification over everything, including predicates
- consistency by types
- formula = term of type bool
- definition built on  $\lambda^{\rightarrow}$  with certain default types and constants

# Defining Higher Order Logic



## Default types:

$bool$                        $- \Rightarrow -$                        $ind$

- $bool$  sometimes called  $o$
- $\Rightarrow$  sometimes called  $fun$

## Default Constants:

$\longrightarrow$      $::$      $bool \Rightarrow bool \Rightarrow bool$   
 $=$              $::$      $\alpha \Rightarrow \alpha \Rightarrow bool$   
 $\epsilon$            $::$      $(\alpha \Rightarrow bool) \Rightarrow \alpha$

# Higher Order Abstract Syntax



**Problem:** Define syntax for binders like  $\forall$ ,  $\exists$ ,  $\varepsilon$

**One approach:**  $\forall :: var \Rightarrow term \Rightarrow bool$

**Drawback:** need to think about substitution,  $\alpha$  conversion again.

**But:** Already have binder, substitution,  $\alpha$  conversion in meta logic

$\lambda$

**So:** Use  $\lambda$  to encode all other binders.

# Higher Order Abstract Syntax



**Example:**

$$\text{ALL} :: (\alpha \Rightarrow \text{bool}) \Rightarrow \text{bool}$$

**HOAS**

**usual syntax**

ALL  $(\lambda x. x = 2)$

$\forall x. x = 2$

ALL  $P$

$\forall x. P\ x$

Isabelle can translate usual binder syntax into HOAS.

# Side Track: Syntax Declarations in Isabelle



→ **mixfix:**

**consts** `drvbl :: ct ⇒ ct ⇒ fm ⇒ bool ("_,_ ⊢ _")`

**Legal syntax now:**  $\Gamma, \Pi \vdash F$

→ **priorities:**

pattern can be annotated with priorities to indicate binding strength

**Example:** `drvbl :: ct ⇒ ct ⇒ fm ⇒ bool`

`("_,_ ⊢ _" [30, 0, 20] 60)`

→ **infixl/infixr:** short form for left/right associative binary operators

**Example:** `or :: bool ⇒ bool ⇒ bool (infixr " ∨ " 30)`

→ **binders:** declaration must be of the form

`c :: (τ1 ⇒ τ2) ⇒ τ3 (binder "B" < p >)`

`B x. P` translated into `c P` (and vice versa)

**Example** `ALL :: (α ⇒ bool) ⇒ bool (binder "∀" 10)`

More in Isabelle/Isar Reference Manual (7.2)



# Back to HOL



**Base:**  $bool, \Rightarrow, ind \quad =, \longrightarrow, \varepsilon$

**And the rest is definitions:**

$True \equiv (\lambda x :: bool. x) = (\lambda x. x)$

$All\ P \equiv P = (\lambda x. True)$

$Ex\ P \equiv \forall Q. (\forall x. P\ x \longrightarrow Q) \longrightarrow Q$

$False \equiv \forall P. P$

$\neg P \equiv P \longrightarrow False$

$P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

$P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

$If\ P\ x\ y \equiv SOME\ z. (P = True \longrightarrow z = x) \wedge (P = False \longrightarrow z = y)$

$inj\ f \equiv \forall x\ y. f\ x = f\ y \longrightarrow x = y$

$surj\ f \equiv \forall y. \exists x. y = f\ x$

# The Axioms of HOL



$$\frac{}{t = t} \text{ refl} \quad \frac{s = t \quad P \ s}{P \ t} \text{ subst} \quad \frac{\bigwedge x. f \ x = g \ x}{(\lambda x. f \ x) = (\lambda x. g \ x)} \text{ ext}$$

$$\frac{P \implies Q}{P \longrightarrow Q} \text{ impl} \quad \frac{P \longrightarrow Q \quad P}{Q} \text{ mp}$$

$$\frac{}{(P \longrightarrow Q) \longrightarrow (Q \longrightarrow P) \longrightarrow (P = Q)} \text{ iff}$$

$$\frac{}{P = \text{True} \vee P = \text{False}} \text{ True\_or\_False}$$

$$\frac{P \ ?_x}{P \ (\text{SOME } x. P \ x)} \text{ some1}$$

$$\frac{}{\exists f :: \text{ind} \implies \text{ind. inj } f \wedge \neg \text{surj } f} \text{ infty}$$

# That's it.



- 3 basic constants
- 3 basic types
- 9 axioms

**With this you can define and derive all the rest.**

Isabelle knows 2 more axioms:

$$\frac{x = y}{x \equiv y} \text{ eq\_reflection} \quad \frac{}{(\text{THE } x. x = a) = a} \text{ the\_eq\_trivial}$$



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# Demo: The Definitions in Isabelle

# Deriving Proof Rules



In the following, we will

- look at the definitions in more detail
- derive the traditional proof rules from the axioms in Isabelle

Convenient for deriving rules: **named assumptions in lemmas**

```
lemma [name :]  
assumes [name1 :] “< prop >1”  
assumes [name2 :] “< prop >2”  
:  
shows “< prop >” < proof >
```

**proves:**  $\llbracket \langle prop \rangle_1; \langle prop \rangle_2; \dots \rrbracket \implies \langle prop \rangle$

# True



**consts** True :: *bool*

True  $\equiv$  ( $\lambda x :: \textit{bool}. x$ ) = ( $\lambda x. x$ )

## Intuition:

right hand side is always true

## Proof Rules:

$$\frac{}{\text{True}} \text{TrueI}$$

## Proof:

$$\frac{\frac{(\lambda x :: \textit{bool}. x) = (\lambda x. x)}{\text{True}} \text{refl}}{\text{True}} \text{unfold True\_def}$$

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# Demo

# Universal Quantifier



**consts** ALL ::  $(\alpha \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
ALL  $P \equiv P = (\lambda x. \text{True})$

## Intuition:

- ALL  $P$  is Higher Order Abstract Syntax for  $\forall x. P\ x$ .
- $P$  is a function that takes an  $x$  and yields a truth value.
- ALL  $P$  should be true iff  $P$  yields true for all  $x$ , i.e. if it is equivalent to the function  $\lambda x. \text{True}$ .

## Proof Rules:

$$\frac{\bigwedge x. P\ x}{\forall x. P\ x} \text{ allI} \qquad \frac{\forall x. P\ x \quad P\ ?x \implies R}{R} \text{ allE}$$

**Proof:** Isabelle Demo



# False



**consts** False :: *bool*

False  $\equiv \forall P.P$

## Intuition:

Everything can be derived from *False*.

## Proof Rules:

$$\frac{\text{False}}{P} \text{ FalseE} \quad \overline{\text{True} \neq \text{False}}$$

**Proof:** Isabelle Demo

# Negation



**consts** Not :: *bool*  $\Rightarrow$  *bool* ( $\neg$  \_)  
 $\neg P \equiv P \longrightarrow \text{False}$

## Intuition:

Try  $P = \text{True}$  and  $P = \text{False}$  and the traditional truth table for  $\longrightarrow$ .

## Proof Rules:

$$\frac{A \implies \text{False}}{\neg A} \text{ notI} \qquad \frac{\neg A \quad A}{P} \text{ notE}$$

**Proof:** Isabelle Demo

# Existential Quantifier



**consts**  $EX :: (\alpha \Rightarrow bool) \Rightarrow bool$   
 $EX P \equiv \forall Q. (\forall x. P x \longrightarrow Q) \longrightarrow Q$

## Intuition:

- $EX P$  is HOAS for  $\exists x. P x$ . (like  $\forall$ )
- Right hand side is characterization of  $\exists$  with  $\forall$  and  $\longrightarrow$
- Note that inner  $\forall$  binds wide:  $(\forall x. P x \longrightarrow Q)$
- Remember lemma from last time:  
 $(\forall x. P x \longrightarrow Q) = ((\exists x. P x) \longrightarrow Q)$

## Proof Rules:

$$\frac{P ?x}{\exists x. P x} \text{ exI} \qquad \frac{\exists x. P x \quad \bigwedge x. P x \Longrightarrow R}{R} \text{ exE}$$

**Proof:** Isabelle Demo

# Conjunction



**consts** And ::  $bool \Rightarrow bool \Rightarrow bool$  ( $_ \wedge _$ )  
 $P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

## Intuition:

- Mirrors proof rules for  $\wedge$
- Try truth table for  $P$ ,  $Q$ , and  $R$

## Proof Rules:

$$\frac{A \quad B}{A \wedge B} \text{ conjI} \qquad \frac{A \wedge B \quad [[A; B]] \Longrightarrow C}{C} \text{ conjE}$$

**Proof:** Isabelle Demo

# Disjunction



**consts** Or :: *bool*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* ( $\_ \vee \_$ )  
 $P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

## Intuition:

- Mirrors proof rules for  $\vee$  (case distinction)
- Try truth table for  $P$ ,  $Q$ , and  $R$

## Proof Rules:

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \quad \text{disjI1/2} \qquad \frac{A \vee B \quad A \Longrightarrow C \quad B \Longrightarrow C}{C} \quad \text{disjE}$$

**Proof:** Isabelle Demo

# If-Then-Else



**consts** If  $:: \text{bool} \Rightarrow \alpha \Rightarrow \alpha \Rightarrow \alpha$  (if\_ then \_ else \_)

If  $P \times y \equiv \text{SOME } z. (P = \text{True} \longrightarrow z = x) \wedge (P = \text{False} \longrightarrow z = y)$

## Intuition:

- for  $P = \text{True}$ , right hand side collapses to  $\text{SOME } z. z = x$
- for  $P = \text{False}$ , right hand side collapses to  $\text{SOME } z. z = y$

## Proof Rules:

$\overline{\text{if True then } s \text{ else } t = s}$  ifTrue       $\overline{\text{if False then } s \text{ else } t = t}$  ifFalse

**Proof:** Isabelle Demo



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# That was HOL

# More on Automation



**Last time:** safe and unsafe, heuristics: use safe before unsafe

## This can be automated

### Syntax:

[<kind>!]      for safe rules (<kind> one of intro, elim, dest)  
[<kind>]        for unsafe rules

### Application (roughly):

do safe rules first, search/backtrack on unsafe rules only

### Example:

declare attribute globally	<b>declare</b> conj1 [intro!]    allE [elim]
remove attribute globally	<b>declare</b> allE [rule del]
use locally	<b>apply</b> (blast intro: somel)
delete locally	<b>apply</b> (blast del: conj1)



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# Demo: Automation

# We have learned today ...



- Defining HOL
- Higher Order Abstract Syntax
- Deriving proof rules
- More automation