

# DATA 61

COMP4161: Advanced Topics in Software Verification



based on slides by J. Blanchette, L. Bulwahn and T.  
**Nipkow**  
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S2/2016

[data61.csiro.au](http://data61.csiro.au)



# Content



- Intro & motivation, getting started [1]
  
- Foundations & Principles
  - Lambda Calculus, natural deduction [1,2]
  - Higher Order Logic [3<sup>a</sup>]
  - Term rewriting [4]
  
- Proof & Specification Techniques
  - Inductively defined sets, rule induction [5]
  - Datatypes, recursion, induction [6, 7]
  - Hoare logic, proofs about programs, C verification [8<sup>b</sup>,9]
  - (mid-semester break)
  - Writing Automated Proof Methods [10]
  - Isar, codegen, typeclasses, locales [11<sup>c</sup>,12]

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<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

## Automatic Proof and Disproof

- Sledgehammer: automatic proofs
- Quickcheck: counter example by testing
- Nipick: counter example by SAT

Based on slides by Jasmin Blanchette, Lukas Bulwahn, and Tobias Nipkow (TUM).

Dramatic improvements in fully automated proofs in the last 2 decades.

- First-order logic (ATP): Otter, Vampire, E, SPASS
- Propositional logic (SAT): MiniSAT, Chaff, RSat
- SAT modulo theory (SMT): CVC3, Yices, Z3

## The key:

*Efficient reasoning engines, and **restricted logics**.*

# Automation in Isabelle



1980s *rule applications, write ML code*

1990s *simplifier, automatic provers (blast, auto), arithmetic*

2000s *embrace external tools, but don't trust them (ATP/SMT/SAT)*

# Sledgehammer



## Sledgehammer:

- *Connects Isabelle with ATPs and SMT solvers:  
E, SPASS, Vampire, CVC3, Yices, Z3*
- *Simple invocation:*
  - *Users don't need to select or know facts*
  - *or ensure the problem is first-order*
  - *or know anything about the automated prover*
- *Exploits local parallelism and remote servers*

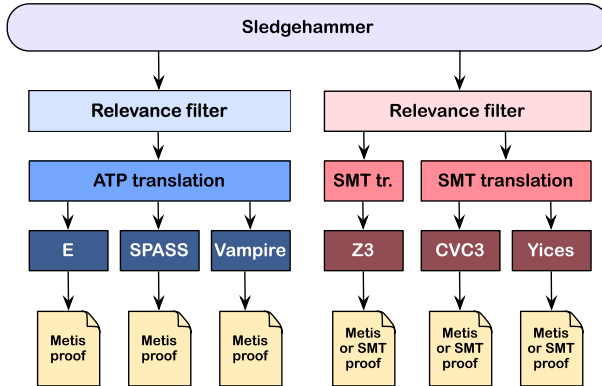
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# Demo: Sledgehammer

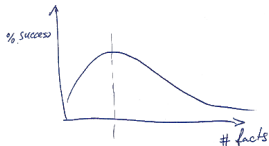
# Sledgehammer Architecture





## Provers perform poorly if given 1000s of facts.

- *Best number of facts depends on the prover*
- *Need to take care which facts we give them*
- *Idea: order facts by relevance, give top  $n$  to prover  
( $n = 250, 1000, \dots$ )*
- *Meng & Paulson method: **lightweight, symbol-based filter***
- *Machine learning method:  
**look at previous proofs to get a probability of relevance***



# From HOL to FOL



**Source:** *higher-order, polymorphism, type classes*

**Target:** *first-order, untyped or simply-typed*

→ **First-order:**

→ *SK combinators,  $\lambda$ -lifting*

→ *Explicit function application operator*

→ **Encode types:**

→ *Monomorphise (generate multiple instances), or*

→ *Encode polymorphism on term level*

# Reconstruction



**We don't want to trust the external provers.**  
*Need to check/reconstruct proof.*

- *Re-find using Metis*  
*Usually fast and reliable (sometimes too slow)*
- *Rerun external prover for trusted replay*  
*Used for SMT. Re-runs prover each time!*
- *Recheck stored explicit external representation of proof*  
*Used for SMT, no need to re-run. Fragile.*
- *Recast into structured Isar proof*  
*Fast, experimental.*

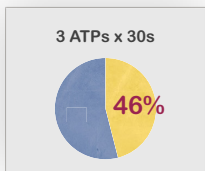
## Evaluating Sledgehammer:

- 1240 goals out of 7 existing theories.
- How many can sledgehammer solve?
- 2010: *E, SPASS, Vampire (for 5-120s). 46%*  
 *$ESV \times 5s \approx V \times 120s$*
- 2011: *Add E-SInE, CVC2, Yices, Z3 (30s).*  
 *$Z3 > V$*
- 2012: *Better integration with SPASS. 64%*  
*SPASS best (small margin)*
- 2013: *Machine learning for fact selection. 69%*  
*Improves a few percent across provers.*

# Evaluation



2010

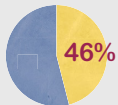


# Evaluation



2010

3 ATPs x 30s

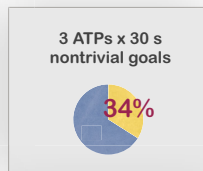
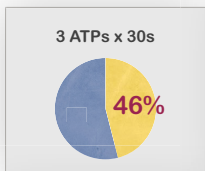


3 ATPs x 30 s  
nontrivial goals

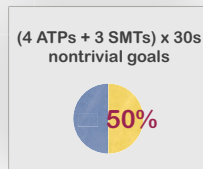
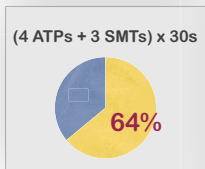


# Evaluation

2010



2012



# Sledgehammer rules!



## Example application:

- *Large Isabelle/HOL repository of algebras for modelling imperative programs (Kleene Algebra, Hoare logic, ...,  $\approx$  1000 lemmas)*
- *Intricate refinement and termination theorems*
- *Sledgehammer and Z3 automate algebraic proofs at textbook level.*

*"The integration of ATP, SMT, and Nitpick is for our purposes very very helpful." – G. Struth*



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# Disproof

# Theorem proving and testing



**Testing can show only the presence of errors, but not their absence. (*Dijkstra*)**

*Testing cannot prove theorems, but it can refute conjectures!*

**Sad facts of life:**

- *Most lemma statements are wrong the first time.*
- *Theorem proving is expensive as a debugging technique.*

**Find counter examples automatically!**

# Quickcheck



## Lightweight validation by testing.

- *Motivated by Haskell's QuickCheck*
- *Uses Isabelle's code generator*
- *Fast*
- *Runs in background, proves you wrong as you type.*

# Quickcheck



**Covers a number of testing approaches:**

- *Random and exhausting testing.*
- *Smart test data generators.*
- *Narrowing-based (symbolic) testing.*

Creates test data generators automatically.



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# Demo: Quickcheck

# Test generators for datatypes



Fast iteration in continuation-passing-style

**datatype**  $\alpha$  list = Nil | Cons  $\alpha$  ( $\alpha$  list)

**Test function:**

$\text{test}_{\alpha} \text{ list } P = P \text{ Nil } \textit{andalso} \text{ test}_{\alpha} (\lambda x. \text{ test}_{\alpha} \text{ list } (\lambda xs. P (\text{Cons } x \text{ xs})))$

# Test generators for predicates



distinct xs  $\implies$  distinct (remove1 x xs)

## Problem:

*Exhaustive testing creates many useless test cases.*

## Solution:

*Use definitions in precondition for smarter generator.*

*Only generate cases where distinct xs is true.*

*test-distinct $_{\alpha}$  list P = P Nil and also*

*test $_{\alpha}$  ( $\lambda$ x. test-distinct $_{\alpha}$  list (if x  $\notin$  xs then ( $\lambda$ xs. P (Cons x xs)) else True))*

Use data flow analysis to figure out which variables must be computed and which generated.

## Symbolic execution with demand-driven refinement

- *Test cases can contain variables*
- *If execution cannot proceed: instantiate with further symbolic terms*

## Pays off if large search spaces can be discarded:

*distinct (Cons 1 (Cons 1 x))*

*False for any x, no further instantiations for x necessary.*

## Implementation:

*Lazy execution with outer refinement loop.*

*Many re-computations, but fast.*



# Quickcheck Limitations



Only **executable** specifications!

- *No equality on functions with infinite domain*
- *No axiomatic specifications*



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# Nitpick

## Finite model finder

- *Based on SAT via Kodkod (backend of Alloy prover)*
- *Soundly approximates infinite types*

# Nitpick Successes



- *Algebraic methods*
- *C++ memory model*
- *Found soundness bugs in TPS and LEO-II*

## Fan mail:

*"Last night I got stuck on a goal I was sure was a theorem. After 5–10 minutes I gave Nitpick a try, and within a few secs it had found a splendid counterexample—despite the mess of locales and type classes in the context!"*

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# Demo: Nitpick

# We have seen today ...



- Proof: Sledgehammer
- Counter examples: Quickcheck
- Counter examples: Nitpick