



COMP4161: Advanced Topics in Software Verification

fun

Gerwin Klein, June Andronick, Ramana Kumar
S2/2016

data61.csiro.au



Content



- Intro & motivation, getting started [1]

- Foundations & Principles
 - Lambda Calculus, natural deduction [1,2]
 - Higher Order Logic [3^a]
 - Term rewriting [4]

- Proof & Specification Techniques
 - Inductively defined sets, rule induction [5]
 - Datatypes, recursion, induction [6, 7]
 - Hoare logic, proofs about programs, C verification [8^b,9]
 - (mid-semester break)
 - Writing Automated Proof Methods [10]
 - Isar, codegen, typeclasses, locales [11^c,12]

^aa1 due; ^ba2 due; ^ca3 due

General Recursion



The Choice

General Recursion



The Choice

- Limited expressiveness, automatic termination
 - `primrec`

General Recursion



The Choice

- Limited expressiveness, automatic termination
 - `primrec`
- High expressiveness, termination proof may fail
 - `fun`

General Recursion



The Choice

- Limited expressiveness, automatic termination
 - `primrec`
- High expressiveness, termination proof may fail
 - `fun`
- High expressiveness, tweakable, termination proof manual
 - `function`

fun — examples



```
fun sep :: "'a ⇒ 'a list ⇒ 'a list"
```

```
where
```

```
  "sep a (x # y # zs) = x # a # sep a (y # zs)" |
```

```
  "sep a xs = xs"
```

fun — examples



```
fun sep :: "'a ⇒ 'a list ⇒ 'a list"
```

```
where
```

```
  "sep a (x # y # zs) = x # a # sep a (y # zs)" |
```

```
  "sep a xs = xs"
```

```
fun ack :: "nat ⇒ nat ⇒ nat"
```

```
where
```

```
  "ack 0 n = Suc n" |
```

```
  "ack (Suc m) 0 = ack m 1" |
```

```
  "ack (Suc m) (Suc n) = ack m (ack (Suc m) n)"
```


→ The definiton:

- pattern matching in all parameters
- arbitrary, linear constructor patterns
- reads equations sequentially like in Haskell (top to bottom)
- proves termination automatically in many cases (tries lexicographic order)

- The definiton:
 - pattern matching in all parameters
 - arbitrary, linear constructor patterns
 - reads equations sequentially like in Haskell (top to bottom)
 - proves termination automatically in many cases (tries lexicographic order)
- Generates own induction principle

- The definiton:
 - pattern matching in all parameters
 - arbitrary, linear constructor patterns
 - reads equations sequentially like in Haskell (top to bottom)
 - proves termination automatically in many cases (tries lexicographic order)

- Generates own induction principle

- May fail to prove termination:
 - use **function (sequential)** instead
 - allows you to prove termination manually

fun — induction principle



→ Each **fun** definition induces an induction principle

fun — induction principle



- Each **fun** definition induces an induction principle
- For each equation:
 - show P holds for lhs, provided P holds for each recursive call on rhs

fun — induction principle



→ Each **fun** definition induces an induction principle

→ For each equation:

show P holds for lhs, provided P holds for each recursive call on rhs

→ Example **sep.induct**:

$\llbracket \bigwedge a. P\ a \rrbracket;$

$\bigwedge a\ w. P\ a\ [w]$

$\bigwedge a\ x\ y\ zs. P\ a\ (y\#\!zs) \implies P\ a\ (x\#\!y\#\!zs);$

$\rrbracket \implies P\ a\ xs$

Termination



Isabelle tries to prove termination automatically

→ For most functions this works with a lexicographic termination relation.

Termination



Isabelle tries to prove termination automatically

- For most functions this works with a lexicographic termination relation.
- Sometimes not

Termination



Isabelle tries to prove termination automatically

- For most functions this works with a lexicographic termination relation.
- Sometimes not \Rightarrow error message with unsolved subgoal

Termination



Isabelle tries to prove termination automatically

- For most functions this works with a lexicographic termination relation.
- Sometimes not \Rightarrow error message with unsolved subgoal
- You can prove automation separately.

function (sequential) quicksort **where**

quicksort [] = [] |

quicksort (x#xs) = quicksort [y ← xs.y ≤ x]@[x]@ quicksort [y ← xs.x < y]

by pat_completeness auto

termination

by (relation “measure length”) (auto simp: less_Suc_eq_le)

Termination



Isabelle tries to prove termination automatically

- For most functions this works with a lexicographic termination relation.
- Sometimes not \Rightarrow error message with unsolved subgoal
- You can prove automation separately.

function (sequential) quicksort **where**

quicksort [] = [] |

quicksort (x#xs) = quicksort [y ← xs.y ≤ x]@[x]@ quicksort [y ← xs.x < y]

by pat_completeness auto

termination

by (relation “measure length”) (auto simp: less_Suc_eq_le)

function is the fully tweakable, manual version of **fun**

A background pattern of white hexagons on a teal background, arranged in a staggered grid.

DATA
61



Demo

How does fun/function work?



Recall **primrec**:

→ defined one recursion operator per **datatype** D

How does fun/function work?



Recall **primrec**:

- defined one recursion operator per **datatype** D
- inductive definition of its graph $(x, f\ x) \in D_rel$

How does fun/function work?



Recall **primrec**:

- defined one recursion operator per **datatype** D
- inductive definition of its graph $(x, f\ x) \in D_rel$
- prove totality: $\forall x. \exists y. (x, y) \in D_rel$

How does fun/function work?



Recall **primrec**:

- defined one recursion operator per **datatype** D
- inductive definition of its graph $(x, f\ x) \in D_rel$
- prove totality: $\forall x. \exists y. (x, y) \in D_rel$
- prove uniqueness: $(x, y) \in D_rel \Rightarrow (x, z) \in D_rel \Rightarrow y = z$

How does fun/function work?



Recall **primrec**:

- defined one recursion operator per **datatype** D
- inductive definition of its graph $(x, f\ x) \in D_rel$
- prove totality: $\forall x. \exists y. (x, y) \in D_rel$
- prove uniqueness: $(x, y) \in D_rel \Rightarrow (x, z) \in D_rel \Rightarrow y = z$
- recursion operator for datatype D_rec , defined via *THE*.

How does fun/function work?



Recall **primrec**:

- defined one recursion operator per **datatype** D
- inductive definition of its graph $(x, f\ x) \in D_rel$
- prove totality: $\forall x. \exists y. (x, y) \in D_rel$
- prove uniqueness: $(x, y) \in D_rel \Rightarrow (x, z) \in D_rel \Rightarrow y = z$
- recursion operator for datatype D_rec , defined via *THE*.
- primrec: apply datatype recursion operator

How does fun/function work?



Similar strategy for **fun**:

→ a new inductive definition for each **fun** f

How does fun/function work?



Similar strategy for **fun**:

- a new inductive definition for each **fun** f
- extract *recursion scheme* for equations in f
- define graph f_rel inductively, encoding recursion scheme

How does fun/function work?



Similar strategy for **fun**:

- a new inductive definition for each **fun** f
- extract *recursion scheme* for equations in f
- define graph f_rel inductively, encoding recursion scheme
- prove totality (= termination)
- prove uniqueness (automatic)

How does fun/function work?



Similar strategy for **fun**:

- a new inductive definition for each **fun** f
- extract *recursion scheme* for equations in f
- define graph f_rel inductively, encoding recursion scheme
- prove totality (= termination)
- prove uniqueness (automatic)
- derive original equations from f_rel
- export induction scheme from f_rel

How does fun/function work?



Can separate and defer termination proof:

→ skip proof of totality

How does fun/function work?



Can separate and defer termination proof:

- skip proof of totality
- instead derive equations of the form: $x \in f_dom \Rightarrow f\ x = \dots$
- similarly, conditional induction principle

How does fun/function work?



Can separate and defer termination proof:

- skip proof of totality
- instead derive equations of the form: $x \in f_dom \Rightarrow f\ x = \dots$
- similarly, conditional induction principle
- $f_dom = acc\ f_rel$
- $acc =$ accessible part of f_rel
- the part that can be reached in finitely many steps

How does fun/function work?



Can separate and defer termination proof:

- skip proof of totality
- instead derive equations of the form: $x \in f_dom \Rightarrow f\ x = \dots$
- similarly, conditional induction principle
- $f_dom = acc\ f_rel$
- acc = accessible part of f_rel
- the part that can be reached in finitely many steps
- termination = $\forall x. x \in f_dom$
- still have conditional equations for partial functions

Proving Termination



Command **termination fun_name** sets up termination goal
 $\forall x. x \in \text{fun_name_dom}$

Three main proof methods:

Proving Termination



Command **termination fun_name** sets up termination goal
 $\forall x. x \in \text{fun_name_dom}$

Three main proof methods:

→ **lexicographic_order** (default tried by **fun**)

Proving Termination



Command **termination fun_name** sets up termination goal
 $\forall x. x \in \text{fun_name_dom}$

Three main proof methods:

- **lexicographic_order** (default tried by **fun**)
- **size_change** (different automated technique)

Proving Termination



Command **termination fun_name** sets up termination goal
 $\forall x. x \in \text{fun_name_dom}$

Three main proof methods:

- **lexicographic_order** (default tried by **fun**)
- **size_change** (different automated technique)
- **relation R** (manual proof via well-founded relation)

Well Founded Orders



Definition

$<_r$ is well founded if well founded induction holds

$$\text{wf } r \equiv \forall P. (\forall x. (\forall y <_r x. P y) \longrightarrow P x) \longrightarrow (\forall x. P x)$$

Well Founded Orders



Definition

$<_r$ is well founded if well founded induction holds

$$\text{wf } r \equiv \forall P. (\forall x. (\forall y <_r x. P y) \longrightarrow P x) \longrightarrow (\forall x. P x)$$

Well founded induction rule:

$$\frac{\text{wf } r \quad \bigwedge x. (\forall y <_r x. P y) \implies P x}{P a}$$

Well Founded Orders



Definition

$<_r$ is well founded if well founded induction holds

$$\text{wf } r \equiv \forall P. (\forall x. (\forall y <_r x. P y) \longrightarrow P x) \longrightarrow (\forall x. P x)$$

Well founded induction rule:

$$\frac{\text{wf } r \quad \bigwedge x. (\forall y <_r x. P y) \implies P x}{P a}$$

Alternative definition (equivalent):

there are no infinite descending chains, or (equivalent):

every nonempty set has a minimal element wrt $<_r$

$$\min r Q x \equiv \forall y \in Q. y \not<_r x$$

$$\text{wf } r = (\forall Q \neq \{\}. \exists m \in Q. \min r Q m)$$

Well Founded Orders: Examples



- $<$ on \mathbb{N} is well founded
well founded induction = complete induction

Well Founded Orders: Examples



- $<$ on \mathbb{N} is well founded
well founded induction = complete induction
- $>$ and \leq on \mathbb{N} are **not** well founded

Well Founded Orders: Examples



- $<$ on \mathbb{N} is well founded
well founded induction = complete induction
- $>$ and \leq on \mathbb{N} are **not** well founded
- $x <_r y = x \text{ dvd } y \wedge x \neq 1$ on \mathbb{N} is well founded
the minimal elements are the prime numbers

Well Founded Orders: Examples



- $<$ on \mathbb{N} is well founded
well founded induction = complete induction
- $>$ and \leq on \mathbb{N} are **not** well founded
- $x <_r y = x \text{ dvd } y \wedge x \neq 1$ on \mathbb{N} is well founded
the minimal elements are the prime numbers
- $(a, b) <_r (x, y) = a <_1 x \vee a = x \wedge b <_2 y$ is well founded
if $<_1$ and $<_2$ are

Well Founded Orders: Examples



- $<$ on \mathbb{N} is well founded
well founded induction = complete induction
- $>$ and \leq on \mathbb{N} are **not** well founded
- $x <_r y = x \text{ dvd } y \wedge x \neq 1$ on \mathbb{N} is well founded
the minimal elements are the prime numbers
- $(a, b) <_r (x, y) = a <_1 x \vee a = x \wedge b <_2 y$ is well founded
if $<_1$ and $<_2$ are
- $A <_r B = A \subset B \wedge \text{finite } B$ is well founded

Well Founded Orders: Examples



- $<$ on \mathbb{N} is well founded
well founded induction = complete induction
- $>$ and \leq on \mathbb{N} are **not** well founded
- $x <_r y = x \text{ dvd } y \wedge x \neq 1$ on \mathbb{N} is well founded
the minimal elements are the prime numbers
- $(a, b) <_r (x, y) = a <_1 x \vee a = x \wedge b <_2 y$ is well founded
if $<_1$ and $<_2$ are
- $A <_r B = A \subset B \wedge \text{finite } B$ is well founded
- \subseteq and \subset in general are **not** well founded

More about well founded relations: *Term Rewriting and All That*

Extracting the Recursion Scheme



So far for termination. What about the recursion scheme?

Extracting the Recursion Scheme



So far for termination. What about the recursion scheme?
Not fixed anymore as in primrec.

Examples:

→ **fun fib where**

fib 0 = 1 |

fib (Suc 0) = 1 |

fib (Suc (Suc n)) = fib n + fib (Suc n)

Extracting the Recursion Scheme



So far for termination. What about the recursion scheme?
Not fixed anymore as in primrec.

Examples:

→ **fun fib where**

fib 0 = 1 |

fib (Suc 0) = 1 |

fib (Suc (Suc n)) = fib n + fib (Suc n)

Recursion: $\text{Suc (Suc } n) \rightsquigarrow n$, $\text{Suc (Suc } n) \rightsquigarrow \text{Suc } n$

Extracting the Recursion Scheme



So far for termination. What about the recursion scheme?
Not fixed anymore as in primrec.

Examples:

→ **fun fib where**

fib 0 = 1 |

fib (Suc 0) = 1 |

fib (Suc (Suc n)) = fib n + fib (Suc n)

Recursion: $\text{Suc (Suc } n) \rightsquigarrow n$, $\text{Suc (Suc } n) \rightsquigarrow \text{Suc } n$

→ **fun f where** $f\ x = (\text{if } x = 0 \text{ then } 0 \text{ else } f\ (x - 1) * 2)$

Extracting the Recursion Scheme



So far for termination. What about the recursion scheme?
Not fixed anymore as in primrec.

Examples:

→ **fun fib where**

fib 0 = 1 |

fib (Suc 0) = 1 |

fib (Suc (Suc n)) = fib n + fib (Suc n)

Recursion: $\text{Suc (Suc } n) \rightsquigarrow n$, $\text{Suc (Suc } n) \rightsquigarrow \text{Suc } n$

→ **fun f where** $f\ x = (\text{if } x = 0 \text{ then } 0 \text{ else } f\ (x - 1) * 2)$

Recursion: $x \neq 0 \implies x \rightsquigarrow x - 1$

Extracting the Recursion Scheme



Higher Order:

→ **datatype** 'a tree = Leaf 'a | Branch 'a tree list

fun treemap :: ('a ⇒ 'a) ⇒ 'a tree ⇒ 'a tree **where**

treemap fn (Leaf n) = Leaf (fn n) |

treemap fn (Branch l) = Branch (map (treemap fn) l)

Extracting the Recursion Scheme



Higher Order:

→ **datatype** 'a tree = Leaf 'a | Branch 'a tree list

```
fun treemap :: ('a ⇒ 'a) ⇒ 'a tree ⇒ 'a tree where  
treemap fn (Leaf n) = Leaf (fn n) |  
treemap fn (Branch l) = Branch (map (treemap fn) l)
```

Recursion: $x \in \text{set } l \implies (\text{fn}, \text{Branch } l) \rightsquigarrow (\text{fn}, x)$

Extracting the Recursion Scheme



Higher Order:

→ **datatype** 'a tree = Leaf 'a | Branch 'a tree list

```
fun treemap :: ('a ⇒ 'a) ⇒ 'a tree ⇒ 'a tree where  
treemap fn (Leaf n) = Leaf (fn n) |  
treemap fn (Branch l) = Branch (map (treemap fn) l)
```

Recursion: $x \in \text{set } l \implies (\text{fn}, \text{Branch } l) \rightsquigarrow (\text{fn}, x)$

How to extract the context information for the call?

Extracting the Recursion Scheme



Extracting context for equations

Extracting the Recursion Scheme



Extracting context for equations

\Rightarrow

Congruence Rules!

Extracting the Recursion Scheme



Extracting context for equations

\Rightarrow

Congruence Rules!

Recall rule **if_cong**:

$$\begin{aligned} & [[b = c; c \implies x = u; \neg c \implies y = v]] \implies \\ & (\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v) \end{aligned}$$

Extracting the Recursion Scheme



Extracting context for equations

\Rightarrow

Congruence Rules!

Recall rule **if_cong**:

$$\begin{aligned} & [[b = c; c \implies x = u; \neg c \implies y = v]] \implies \\ & (\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v) \end{aligned}$$

Read: for transforming x , use b as context information, for y use $\neg b$.

Extracting the Recursion Scheme



Extracting context for equations

\Rightarrow

Congruence Rules!

Recall rule **if_cong**:

$$[[b = c; c \Longrightarrow x = u; \neg c \Longrightarrow y = v]] \Longrightarrow \\ (\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$$

Read: for transforming x , use b as context information, for y use $\neg b$.

In fun_def: for recursion in x , use b as context, for y use $\neg b$.

Congruence Rules for fun_defs



The same works for function definitions.

```
declare my_rule[fundef_cong]
```

Congruence Rules for fun_defs



The same works for function definitions.

```
declare my_rule[fundef_cong]  
(if_cong already added by default)
```

Another example (higher-order):

$$[| xs = ys; \bigwedge x. x \in \text{set } ys \implies f\ x = g\ x |] \implies \text{map } f\ xs = \text{map } g\ ys$$

Congruence Rules for fun_defs



The same works for function definitions.

```
declare my_rule[fundef_cong]  
(if_cong already added by default)
```

Another example (higher-order):

$$[| xs = ys; \bigwedge x. x \in \text{set } ys \implies f\ x = g\ x |] \implies \text{map } f\ xs = \text{map } g\ ys$$

Read: for recursive calls in f , f is called with elements of xs

A background pattern of white hexagons on a teal background, arranged in a staggered grid.

DATA
61



Demo

Further Reading



Alexander Krauss,

Automating Recursive Definitions and Termination Proofs in Higher-Order Log

PhD thesis, TU Munich, 2009.

http://www4.in.tum.de/~krauss/diss/krauss_phd.pdf

We have seen today ...



→ General recursion with **fun/function**

We have seen today ...



- General recursion with **fun/function**
- Induction over recursive functions

We have seen today ...



- General recursion with **fun/function**
- Induction over recursive functions
- How **fun** works

We have seen today ...



- General recursion with **fun/function**
- Induction over recursive functions
- How **fun** works
- Termination, partial functions, congruence rules