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COMP4161: Advanced Topics in Software Verification

# HOL

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# Content



- Intro & motivation, getting started [1]
  
- Foundations & Principles
  - Lambda Calculus, natural deduction [1,2]
  - Higher Order Logic [3<sup>a</sup>]
  - Term rewriting [4]
  
- Proof & Specification Techniques
  - Inductively defined sets, rule induction [5]
  - Datatypes, recursion, induction [6, 7]
  - Hoare logic, proofs about programs, C verification [8<sup>b</sup>,9]
  - (mid-semester break)
  - Writing Automated Proof Methods [10]
  - Isar, codegen, typeclasses, locales [11<sup>c</sup>,12]

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<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>a3 due

# Defining Higher Order Logic

# What is Higher Order Logic?



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- no quantifiers
- all variables have type bool

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## → Higher Order Logic:

- quantification over everything, including predicates
- consistency by types
- formula = term of type bool
- definition built on  $\lambda^{\rightarrow}$  with certain default types and constants

# Defining Higher Order Logic



Default types:

# Defining Higher Order Logic



Default types:

bool



# Defining Higher Order Logic



Default types:

bool                    -  $\Rightarrow$  -

# Defining Higher Order Logic



Default types:

bool

$- \Rightarrow -$

ind

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`_ ⇒ _`

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- `bool` sometimes called `o`
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`→`    `::`    `bool ⇒ bool ⇒ bool`

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`=`    `::`    `α ⇒ α ⇒ bool`

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Default Constants:

`→`    ::  $bool \Rightarrow bool \Rightarrow bool$   
`=`    ::  $\alpha \Rightarrow \alpha \Rightarrow bool$   
`ε`    ::  $(\alpha \Rightarrow bool) \Rightarrow \alpha$

# Higher Order Abstract Syntax



**Problem:** Define syntax for binders like  $\forall$ ,  $\exists$ ,  $\varepsilon$



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$\lambda$

**So:** Use  $\lambda$  to encode all other binders.

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**Example:**

$ALL :: (\alpha \Rightarrow bool) \Rightarrow bool$

**HOAS**

**usual syntax**

# Higher Order Abstract Syntax



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**usual syntax**

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Isabelle can translate usual binder syntax into HOAS.

# Side Track: Syntax Declarations in Isabelle



→ **mixfix:**

**consts** drvbl ::  $ct \Rightarrow ct \Rightarrow fm \Rightarrow bool$  ("-, -  $\vdash$  -")

**Legal syntax now:**  $\Gamma, \Pi \vdash F$

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pattern can be annotated with priorities to indicate binding strength

**Example:** `drvbl` ::  $ct \Rightarrow ct \Rightarrow fm \Rightarrow bool$  ("\_,\_  $\vdash$  \_" [30, 0, 20] 60)

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**Example:** `drvbl :: ct ⇒ ct ⇒ fm ⇒ bool ("_,_ ⊢ _" [30, 0, 20] 60)`

→ **infixl/infixr:** short form for left/right associative binary operators

**Example:** `or :: bool ⇒ bool ⇒ bool (infixr " ∨ " 30)`

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$c$  ::  $(\tau_1 \Rightarrow \tau_2) \Rightarrow \tau_3$  (binder "B" < p >)

$B x. P$  translated into  $c P$  (and vice versa)

**Example** `ALL` ::  $(\alpha \Rightarrow bool) \Rightarrow bool$  (binder "∀" 10)

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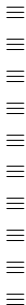
More in Isabelle/Isar Reference Manual (7.2)

# Back to HOL



Base:  $bool, \Rightarrow, ind$   $=, \longrightarrow, \varepsilon$

And the rest is



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True  $\equiv$

All  $P$   $\equiv$

Ex  $P$   $\equiv$

False  $\equiv$

$\neg P$   $\equiv$

$P \wedge Q$   $\equiv$

$P \vee Q$   $\equiv$

If  $P \times y$   $\equiv$

inj  $f$   $\equiv$

surj  $f$   $\equiv$



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$True \equiv (\lambda x :: bool. x) = (\lambda x. x)$

$All P \equiv P = (\lambda x. True)$

$Ex P \equiv \forall Q. (\forall x. P x \longrightarrow Q) \longrightarrow Q$

$False \equiv \forall P. P$

$\neg P \equiv P \longrightarrow False$

$P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

$P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

$If P x y \equiv SOME z. (P = True \longrightarrow z = x) \wedge (P = False \longrightarrow z = y)$

$inj f \equiv \forall x y. f x = f y \longrightarrow x = y$

$surj f \equiv \forall y. \exists x. y = f x$

# The Axioms of HOL



$$\frac{}{t = t} \text{ refl}$$

$$\frac{s = t \quad P \ s}{P \ t} \text{ subst}$$

$$\frac{\bigwedge x. f \ x = g \ x}{(\lambda x. f \ x) = (\lambda x. g \ x)} \text{ ext}$$

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$$\frac{P \implies Q}{P \longrightarrow Q} \text{ impl} \qquad \frac{P \longrightarrow Q \quad P}{Q} \text{ mp}$$

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# The Axioms of HOL



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$$\frac{}{P = \text{True} \vee P = \text{False}} \text{ True\_or\_False}$$

$$\frac{P ?x}{P (\text{SOME } x. P x)} \text{ some1}$$

$$\frac{}{\exists f :: \text{ind} \implies \text{ind. inj } f \wedge \neg \text{surj } f} \text{ infnty}$$

# That's it.



- 3 basic constants
- 3 basic types
- 9 axioms

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**With this you can define and derive all the rest.**

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**With this you can define and derive all the rest.**

Isabelle knows 2 more axioms:

$$\frac{x = y}{x \equiv y} \text{ eq\_reflection} \qquad \frac{}{(\text{THE } x. x = a) = a} \text{ the\_eq\_trivial}$$



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# Demo: The Definitions in Isabelle

# Deriving Proof Rules



In the following, we will

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In the following, we will

→ look at the definitions in more detail

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- derive the traditional proof rules from the axioms in Isabelle



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- derive the traditional proof rules from the axioms in Isabelle

Convenient for deriving rules: **named assumptions in lemmas**

```
lemma [name :]  
assumes [name1 :] “< prop >1”  
assumes [name2 :] “< prop >2”  
⋮  
shows “< prop >” < proof >
```

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- look at the definitions in more detail
- derive the traditional proof rules from the axioms in Isabelle

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```
lemma [name :]  
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assumes [name2 :] “< prop >2”  
⋮  
shows “< prop >” < proof >
```

**proves:**  $\llbracket \langle prop \rangle_1; \langle prop \rangle_2; \dots \rrbracket \implies \langle prop \rangle$

# True

**consts** True :: *bool*

True  $\equiv (\lambda x :: \textit{bool}. x) = (\lambda x. x)$

**Intuition:**

right hand side is always true



# True



**consts** True :: *bool*

True  $\equiv$  ( $\lambda x :: \textit{bool}. x$ ) = ( $\lambda x. x$ )

## Intuition:

right hand side is always true

## Proof Rules:

$$\overline{\text{True}} \text{ TrueI}$$

## Proof:

$$\frac{\overline{(\lambda x :: \textit{bool}. x) = (\lambda x. x)}}{\text{True}} \begin{array}{l} \text{refl} \\ \text{unfold True\_def} \end{array}$$

A background pattern of white hexagons on a teal background, arranged in a staggered grid.

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# Demo

# Universal Quantifier

**consts** ALL ::  $(\alpha \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
ALL  $P \equiv P = (\lambda x. \text{True})$



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- $P$  is a function that takes an  $x$  and yields a truth value.



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## Intuition:

- ALL  $P$  is Higher Order Abstract Syntax for  $\forall x. P\ x$ .
- $P$  is a function that takes an  $x$  and yields a truth value.
- ALL  $P$  should be true iff  $P$  yields true for all  $x$ , i.e. if it is equivalent to the function  $\lambda x. \text{True}$ .

# Universal Quantifier



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## Proof Rules:

$$\frac{\bigwedge x. P x}{\forall x. P x} \text{ allI} \qquad \frac{\forall x. P x \quad P ?x \implies R}{R} \text{ allE}$$

**Proof:** Isabelle Demo

# False

**consts** False :: *bool*  
False  $\equiv \forall P.P$



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## **Intuition:**

Everything can be derived from *False*.



# False



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False  $\equiv \forall P.P$

## Intuition:

Everything can be derived from *False*.

## Proof Rules:

$$\frac{\text{False}}{P} \text{ FalseE} \quad \frac{}{\text{True} \neq \text{False}}$$

**Proof:** Isabelle Demo

# Negation

**consts** Not :: *bool* ⇒ *bool* ( $\neg$  -)  
 $\neg P \equiv P \longrightarrow \text{False}$



# Negation



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Try  $P = \text{True}$  and  $P = \text{False}$  and the traditional truth table for  $\longrightarrow$ .

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## Proof Rules:

$$\frac{A \implies \text{False}}{\neg A} \text{ notI} \qquad \frac{\neg A \quad A}{P} \text{ notE}$$

**Proof:** Isabelle Demo



# Existential Quantifier



**consts** EX :: ( $\alpha \Rightarrow \text{bool}$ )  $\Rightarrow$  *bool*  
EX P  $\equiv$   $\forall Q. (\forall x. P\ x \longrightarrow Q) \longrightarrow Q$

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- Note that inner  $\forall$  binds wide:  $(\forall x. P\ x \longrightarrow Q)$

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- Note that inner  $\forall$  binds wide:  $(\forall x. P\ x \longrightarrow Q)$
- Remember lemma from last time:  $(\forall x. P\ x \longrightarrow Q) = ((\exists x. P\ x) \longrightarrow Q)$

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## Proof Rules:

$$\frac{P\ ?x}{\exists x. P\ x} \text{ exI} \qquad \frac{\exists x. P\ x \quad \bigwedge x. P\ x \Longrightarrow R}{R} \text{ exE}$$

**Proof:** Isabelle Demo

# Conjunction



**consts** And :: *bool*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* ( $- \wedge -$ )  
 $P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

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→ Mirrors proof rules for  $\wedge$



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- Try truth table for  $P$ ,  $Q$ , and  $R$

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- Try truth table for  $P$ ,  $Q$ , and  $R$

## Proof Rules:

$$\frac{A \quad B}{A \wedge B} \text{ conjI} \qquad \frac{A \wedge B \quad [[A; B]] \Longrightarrow C}{C} \text{ conjE}$$

**Proof:** Isabelle Demo

# Disjunction



**consts** Or :: *bool*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* (-  $\vee$  -)

$P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

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→ Mirrors proof rules for  $\vee$  (case distinction)

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- Try truth table for  $P$ ,  $Q$ , and  $R$

## Proof Rules:

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \quad \text{disjI1/2} \qquad \frac{A \vee B \quad A \Longrightarrow C \quad B \Longrightarrow C}{C} \quad \text{disjE}$$

**Proof:** Isabelle Demo

# If-Then-Else



**consts** If  $:: \text{bool} \Rightarrow \alpha \Rightarrow \alpha \Rightarrow \alpha$  (if\_ then \_ else \_)

If  $P \times y \equiv \text{SOME } z. (P = \text{True} \longrightarrow z = x) \wedge (P = \text{False} \longrightarrow z = y)$

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**Intuition:**

→ for  $P = \text{True}$ , right hand side collapses to  $\text{SOME } z. z = x$



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## Intuition:

- for  $P = \text{True}$ , right hand side collapses to  $\text{SOME } z. z = x$
- for  $P = \text{False}$ , right hand side collapses to  $\text{SOME } z. z = y$

## Proof Rules:

$\frac{}{\text{if True then } s \text{ else } t = s}$  ifTrue

$\frac{}{\text{if False then } s \text{ else } t = t}$  ifFalse

**Proof:** Isabelle Demo



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# That was HOL

# More on Automation



**Last time:** safe and unsafe, heuristics: use safe before unsafe

# More on Automation



**Last time:** safe and unsafe, heuristics: use safe before unsafe

**This can be automated**

# More on Automation



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**Last time:** safe and unsafe, heuristics: use safe before unsafe

## This can be automated

### Syntax:

[<kind>!] for safe rules (<kind> one of intro, elim, dest)  
[<kind>] for unsafe rules

# More on Automation



**Last time:** safe and unsafe, heuristics: use safe before unsafe

## This can be automated

### Syntax:

[<kind>!] for safe rules (<kind> one of intro, elim, dest)

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### Application (roughly):

do safe rules first, search/backtrack on unsafe rules only



# More on Automation



**Last time:** safe and unsafe, heuristics: use safe before unsafe

## This can be automated

### Syntax:

[<kind>!] for safe rules (<kind> one of intro, elim, dest)

[<kind>] for unsafe rules

### Application (roughly):

do safe rules first, search/backtrack on unsafe rules only

### Example:

declare attribute globally

remove attribute globally

use locally

delete locally

**declare** conjI [intro!] allE [elim]

**declare** allE [rule del]

**apply** (blast intro: someI)

**apply** (blast del: conjI)



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# Demo: Automation

# We have learned today ...



→ Defining HOL

# We have learned today ...



- Defining HOL
- Higher Order Abstract Syntax

# We have learned today ...



- Defining HOL
- Higher Order Abstract Syntax
- Deriving proof rules

# We have learned today ...



- Defining HOL
- Higher Order Abstract Syntax
- Deriving proof rules
- More automation