

## COMP 4161 NICTA Advanced Course

## **Advanced Topics in Software Verification**

Toby Murray, June Andronick, Gerwin Klein

# fun

## Content

	NICTA
→ Intro & motivation, getting started	[1]
→ Foundations & Principles	
<ul> <li>Lambda Calculus, natural deduction</li> </ul>	[1,2]
Higher Order Logic	[3 <sup><i>a</i></sup> ]
Term rewriting	[4]
Proof & Specification Techniques	
<ul> <li>Inductively defined sets, rule induction</li> </ul>	[5]
<ul> <li>Datatypes, recursion, induction</li> </ul>	[6, 7]
<ul> <li>Hoare logic, proofs about programs, C verification</li> </ul>	[8 <sup>b</sup> ,9]
<ul> <li>(mid-semester break)</li> </ul>	
<ul> <li>Writing Automated Proof Methods</li> </ul>	[10]
<ul> <li>Isar, codegen, typeclasses, locales</li> </ul>	[11 <sup><i>c</i></sup> ,12]
<sup><i>a</i></sup> a1 due; <sup><i>b</i></sup> a2 due; <sup><i>c</i></sup> a3 due	

0



### The Choice

- → Limited expressiveness, automatic termination
  - primrec
- → High expressiveness, termination proof may fail
  - fun
- → High expressiveness, tweakable, termination proof manual
  - function



```
fun sep :: "'a \Rightarrow 'a list \Rightarrow 'a list"
```

#### where

"sep a (x # y # zs) = x # a # sep a (y # zs)" | "sep a xs = xs"

**fun** ack :: "nat  $\Rightarrow$  nat  $\Rightarrow$  nat"

#### where

```
"ack 0 n = Suc n" |
"ack (Suc m) 0 = ack m 1" |
"ack (Suc m) (Suc n) = ack m (ack (Suc m) n)"
```



- → The definiton:
  - pattern matching in all parameters
  - arbitrary, linear constructor patterns
  - reads equations sequentially like in Haskell (top to bottom)
  - proves termination automatically in many cases (tries lexicographic order)
- → Generates own induction principle
- → May fail to prove termination:
  - use function (sequential) instead
  - allows you to prove termination manually



- → Each **fun** definition induces an induction principle
- → For each equation:

show P holds for lhs, provided P holds for each recursive call on rhs

#### → Example sep.induct:

$$\begin{bmatrix} \land a. P \ a \ []; \\ \land a \ w. P \ a \ [w] \\ \land a \ x \ y \ zs. P \ a \ (y \# zs) \Longrightarrow P \ a \ (x \# y \# zs); \\ \end{bmatrix} \Longrightarrow P \ a \ xs$$



#### Isabelle tries to prove termination automatically

- → For most functions this works with a lexicographic termination relation.
- $\clubsuit$  Sometimes not  $\Rightarrow$  error message with unsolved subgoal
- → You can prove automation separately.

function (sequential) quicksort where

quicksort [] = [] | quicksort (x # xs) = quicksort  $[y \leftarrow xs.y \le x]@[x]@$  quicksort  $[y \leftarrow xs.x < y]$ by pat\_completeness auto

#### termination

**by** (relation "measure length") (auto simp: less\_Suc\_eq\_le)

## function is the fully tweakable, manual version of fun



## **D**емо

Copyright NICTA 2014, provided under Creative Commons Attribution License



#### Recall primrec:

- $\rightarrow$  defined one recursion operator per datatype D
- → inductive definition of its graph  $(x, f x) \in D\_rel$
- → prove totality:  $\forall x. \exists y. (x, y) \in D\_rel$
- → prove uniqueness:  $(x, y) \in D\_rel \Rightarrow (x, z) \in D\_rel \Rightarrow y = z$
- → recursion operator for datatype  $D\_rec$ , defined via THE.
- ➔ primrec: apply datatype recursion operator



Similar strategy for fun:

- $\rightarrow$  a new inductive definition for each fun f
- $\rightarrow$  extract *recursion scheme* for equations in *f*
- → define graph  $f\_rel$  inductively, encoding recursion scheme
- → prove totality (= termination)
- → prove uniqueness (automatic)
- $\rightarrow$  derive original equations from  $f\_rel$
- $\rightarrow$  export induction scheme from  $f\_rel$



Can separate and defer termination proof:

- → skip proof of totality
- → instead derive equations of the form:  $x \in f\_dom \Rightarrow f \ x = \dots$
- $\rightarrow$  similarly, conditional induction principle
- $f\_dom = acc f\_rel$
- → acc = accessible part of  $f\_rel$
- → the part that can be reached in finitely many steps
- → termination =  $\forall x. x \in f\_dom$
- → still have conditional equations for partial functions



## Command **termination fun\_name** sets up termination goal $\forall x. x \in fun\_name\_dom$

Three main proof methods:

- → lexicographic\_order (default tried by fun)
- → size\_change (different automated technique)
- → relation R (manual proof via well-founded relation)



#### Definition

 $<_r$  is well founded if well founded induction holds wf  $r \equiv \forall P. (\forall x. (\forall y <_r x.P y) \longrightarrow P x) \longrightarrow (\forall x. P x)$ 

#### Well founded induction rule:

$$\frac{\text{wf } r \quad \bigwedge x. \ (\forall y <_r x. \ P \ y) \Longrightarrow P \ x}{P \ a}$$

#### Alternative definition (equivalent):

there are no infinite descending chains, or (equivalent): every nonempty set has a minimal element wrt  $<_r$ 

$$\min r \ Q \ x \quad \equiv \quad \forall y \in Q. \ y \not<_r x$$

wf 
$$r = (\forall Q \neq \{\}, \exists m \in Q, \min r Q m)$$



## Well Founded Orders: Examples

- → < on IN is well founded</li>
   well founded induction = complete induction
- $\clubsuit$  > and  $\leq$  on  ${\rm I\!N}$  are **not** well founded
- →  $x <_r y = x \text{ dvd } y \land x \neq 1 \text{ on } \mathbb{N}$  is well founded the minimal elements are the prime numbers
- →  $(a,b) <_r (x,y) = a <_1 x \lor a = x \land b <_2 y$  is well founded if  $<_1$  and  $<_2$  are
- →  $A <_r B = A \subset B \land$  finite *B* is well founded
- $\clubsuit$   $\subseteq$  and  $\subset$  in general are not well founded

More about well founded relations: Term Rewriting and All That



So far for termination. What about the recursion scheme? Not fixed anymore as in primrec.

Examples:

→ fun fib where

fib 0 = 1 | fib (Suc 0) = 1 | fib (Suc (Suc n)) = fib n + fib (Suc n)

Recursion: Suc (Suc n)  $\rightsquigarrow$  n, Suc (Suc n)  $\rightsquigarrow$  Suc n

→ fun f where f x = (if x = 0 then 0 else f (x - 1) \* 2)

Recursion:  $x \neq 0 \Longrightarrow x \rightsquigarrow x - 1$ 

Higher Oder:

→ datatype 'a tree = Leaf 'a | Branch 'a tree list

**fun** treemap :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a tree  $\Rightarrow$  'a tree **where** treemap fn (Leaf n) = Leaf (fn n) | treemap fn (Branch I) = Branch (map (treemap fn) I)

```
Recursion: x \in \text{set I} \Longrightarrow (\text{fn, Branch I}) \rightsquigarrow (\text{fn, x})
```

#### How to extract the context information for the call?





Extracting context for equations

 $\Rightarrow$ 

**Congruence Rules!** 

Recall rule if\_cong:

$$[| b = c; c \Longrightarrow x = u; \neg c \Longrightarrow y = v |] \Longrightarrow$$
  
(if b then x else y) = (if c then u else v)

**Read:** for transforming x, use b as context information, for y use  $\neg b$ .

**In fun\_def:** for recursion in x, use *b* as context, for *y* use  $\neg b$ .



The same works for function definitions.

declare my\_rule[fundef\_cong]
(if\_cong already added by default)

Another example (higher-order):

 $[| xs = ys; \land x. x \in set ys \Longrightarrow f x = g x |] \Longrightarrow map f xs = map g ys$ 

**Read:** for recursive calls in f, f is called with elements of xs



## **D**емо

Copyright NICTA 2014, provided under Creative Commons Attribution License



#### Alexander Krauss,

## Automating Recursive Definitions and Termination Proofs in Higher-Order Logic. PhD thesis, TU Munich, 2009.

http://www4.in.tum.de/~krauss/diss/krauss\_phd.pdf



#### We have seen today ...

- → General recursion with fun/function
- → Induction over recursive functions
- → How fun works
- → Termination, partial functions, congruence rules