



COMP 4161
NICTA Advanced Course

Advanced Topics in Software Verification

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Content

- Intro & motivation, getting started [1]
- Foundations & Principles
 - Lambda Calculus, natural deduction [1,2]
 - Higher Order Logic [3^a]
 - Term rewriting [4]
- Proof & Specification Techniques
 - Inductively defined sets, rule induction [5]
 - Datatypes, recursion, induction [6, 7]
 - Hoare logic, proofs about programs, C verification [8^b, 9]
 - (mid-semester break)
 - Writing Automated Proof Methods [10]
 - Isar, codegen, typeclasses, locales [11^c, 12]

^aa1 due; ^ba2 due; ^ca3 due

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Datatypes

Example:

datatype 'a list = Nil | Cons 'a "'a list"

Properties:

→ Constructors:

Nil :: 'a list
Cons :: 'a ⇒ 'a list ⇒ 'a list

→ Distinctness: Nil ≠ Cons x xs

→ Injectivity: (Cons x xs = Cons y ys) = (x = y ∧ xs = ys)

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The General Case

datatype $(\alpha_1, \dots, \alpha_n) \tau =$

$$\begin{array}{l} C_1 \tau_{1,1} \dots \tau_{1,n_1} \\ | \dots \\ C_k \tau_{k,1} \dots \tau_{k,n_k} \end{array}$$

→ Constructors: $C_i :: \tau_{i,1} \Rightarrow \dots \Rightarrow \tau_{i,n_i} \Rightarrow (\alpha_1, \dots, \alpha_n) \tau$

→ Distinctness: $C_i \dots \neq C_j \dots$ if $i \neq j$

→ Injectivity: $(C_i x_1 \dots x_{n_i} = C_i y_1 \dots y_{n_i}) = (x_1 = y_1 \wedge \dots \wedge x_{n_i} = y_{n_i})$

Distinctness and Injectivity applied automatically

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How is this Type Defined?

```
datatype 'a list = Nil | Cons 'a "'a list"
```

- internally defined using typedef
- hence: describes a set
- set = trees with constructors as nodes
- inductive definition to characterise which trees belong to datatype

More detail: [HOL/Datatype.thy](#)

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Datatype Limitations

Must be definable as set.

- Infinitely branching ok.
- Mutually recursive ok.
- Strictly positive (right of function arrow) occurrence ok.

Not ok:

```
datatype t = C (t ⇒ bool)
           | D ((bool ⇒ t) ⇒ bool)
           | E ((t ⇒ bool) ⇒ bool)
```

Because: Cantor's theorem (α set is larger than α)

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Case

Every datatype introduces a **case** construct, e.g.

(case xs of [] \Rightarrow ... | $y \#ys \Rightarrow$... $y \dots ys \dots$)

In general: one case per constructor

- Nested patterns allowed: $x\#y\#zs$
- Dummy and default patterns with `_`
- Binds weakly, needs `()` in context

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Cases

`apply (case_tac t)`

creates k subgoals

$\llbracket t = C_i x_1 \dots x_p; \dots \rrbracket \Longrightarrow \dots$

one for each constructor C_i

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DEMO

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RECURSION

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Why nontermination can be harmful

How about $f\ x = f\ x + 1$?

Subtract $f\ x$ on both sides.

$$\begin{aligned} & \implies \\ 0 & = 1 \end{aligned}$$

! All functions in HOL must be total !

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Primitive Recursion

primrec guarantees termination structurally

Example primrec def:

```
primrec app :: "'a list ⇒ 'a list ⇒ 'a list"
where
  "app Nil ys = ys" |
  "app (Cons x xs) ys = Cons x (app xs ys)"
```

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The General Case



If τ is a datatype (with constructors C_1, \dots, C_k) then $f :: \tau \Rightarrow \tau'$ can be defined by **primitive recursion**:

$$\begin{aligned} f (C_1 y_{1,1} \dots y_{1,n_1}) &= r_1 \\ &\vdots \\ f (C_k y_{k,1} \dots y_{k,n_k}) &= r_k \end{aligned}$$

The recursive calls in r_i must be **structurally smaller**
(of the form $f a_1 \dots y_{i,j} \dots a_p$)

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How does this Work?



primrec just fancy syntax for a **recursion operator**

Example: `list_rec :: 'b => ('a => 'a list => 'b => 'b) => 'a list => 'b`
`list_rec f1 f2 Nil = f1`
`list_rec f1 f2 (Cons x xs) = f2 x xs (list_rec f1 f2 xs)`

`app ≡ list_rec (λys. ys) (λx xs xs'. λys. Cons x (xs' ys))`

primrec `app :: 'a list => 'a list => 'a list`

where

`"app Nil ys = ys"` |

`"app (Cons x xs) ys = Cons x (app xs ys)"`

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list_rec



Defined: automatically, first inductively (set), then by epsilon

$$\frac{}{(\text{Nil}, f_1) \in \text{list_rel } f_1 f_2} \quad \frac{(xs, xs') \in \text{list_rel } f_1 f_2}{(\text{Cons } x xs, f_2 x xs xs') \in \text{list_rel } f_1 f_2}$$

`list_rec f1 f2 xs ≡ THE y. (xs, y) ∈ list_rel f1 f2`

Automatic proof that set def indeed is total function
(the equations for `list_rec` are lemmas!)

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PREDEFINED DATATYPES



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nat is a datatype

datatype nat = 0 | Suc nat

Functions on nat definable by primrec!

primrec

$f\ 0 = \dots$

$f\ (Suc\ n) = \dots f\ n \dots$

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Option

datatype 'a option = None | Some 'a

Important application:

'b \Rightarrow 'a option \sim partial function:

None \sim no result

Some a \sim result a

Example:

primrec lookup :: 'k \Rightarrow ('k \times 'v) list \Rightarrow 'v option

where

lookup k [] = None |

lookup k (x #xs) = (if fst x = k then Some (snd x) else lookup k xs)

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DEMO: PRIMREC

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INDUCTION

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Structural induction

$P xs$ holds for all lists xs if

- $P Nil$
- and for arbitrary x and xs , $P xs \implies P (x\#xs)$

Induction theorem **list.induct**:

$\llbracket P []; \bigwedge a list. P list \implies P (a\#list) \rrbracket \implies P list$

- General proof method for induction: **(induct x)**
 - x must be a free variable in the first subgoal.
 - type of x must be a datatype.

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Basic heuristics

Theorems about recursive functions are proved by induction

Induction on argument number i of f
if f is defined by recursion on argument number i

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Example

A tail recursive list reverse:

primrec itrev :: 'a list \Rightarrow 'a list \Rightarrow 'a list

where

itrev [] $ys = ys$ |

itrev (x#xs) $ys = \text{itrev } xs (x\#ys)$

lemma itrev xs [] = rev xs

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DEMO: PROOF ATTEMPT

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Generalisation

Replace constants by variables

lemma *itrev xs ys = rev xs@ys*

Quantify free variables by \forall
(except the induction variable)

lemma $\forall ys. \text{itrev } xs \text{ } ys = \text{rev } xs@ys$

Or: **apply (induct xs arbitrary: ys)**

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We have seen today ...

- Datatypes
- Primitive recursion
- Case distinction
- Structural Induction

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Exercises

- define a primitive recursive function **Isum** :: nat list \Rightarrow nat that returns the sum of the elements in a list.
- show " $2 * \text{Isum } [0.. < \text{Suc } n] = n * (n + 1)$ "
- show " $\text{Isum } (\text{replicate } n \ a) = n * a$ "
- define a function **IsumT** using a tail recursive version of listsum.
- show that the two functions are equivalent: $\text{Isum } xs = \text{IsumT } xs$

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