



COMP 4161  
NICTA Advanced Course

Advanced Topics in Software Verification

Gerwin Klein, June Andronick, Toby Murray, Rafal Kolanski



Slide 1



Content

- Intro & motivation, getting started [1]
- Foundations & Principles [1,2]
  - Lambda Calculus, natural deduction [3]
  - Higher Order Logic [3]
  - Term rewriting [4<sup>a</sup>]
- Proof & Specification Techniques [5]
  - Inductively defined sets, rule induction [6<sup>b</sup>, 7]
  - Datatypes, recursion, induction [7]
  - Code generation, type classes [8,9<sup>c</sup>,10<sup>d</sup>]
  - Hoare logic, proofs about programs, refinement [11,12]
  - Isar, locales

<sup>a</sup>a1 due; <sup>b</sup>a2 due; <sup>c</sup>session break; <sup>d</sup>a3 due

Slide 2

Datatypes



Example:

`datatype 'a list = Nil | Cons 'a "'a list"`

Properties:

→ Constructors:

Nil :: 'a list  
Cons :: 'a ⇒ 'a list ⇒ 'a list

→ Distinctness: Nil ≠ Cons x xs

→ Injectivity: (Cons x xs = Cons y ys) = (x = y ∧ xs = ys)

Slide 3



The General Case

`datatype (α1, ..., αn) τ = C1 τ1,1 ... τ1,n1  
| ...  
| Ck τk,1 ... τk,nk`

→ Constructors: C<sub>i</sub> :: τ<sub>i,1</sub> ⇒ ... ⇒ τ<sub>i,n<sub>i</sub></sub> ⇒ (α<sub>1</sub>, ..., α<sub>n</sub>) τ

→ Distinctness: C<sub>i</sub> ... ≠ C<sub>j</sub> ... if i ≠ j

→ Injectivity: (C<sub>i</sub> x<sub>1</sub> ... x<sub>n<sub>i</sub></sub> = C<sub>i</sub> y<sub>1</sub> ... y<sub>n<sub>i</sub></sub>) = (x<sub>1</sub> = y<sub>1</sub> ∧ ... ∧ x<sub>n<sub>i</sub></sub> = y<sub>n<sub>i</sub></sub>)

Distinctness and Injectivity applied automatically

Slide 4

## How is this Type Defined?

```
datatype 'a list = Nil | Cons 'a "'a list"
```

- internally defined using typedef
- hence: describes a set
- set = trees with constructors as nodes
- inductive definition to characterise which trees belong to datatype

More detail: `HOL/Datatype.thy`

Slide 5

## Datatype Limitations

Must be definable as set.

- Infinitely branching ok.
- Mutually recursive ok.
- Strictly positive (right of function arrow) occurrence ok.

Not ok:

```
datatype t = C (t ⇒ bool)
          | D ((bool ⇒ t) ⇒ bool)
          | E ((t ⇒ bool) ⇒ bool)
```

Because: Cantor's theorem ( $\alpha$  set is larger than  $\alpha$ )

Slide 6



## Case

Every datatype introduces a **case** construct, e.g.

(case  $xs$  of []  $\Rightarrow$  ... |  $y \#ys \Rightarrow$  ...  $y \dots ys \dots$ )

In general: one case per constructor

- Nested patterns allowed:  $x\#y\#zs$
- Dummy and default patterns with `_`
- Binds weakly, needs `()` in context

Slide 7

## Cases

`apply (case_tac t)`

creates  $k$  subgoals

$\llbracket t = C_i x_1 \dots x_p; \dots \rrbracket \Longrightarrow \dots$

one for each constructor  $C_i$

Slide 8





---

## DEMO

Slide 9



## RECURSION

Slide 10

---

## Why nontermination can be harmful

How about  $f\ x = f\ x + 1$ ?

Subtract  $f\ x$  on both sides.

$$\begin{aligned} & \implies \\ & 0 = 1 \end{aligned}$$

**! All functions in HOL must be total !**

Slide 11

---

## Primitive Recursion

**primrec guarantees termination structurally**

**Example primrec def:**

```
primrec app :: "'a list ⇒ 'a list ⇒ 'a list"
where
  "app Nil ys = ys" |
  "app (Cons x xs) ys = Cons x (app xs ys)"
```

Slide 12

## The General Case



If  $\tau$  is a datatype (with constructors  $C_1, \dots, C_k$ ) then  $f :: \tau \Rightarrow \tau'$  can be defined by **primitive recursion**:

$$\begin{aligned} f (C_1 y_{1,1} \dots y_{1,n_1}) &= r_1 \\ &\vdots \\ f (C_k y_{k,1} \dots y_{k,n_k}) &= r_k \end{aligned}$$

The recursive calls in  $r_i$  must be **structurally smaller** (of the form  $f a_1 \dots y_{i,j} \dots a_p$ )

Slide 13

## How does this Work?



primrec just fancy syntax for a **recursion operator**

**Example:** `list_rec :: 'b => ('a => 'a list => 'b => 'b) => 'a list => 'b`  
`list_rec f1 f2 Nil = f1`  
`list_rec f1 f2 (Cons x xs) = f2 x xs (list_rec f1 f2 xs)`

`app ≡ list_rec (λys. ys) (λx xs xs'. λys. Cons x (xs' ys))`

**primrec** `app :: 'a list => 'a list => 'a list`

**where**

`"app Nil ys = ys"` |

`"app (Cons x xs) ys = Cons x (app xs ys)"`

Slide 14

## list\_rec



**Defined:** automatically, first inductively (set), then by epsilon

$$\frac{}{(\text{Nil}, f_1) \in \text{list\_rel } f_1 f_2} \quad \frac{(xs, xs') \in \text{list\_rel } f_1 f_2}{(\text{Cons } x xs, f_2 x xs xs') \in \text{list\_rel } f_1 f_2}$$

`list_rec f1 f2 xs ≡ SOME y. (xs, y) ∈ list_rel f1 f2`

Automatic proof that set def indeed is total function (the equations for list\_rec are lemmas!)

Slide 15

## PREDEFINED DATATYPES



Slide 16

nat is a datatype

**datatype** nat = 0 | Suc nat

Functions on nat definable by primrec!

**primrec**

$f\ 0 = \dots$

$f\ (Suc\ n) = \dots f\ n \dots$

Slide 17



Option

**datatype** 'a option = None | Some 'a

**Important application:**

'b  $\Rightarrow$  'a option  $\sim$  partial function:

None  $\sim$  no result

Some  $a$   $\sim$  result  $a$

**Example:**

**primrec** lookup :: 'k  $\Rightarrow$  ('k  $\times$  'v) list  $\Rightarrow$  'v option

**where**

lookup k [] = None |

lookup k (x #xs) = (if fst x = k then Some (snd x) else lookup k xs)

Slide 18



**DEMO: PRIMREC**

Slide 19



**INDUCTION**

Slide 20



## Structural induction

$P xs$  holds for all lists  $xs$  if

- $P Nil$
- and for arbitrary  $x$  and  $xs$ ,  $P xs \implies P (x\#xs)$

Induction theorem **list.induct**:

$\llbracket P []; \bigwedge a list. P list \implies P (a\#list) \rrbracket \implies P list$

- General proof method for induction: **(induct x)**
  - $x$  must be a free variable in the first subgoal.
  - type of  $x$  must be a datatype.



Slide 21

## Basic heuristics

**Theorems about recursive functions are proved by induction**

Induction on argument number  $i$  of  $f$   
if  $f$  is defined by recursion on argument number  $i$



Slide 22

## Example

**A tail recursive list reverse:**

**primrec**  $itrev :: 'a list \Rightarrow 'a list \Rightarrow 'a list$

**where**

$itrev [] \quad ys = ys \mid$

$itrev (x\#xs) \quad ys = itrev xs (x\#ys)$

**lemma**  $itrev xs [] = rev xs$



Slide 23

**DEMO: PROOF ATTEMPT**



Slide 24

## Generalisation

---



### Replace constants by variables

**lemma**  $\text{itrev } xs \ ys = \text{rev } xs @ ys$

**Quantify free variables by  $\forall$**   
(except the induction variable)

**lemma**  $\forall ys. \text{itrev } xs \ ys = \text{rev } xs @ ys$

Slide 25

## We have seen today ...

---



- Datatypes
- Primitive recursion
- Case distinction
- Structural Induction

Slide 26