



**COMP 4161**  
NICTA Advanced Course

**Advanced Topics in Software Verification**

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**C**

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**Content**

Rough timeline

- Intro & motivation, getting started [1]
- Foundations & Principles
  - Lambda Calculus, natural deduction [2,3,4<sup>a</sup>]
  - Higher Order Logic [5,6<sup>b</sup>,7]
  - Term rewriting [8,9,10<sup>c</sup>]
- Proof & Specification Techniques
  - Isar [11,12<sup>d</sup>]
  - Inductively defined sets, rule induction [13<sup>e</sup>,15]
  - Datatypes, recursion, induction [16,17<sup>f</sup>,18,19]
  - Computational reasoning, mathematics style proofs [20]
  - Hoare logic, proofs about programs [21<sup>g</sup>,22,23]

<sup>a</sup>a1 out; <sup>b</sup>a1 due; <sup>c</sup>a2 out; <sup>d</sup>a2 due; <sup>e</sup>session break; <sup>f</sup>a3 out; <sup>g</sup>a3 due

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**Last Time**

- Weakest preconditions
- Verification conditions
- Example program proofs
- Arrays, pointers
- Hard part: finding invariants

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**Program Verification**

**So far:**

- have verified functional programs written in HOL
- generated ML/Haskell/OCaml code for them
- learned about verifying imperative programs with Hoare Logic

**Next few lectures:**

- real C programs
- real Haskell programs

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### Main new problems in verifying C programs:

- expressions with side effects
- more control flow (do/while, for, break, continue, return)
- local variables and blocks
- functions & procedures
- concrete C data types
- C memory model and C pointers

**C is not a nice language for reasoning.**

**Things are going to get ugly.**

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### Approach for verifying C programs:

Translate into existing, clean imperative language in Isabelle.

### Simpl:

- generic imperative language by Norbert Schirmer, TU Munich
- state space and basic expressions/statements can be instantiated
- has operational semantics
- Hoare logic with soundness and completeness proof
- automated vcg
- available from the Archive of Formal Proofs <http://afp.sf.net>

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```

type_synonym 's bexp = "'s set"

datatype ('s, 'p, 'f) com =
  Skip
| Basic "'s => 's"
| Spec "'s * 's) set"
| Seq "'s, 'p, 'f) com" "'s, 'p, 'f) com"
| Cond "'s bexp" "'s, 'p, 'f) com" "'s, 'p, 'f) com"
| While "'s bexp" "'s, 'p, 'f) com"
| Call 'p
| DynCom "'s => ('s, 'p, 'f) com"
| Guard 'f "'s bexp" "'s, 'p, 'f) com"
| Throw
| Catch "'s, 'p, 'f) com" "'s, 'p, 'f) com"

's = state, 'p = procedure names, 'f = faults

```

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### DEMO: SIMPL



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## Plan



Almost all of C can be translated into Simpl.

This is the plan for today.

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## Expressions with side effects



```
a = a * b;  x = f(h);  i = ++i - i++;  x = f(h) + g(x);
```

→ `a = a * b` — Fine: easy to translate into Isabelle

→ `x = f(h)` — Fine: may have side effects, but can be translated sanely.

→ `i = ++i - i++` — Seriously? What does that even mean?

Make this an error, force programmer to write instead:

```
i0 = i; i++; i = i - i0; (or just i = 1)
```

→ `x = f(h) + g(x)` — Ok if `g` and `h` do not have any side effects

⇒ Prove all functions in expressions are side-effect free

**Alternative:** explicitly model nondeterministic order of execution in expressions.

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## Control flow



```
do { c } while (condition);
```

Already can treat normal while-loops! Automatically translate into:

```
c; while (condition) { c }
```

Similarly:

```
for (init; condition; increment) { c }
```

becomes

```
init; while (condition) { c; increment; }
```

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## More control flow: break/continue



```
while (condition) {  
  foo;  
  if (Q) continue;  
  bar;  
  if (P) break;  
}
```

Non-local control flow: `continue` goes to condition, `break` goes to end.

Can be modelled with exceptions:

- throw exception `continue`, catch at end of body.
- throw exception `break`, catch after loop.

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## Exceptions



Do not exist in C, but can be used to model C constructs.

Exceptions can be modelled with two kinds of state:

- **normal** states as before
- **abrupt** states — an exception was raised, normal commands are skipped.

### Simpl commands:

- **throw**: switch to abrupt state
- **try { c1 } catch { c2 }**:  
if c1 terminates abruptly, execute c2, otherwise execute only c1.

Use state to store which exception was thrown.

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## Break/continue



Break/continue example becomes:

```
try {
  while (condition) {
    try {
      foo;
      if (Q) { exception = 'continue'; throw; }
      bar;
      if (P) { exception = 'break'; throw; }
    } catch { if (exception == 'continue') SKIP else throw; }
  }
} catch { if (exception == 'break') SKIP else throw; }
```

**This is not C any more. But it models C behaviour!**

Need to be careful that only the translation has access to exception state.

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## Return



```
if (P) return x;
foo;
return y;
```

Similar non-local control flow. **Similar solution**: use throw/try/catch

```
try {
  if (P) { return_val = x; exception = 'return'; throw; }
  foo;
  return_val = x; exception = 'return'; throw;
} catch {
  SKIP
}
```

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## Hoare Rules for Exceptions



Need new kind of Hoare triples to model normal and abrupt state:

$$\{P\} f \{Q\}, \{E\}$$

If  $P$  holds initially, and

- $f$  terminates in state Normal  $s$ , then  $Q$   $s$ ;
- $f$  terminates in state Abrupt  $s$ , then  $E$   $s$

**Hoare Rules:**

$$\frac{}{\{Q\} \text{throw } \{P\}, \{Q\}} \quad \frac{\{P\} c_1 \{Q\}, \{R\} \quad \{R\} c_2 \{Q\}, \{E\}}{\{P\} \text{try } c_1 \text{ catch } c_2 \{Q\}, \{E\}}$$
$$\frac{\{P\} c_1 \{R\}, \{E\} \quad \{R\} c_2 \{Q\}, \{E\}}{\{P\} c_1; c_2 \{Q\}, \{E\}}$$

(the other rules analogous)

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## DEMO: CONTROL FLOW

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### Procedures in Simpl

Simpl com datatype

- has Call command
- but no procedure declaration
- and no local variables or parameters!

They can be simulated.

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### Operational Semantics of Simpl



(types  $s, p, f$  as before, Semantic.thy)

**datatype**  $xstate = Normal\ s \mid Abrupt\ s \mid Fault\ f \mid Stuck$

**type\_synonym**  $procs = p \Rightarrow com\ option$

**inductive**  $exec :: procs \Rightarrow com \Rightarrow xstate \Rightarrow xstate \Rightarrow bool$

$\Gamma \vdash (Skip, Normal\ s) \Rightarrow Normal\ s$

$\Gamma \vdash (Throw, Normal\ s) \Rightarrow Abrupt\ s$

...

$[[\ \Gamma\ p = Some\ c; \Gamma \vdash (c, Normal\ s) \Rightarrow s' \ ]] \implies \Gamma \vdash (Call\ p, Normal\ s) \Rightarrow s'$

$\Gamma\ p = None \implies \Gamma \vdash (Call\ p, Normal\ s) \Rightarrow Stuck$

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### Formal procedure parameters and local variables



Simpl only has one global state space.

**Basic idea:**

- separate all locals and all globals
- keep both in one state space record
- on procedure entry, set formal parameters to actual values
- on procedure exit, restore previous values of all locals

Implemented using DynCom:

**call**  $init\ body\ restore\ result =$

$DynCom\ (\lambda s. init; body; DynCom\ (\lambda t. restore\ s\ t; result\ t))$

**Example:** for procedure  $f(x) = \{ r = x + 2 \}$

$y = CALL\ f(7) \equiv call\ (x = 7)\ (r = x + 2)\ (\lambda s\ t. s\ (|globals := globals\ t\ |))\ (\lambda t. y = r\ t)$

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## Verifying Procedures

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**Simple idea:** replace/inline body. Does not work for recursion.

**Instead:**

- introduce assumed specifications for procedures
- outside call: no specification known, user provided
- but: can assume current specification for recursive call
- works like induction
- is proved by induction on the recursive call depth

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## DEMO: PROCEDURES

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## We have seen today ...

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- C control flow
- Exceptions with Hoare logic rules
- C functions and procedures with Hoare logic rules

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