



COMP 4161  
NICTA Advanced Course

Advanced Topics in Software Verification

Gerwin Klein, June Andronick, Toby Murray

# HOL

Slide 1

Exercises from last time



→ We said that  $\mathcal{E}$  implies the Axiom of Choice:

$$\forall x. \exists y. Q x y \implies \exists f. \forall x. Q x (f x)$$

→ Prove the axiom of choice as a lemma, using only the introduction and elimination rules for  $\forall$  and  $\exists$ , namely `allI`, `exI`, `allE`, `exE`, and the introduction rule for  $\mathcal{E}$ , `someI`, using only the proof methods `rule`, `rule_tac`, `erule`, `erule_tac` and `assumption`.

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Content



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Rough timeline

- Intro & motivation, getting started [1]
- Foundations & Principles
  - Lambda Calculus, natural deduction [2,3,4<sup>a</sup>]
  - Higher Order Logic [5,6<sup>b</sup>,7]
  - Term rewriting [8,9,10<sup>c</sup>]
- Proof & Specification Techniques
  - Isar [11,12<sup>d</sup>]
  - Inductively defined sets, rule induction [13<sup>e</sup>,15]
  - Datatypes, recursion, induction [16,17<sup>f</sup>,18,19]
  - Calculational reasoning, mathematics style proofs [20]
  - Hoare logic, proofs about programs [21<sup>g</sup>,22,23]

<sup>a</sup>a1 out; <sup>b</sup>a1 due; <sup>c</sup>a2 out; <sup>d</sup>a2 due; <sup>e</sup>session break; <sup>f</sup>a3 out; <sup>g</sup>a3 due

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## DEFINING HIGHER ORDER LOGIC

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## What is Higher Order Logic?



### → Propositional Logic:

- no quantifiers
- all variables have type bool

### → First Order Logic:

- quantification over values, but not over functions and predicates,
- terms and formulas syntactically distinct

### → Higher Order Logic:

- quantification over everything, including predicates
- consistency by types
- formula = term of type bool
- definition built on  $\lambda^{\rightarrow}$  with certain default types and constants

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## Defining Higher Order Logic



### Default types:

bool      $_ \Rightarrow _$      ind

→ bool sometimes called *o*

→  $\Rightarrow$  sometimes called *fun*

### Default Constants:

$\longrightarrow$  ::  $bool \Rightarrow bool \Rightarrow bool$

= ::  $\alpha \Rightarrow \alpha \Rightarrow bool$

$\epsilon$  ::  $(\alpha \Rightarrow bool) \Rightarrow \alpha$

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## Higher Order Abstract Syntax



**Problem:** Define syntax for binders like  $\forall, \exists, \epsilon$

**One approach:**  $\forall :: var \Rightarrow term \Rightarrow bool$

**Drawback:** need to think about substitution,  $\alpha$  conversion again.

**But:** Already have binder, substitution,  $\alpha$  conversion in meta logic

$\lambda$

**So:** Use  $\lambda$  to encode all other binders.

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## Higher Order Abstract Syntax



### Example:

ALL ::  $(\alpha \Rightarrow bool) \Rightarrow bool$

#### HOAS

ALL  $(\lambda x. x = 2)$

ALL  $P$

#### usual syntax

$\forall x. x = 2$

$\forall x. P x$

Isabelle can translate usual binder syntax into HOAS.

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## Side Track: Syntax Declarations in Isabelle



### → mixfix:

**consts** drvbl ::  $ct \Rightarrow ct \Rightarrow fm \Rightarrow bool$  ("\_ - \_ - \_")

**Legal syntax now:**  $\Gamma, \Pi \vdash F$

### → priorities:

pattern can be annotated with priorities to indicate binding strength

**Example:** drvbl ::  $ct \Rightarrow ct \Rightarrow fm \Rightarrow bool$  ("\_ - \_ - \_" [30, 0, 20] 60)

### → infix/infixr: short form for left/right associative binary operators

**Example:** or ::  $bool \Rightarrow bool \Rightarrow bool$  (infixr "∨" 30)

### → binders: declaration must be of the form

$c :: (\tau_1 \Rightarrow \tau_2) \Rightarrow \tau_3$  (binder "B" < p >)

$B x. P x$  translated into  $c P$  (and vice versa)

**Example** ALL ::  $(\alpha \Rightarrow bool) \Rightarrow bool$  (binder "∀" 10)

More (including pretty printing) in Isabelle Reference Manual (7.3)

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## Back to HOL



**Base:**  $bool, \Rightarrow, ind, =, \longrightarrow, \varepsilon$

### And the rest is definitions:

True  $\equiv (\lambda x :: bool. x) = (\lambda x. x)$

All  $P \equiv P = (\lambda x. True)$

Ex  $P \equiv \forall Q. (\forall x. P x \longrightarrow Q) \longrightarrow Q$

False  $\equiv \forall P. P$

$\neg P \equiv P \longrightarrow False$

$P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

$P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

If  $P x y \equiv \text{SOME } z. (P = True \longrightarrow z = x) \wedge (P = False \longrightarrow z = y)$

inj  $f \equiv \forall x y. f x = f y \longrightarrow x = y$

surj  $f \equiv \forall y. \exists x. y = f x$

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## The Axioms of HOL



$$\frac{}{t=t} \text{ refl} \quad \frac{s=t \quad P s}{P t} \text{ subst} \quad \frac{\bigwedge x. f x = g x}{(\lambda x. f x) = (\lambda x. g x)} \text{ ext}$$

$$\frac{P \Longrightarrow Q}{P \longrightarrow Q} \text{ impl} \quad \frac{P \longrightarrow Q \quad P}{Q} \text{ mp}$$

$$\frac{}{(P \longrightarrow Q) \longrightarrow (Q \longrightarrow P) \longrightarrow (P = Q)} \text{ iff}$$

$$\frac{}{P = True \vee P = False} \text{ True\_or\_False}$$

$$\frac{P ?x}{P (\text{SOME } x. P x)} \text{ someI}$$

$$\frac{}{\exists f :: ind \Rightarrow ind. \text{inj } f \wedge \neg \text{surj } f} \text{ infTy}$$

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## That's it.



- 3 basic constants
- 3 basic types
- 9 axioms

**With this you can define and derive all the rest.**

Isabelle knows 2 more axioms:

$$\frac{x=y}{x \equiv y} \text{ eq\_reflection} \quad \frac{}{(\text{THE } x. x = a) = a} \text{ the\_eq\_trivial}$$

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## DEMO: THE DEFINITIONS IN ISABELLE

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### Deriving Proof Rules

In the following, we will

- look at the definitions in more detail
- derive the traditional proof rules from the axioms in Isabelle

Convenient for deriving rules: **named assumptions in lemmas**

```

lemma [name :]
assumes [name1 :] "< prop >1"
assumes [name2 :] "< prop >2"
  ⋮
shows "< prop >" < proof >

```

**proves:** [ [ < prop ><sub>1</sub>; < prop ><sub>2</sub>; ... ] ⇒ < prop >

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### True

```

consts True :: bool
True ≡ (λx :: bool. x) = (λx. x)

```

**Intuition:**  
right hand side is always true

**Proof Rules:**

$$\frac{}{\text{True}} \text{TrueI}$$

**Proof:**

$$\frac{\frac{(\lambda x :: \text{bool}. x) = (\lambda x. x)}{\text{True}} \text{refl}}{\text{True}} \text{unfold True\_def}$$

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## DEMO

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## Universal Quantifier



**consts** ALL ::  $(\alpha \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
ALL  $P \equiv P = (\lambda x. \text{True})$

### Intuition:

- ALL  $P$  is Higher Order Abstract Syntax for  $\forall x. P x$ .
- $P$  is a function that takes an  $x$  and yields a truth values.
- ALL  $P$  should be true iff  $P$  yields true for all  $x$ , i.e. if it is equivalent to the function  $\lambda x. \text{True}$ .

### Proof Rules:

$$\frac{\bigwedge x. P x}{\forall x. P x} \text{allI} \quad \frac{\forall x. P x \quad P ?x \Longrightarrow R}{R} \text{allE}$$

**Proof:** Isabelle Demo

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## False



**consts** False ::  $\text{bool}$   
False  $\equiv \forall P. P$

### Intuition:

Everything can be derived from *False*.

### Proof Rules:

$$\frac{\text{False}}{P} \text{FalseE} \quad \frac{}{\text{True} \neq \text{False}}$$

**Proof:** Isabelle Demo

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## Negation



**consts** Not ::  $\text{bool} \Rightarrow \text{bool}$  ( $\neg$ )  
 $\neg P \equiv P \longrightarrow \text{False}$

### Intuition:

Try  $P = \text{True}$  and  $P = \text{False}$  and the traditional truth table for  $\longrightarrow$ .

### Proof Rules:

$$\frac{A \Longrightarrow \text{False}}{\neg A} \text{notI} \quad \frac{\neg A \quad A}{P} \text{notE}$$

**Proof:** Isabelle Demo

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## Existential Quantifier



**consts** EX ::  $(\alpha \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
EX  $P \equiv \forall Q. (\forall x. P x \longrightarrow Q) \longrightarrow Q$

### Intuition:

- EX  $P$  is HOAS for  $\exists x. P x$ . (like  $\forall$ )
- Right hand side is characterization of  $\exists$  with  $\forall$  and  $\longrightarrow$
- Note that inner  $\forall$  binds wide:  $(\forall x. P x \longrightarrow Q)$
- Remember lemma from last time:  $(\forall x. P x \longrightarrow Q) = ((\exists x. P x) \longrightarrow Q)$

### Proof Rules:

$$\frac{P ?x}{\exists x. P x} \text{exI} \quad \frac{\exists x. P x \quad \bigwedge x. P x \Longrightarrow R}{R} \text{exE}$$

**Proof:** Isabelle Demo

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## Conjunction



**consts** And ::  $bool \Rightarrow bool \Rightarrow bool$  ( $\_ \wedge \_$ )  
 $P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

### Intuition:

- Mirrors proof rules for  $\wedge$
- Try truth table for  $P$ ,  $Q$ , and  $R$

### Proof Rules:

$$\frac{A \quad B}{A \wedge B} \text{conjI} \quad \frac{A \wedge B \quad [A; B] \Longrightarrow C}{C} \text{conjE}$$

**Proof:** Isabelle Demo

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## Disjunction



**consts** Or ::  $bool \Rightarrow bool \Rightarrow bool$  ( $\_ \vee \_$ )  
 $P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

### Intuition:

- Mirrors proof rules for  $\vee$  (case distinction)
- Try truth table for  $P$ ,  $Q$ , and  $R$

### Proof Rules:

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \text{disjI1/2} \quad \frac{A \vee B \quad A \Longrightarrow C \quad B \Longrightarrow C}{C} \text{disjE}$$

**Proof:** Isabelle Demo

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## If-Then-Else



**consts** If ::  $bool \Rightarrow \alpha \Rightarrow \alpha \Rightarrow \alpha$  (if\_ then \_ else \_)  
If  $P \ x \ y \equiv \text{SOME } z. (P = \text{True} \longrightarrow z = x) \wedge (P = \text{False} \longrightarrow z = y)$

### Intuition:

- for  $P = \text{True}$ , right hand side collapses to  $\text{SOME } z. z = x$
- for  $P = \text{False}$ , right hand side collapses to  $\text{SOME } z. z = y$

### Proof Rules:

$$\frac{}{\text{if True then } s \text{ else } t = s} \text{ifTrue} \quad \frac{}{\text{if False then } s \text{ else } t = t} \text{ifFalse}$$

**Proof:** Isabelle Demo

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THAT WAS HOL



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## More on Automation

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**Last time:** safe and unsafe rule, heuristics: use safe before unsafe

### This can be automated

**Syntax:**

[<kind>!] for safe rules (<kind> one of intro, elim, dest)  
[<kind>] for unsafe rules

**Application** (roughly):

do safe rules first, search/backtrack on unsafe rules only

**Example:**

declare attribute globally	<b>declare</b> conjI [intro!] allE [elim]
remove attribute globally	<b>declare</b> allE [rule del]
use locally	<b>apply</b> (blast intro: someI)
delete locally	<b>apply</b> (blast del: conjI)

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## DEMO: AUTOMATION

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## We have learned today ...

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- Defining HOL
- Higher Order Abstract Syntax
- Deriving proof rules
- More automation

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