

**COMP 4161**

NICTA Advanced Course

**Advanced Topics in Software Verification**

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**HOL**

## Exercises from last time

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→ We said that  $\mathcal{E}$  implies the Axiom of Choice:

$$\forall x. \exists y. Q x y \implies \exists f. \forall x. Q x (f x)$$

→ Prove the axiom of choice as a lemma, using only the introduction and elimination rules for  $\forall$  and  $\exists$ , namely `allI`, `exI`, `allE`, `exE`, and the introduction rule for  $\mathcal{E}$ , `someI`, using only the proof methods `rule`, `rule_tac`, `erule`, `erule_tac` and `assumption`.

# Content

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Rough timeline

- Intro & motivation, getting started [1]
  
- Foundations & Principles
  - Lambda Calculus, natural deduction [2,3,4<sup>a</sup>]
  - Higher Order Logic [5,6<sup>b</sup>,7]
  - Term rewriting [8,9,10<sup>c</sup>]
  
- Proof & Specification Techniques
  - Isar [11,12<sup>d</sup>]
  - Inductively defined sets, rule induction [13<sup>e</sup>,15]
  - Datatypes, recursion, induction [16,17<sup>f</sup>,18,19]
  - Calculational reasoning, mathematics style proofs [20]
  - Hoare logic, proofs about programs [21<sup>g</sup>,22,23]

<sup>a</sup> a1 out; <sup>b</sup> a1 due; <sup>c</sup> a2 out; <sup>d</sup> a2 due; <sup>e</sup> session break; <sup>f</sup> a3 out; <sup>g</sup> a3 due

# DEFINING HIGHER ORDER LOGIC

# What is Higher Order Logic?

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## → Propositional Logic:

- no quantifiers
- all variables have type bool

## → First Order Logic:

- quantification over values, but not over functions and predicates,
- terms and formulas syntactically distinct

## → Higher Order Logic:

- quantification over everything, including predicates
- consistency by types
- formula = term of type bool
- definition built on  $\lambda^{\rightarrow}$  with certain default types and constants

# Defining Higher Order Logic

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## Default types:

`bool`                      `_  $\Rightarrow$  _`                      `ind`

→ `bool` sometimes called *o*

→  `$\Rightarrow$`  sometimes called *fun*

## Default Constants:

`→`                      `::`    *bool  $\Rightarrow$  bool  $\Rightarrow$  bool*

`=`                      `::`     *$\alpha \Rightarrow \alpha \Rightarrow bool$*

`$\epsilon$`                       `::`    *( $\alpha \Rightarrow bool$ )  $\Rightarrow \alpha$*

## Higher Order Abstract Syntax

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**Problem:** Define syntax for binders like  $\forall, \exists, \varepsilon$

**One approach:**  $\forall :: var \Rightarrow term \Rightarrow bool$

**Drawback:** need to think about substitution,  $\alpha$  conversion again.

**But:** Already have binder, substitution,  $\alpha$  conversion in meta logic

$\lambda$

**So:** Use  $\lambda$  to encode all other binders.

## Example:

$$\text{ALL} :: (\alpha \Rightarrow \text{bool}) \Rightarrow \text{bool}$$

**HOAS**

**usual syntax**

$\text{ALL } (\lambda x. x = 2)$

$\forall x. x = 2$

$\text{ALL } P$

$\forall x. P x$

Isabelle can translate usual binder syntax into HOAS.



## Side Track: Syntax Declarations in Isabelle

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### → **mixfix:**

**consts** `drvbl` ::  $ct \Rightarrow ct \Rightarrow fm \Rightarrow bool$  (`"_, _ ⊢ _"`)

**Legal syntax now:**  $\Gamma, \Pi \vdash F$

### → **priorities:**

pattern can be annotated with priorities to indicate binding strength

**Example:** `drvbl` ::  $ct \Rightarrow ct \Rightarrow fm \Rightarrow bool$  (`"_, _ ⊢ _" [30, 0, 20] 60`)

### → **infixl/infixr:** short form for left/right associative binary operators

**Example:** `or` ::  $bool \Rightarrow bool \Rightarrow bool$  (`infixr " ∨ " 30`)

### → **binders:** declaration must be of the form

$c$  ::  $(\tau_1 \Rightarrow \tau_2) \Rightarrow \tau_3$  (`binder "B" < p >`)

$B x. P x$  translated into  $c P$  (and vice versa)

**Example** `ALL` ::  $(\alpha \Rightarrow bool) \Rightarrow bool$  (`binder "∀" 10`)

More (including pretty printing) in Isabelle Reference Manual (7.3)

## Back to HOL

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**Base:**  $bool, \Rightarrow, ind \quad =, \longrightarrow, \varepsilon$

**And the rest is definitions:**

$\text{True} \equiv (\lambda x :: bool. x) = (\lambda x. x)$

$\text{All } P \equiv P = (\lambda x. \text{True})$

$\text{Ex } P \equiv \forall Q. (\forall x. P x \longrightarrow Q) \longrightarrow Q$

$\text{False} \equiv \forall P. P$

$\neg P \equiv P \longrightarrow \text{False}$

$P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

$P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

$\text{If } P x y \equiv \text{SOME } z. (P = \text{True} \longrightarrow z = x) \wedge (P = \text{False} \longrightarrow z = y)$

$\text{inj } f \equiv \forall x y. f x = f y \longrightarrow x = y$

$\text{surj } f \equiv \forall y. \exists x. y = f x$

# The Axioms of HOL

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$$\begin{array}{c}
 \frac{}{t = t} \text{ refl} \qquad \frac{s = t \quad P \ s}{P \ t} \text{ subst} \qquad \frac{\bigwedge x. f \ x = g \ x}{(\lambda x. f \ x) = (\lambda x. g \ x)} \text{ ext} \\
 \\
 \frac{P \ \Longrightarrow \ Q}{P \ \longrightarrow \ Q} \text{ impl} \qquad \frac{P \ \longrightarrow \ Q \quad P}{Q} \text{ mp} \\
 \\
 \frac{}{(P \ \longrightarrow \ Q) \ \longrightarrow \ (Q \ \longrightarrow \ P) \ \longrightarrow \ (P = Q)} \text{ iff} \\
 \\
 \frac{}{P = \text{True} \ \vee \ P = \text{False}} \text{ True\_or\_False} \\
 \\
 \frac{P \ ?x}{P \ (\text{SOME } x. P \ x)} \text{ someI} \\
 \\
 \frac{}{\exists f :: \text{ind} \ \Rightarrow \ \text{ind. inj } f \ \wedge \ \neg \text{surj } f} \text{ infTy}
 \end{array}$$

That's it.

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- 3 basic constants
- 3 basic types
- 9 axioms

**With this you can define and derive all the rest.**

Isabelle knows 2 more axioms:

$$\frac{x = y}{x \equiv y} \text{ eq\_reflection}$$

$$\frac{}{(\text{THE } x. x = a) = a} \text{ the\_eq\_trivial}$$

## DEMO: THE DEFINITIONS IN ISABELLE

## Deriving Proof Rules

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In the following, we will

- look at the definitions in more detail
- derive the traditional proof rules from the axioms in Isabelle

Convenient for deriving rules: **named assumptions in lemmas**

```
lemma [name :]  
assumes [name1 :] "< prop >1"  
assumes [name2 :] "< prop >2"  
⋮  
shows "< prop >" < proof >
```

```
proves: [ [< prop >1; < prop >2; ...]  $\implies$  < prop >
```

# True

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**consts** True :: *bool*

True  $\equiv$  ( $\lambda x :: \text{bool}. x$ ) = ( $\lambda x. x$ )

## Intuition:

right hand side is always true

## Proof Rules:

$$\frac{}{\text{True}} \text{TrueI}$$

## Proof:

$$\frac{\frac{}{(\lambda x :: \text{bool}. x) = (\lambda x. x)}}{\text{True}} \text{refl}}{\text{True}} \text{unfold True\_def}$$

# DEMO



# Universal Quantifier

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**consts** ALL ::  $(\alpha \Rightarrow \text{bool}) \Rightarrow \text{bool}$

ALL  $P \equiv P = (\lambda x. \text{True})$

## Intuition:

- ALL  $P$  is Higher Order Abstract Syntax for  $\forall x. P x$ .
- $P$  is a function that takes an  $x$  and yields a truth values.
- ALL  $P$  should be true iff  $P$  yields true for all  $x$ , i.e.  
if it is equivalent to the function  $\lambda x. \text{True}$ .

## Proof Rules:

$$\frac{\bigwedge x. P x}{\forall x. P x} \text{all} \qquad \frac{\forall x. P x \quad P ?x \Longrightarrow R}{R} \text{allE}$$

**Proof:** Isabelle Demo

# False

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**consts** False :: *bool*

False  $\equiv \forall P.P$

## Intuition:

Everything can be derived from *False*.

## Proof Rules:

$$\frac{\text{False}}{P} \text{ FalseE}$$
$$\overline{\text{True} \neq \text{False}}$$

**Proof:** Isabelle Demo

## Negation

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**consts** Not :: *bool*  $\Rightarrow$  *bool* ( $\neg$  \_)

$\neg P \equiv P \longrightarrow \text{False}$

### Intuition:

Try  $P = \text{True}$  and  $P = \text{False}$  and the traditional truth table for  $\longrightarrow$ .

### Proof Rules:

$$\frac{A \Longrightarrow \text{False}}{\neg A} \text{ notI} \qquad \frac{\neg A \quad A}{P} \text{ notE}$$

**Proof:** Isabelle Demo

# Existential Quantifier

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**consts** EX ::  $(\alpha \Rightarrow \text{bool}) \Rightarrow \text{bool}$

EX  $P \equiv \forall Q. (\forall x. P\ x \longrightarrow Q) \longrightarrow Q$

## Intuition:

- EX  $P$  is HOAS for  $\exists x. P\ x$ . (like  $\forall$ )
- Right hand side is characterization of  $\exists$  with  $\forall$  and  $\longrightarrow$
- Note that inner  $\forall$  binds wide:  $(\forall x. P\ x \longrightarrow Q)$
- Remember lemma from last time:  $(\forall x. P\ x \longrightarrow Q) = ((\exists x. P\ x) \longrightarrow Q)$

## Proof Rules:

$$\frac{P\ ?x}{\exists x. P\ x} \text{exI} \qquad \frac{\exists x. P\ x \quad \bigwedge x. P\ x \Longrightarrow R}{R} \text{exE}$$

**Proof:** Isabelle Demo

# Conjunction

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**consts** And :: *bool*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* ( $- \wedge -$ )

$P \wedge Q \equiv \forall R. (P \longrightarrow Q \longrightarrow R) \longrightarrow R$

## Intuition:

- Mirrors proof rules for  $\wedge$
- Try truth table for  $P$ ,  $Q$ , and  $R$

## Proof Rules:

$$\frac{A \quad B}{A \wedge B} \text{ conjI} \qquad \frac{A \wedge B \quad \llbracket A; B \rrbracket \Longrightarrow C}{C} \text{ conjE}$$

**Proof:** Isabelle Demo

# Disjunction

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**consts** Or :: *bool*  $\Rightarrow$  *bool*  $\Rightarrow$  *bool* (*-*  $\vee$  *-*)

$P \vee Q \equiv \forall R. (P \longrightarrow R) \longrightarrow (Q \longrightarrow R) \longrightarrow R$

## Intuition:

- Mirrors proof rules for  $\vee$  (case distinction)
- Try truth table for  $P$ ,  $Q$ , and  $R$

## Proof Rules:

$$\frac{A}{A \vee B} \quad \frac{B}{A \vee B} \quad \text{disjI1/2} \qquad \frac{A \vee B \quad A \Longrightarrow C \quad B \Longrightarrow C}{C} \quad \text{disjE}$$

**Proof:** Isabelle Demo

## If-Then-Else

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**consts** `if` ::  $bool \Rightarrow \alpha \Rightarrow \alpha \Rightarrow \alpha$  (`if_ then _ else _`)

`if P x y`  $\equiv$  `SOME z. (P = True  $\longrightarrow$  z = x)  $\wedge$  (P = False  $\longrightarrow$  z = y)`

### Intuition:

- for  $P = \text{True}$ , right hand side collapses to `SOME z. z = x`
- for  $P = \text{False}$ , right hand side collapses to `SOME z. z = y`

### Proof Rules:

$$\frac{}{\text{if True then } s \text{ else } t = s} \text{ifTrue} \qquad \frac{}{\text{if False then } s \text{ else } t = t} \text{ifFalse}$$

**Proof:** Isabelle Demo

**THAT WAS HOL**



## More on Automation

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**Last time:** safe and unsafe rule, heuristics: use safe before unsafe

**This can be automated**

**Syntax:**

[<kind>!]      for safe rules (<kind> one of intro, elim, dest)  
 [<kind>]        for unsafe rules

**Application** (roughly):

do safe rules first, search/backtrack on unsafe rules only

**Example:**

declare attribute globally	<b>declare</b> conjl [intro!] allE [elim]
remove attribute globally	<b>declare</b> allE [rule del]
use locally	<b>apply</b> (blast intro: some)
delete locally	<b>apply</b> (blast del: conjl)

## DEMO: AUTOMATION

## We have learned today ...

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- Defining HOL
- Higher Order Abstract Syntax
- Deriving proof rules
- More automation