

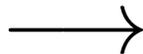


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**COMP 4161**  
NICTA Advanced Course

**Advanced Topics in Software Verification**

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**Slide 1**



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Last Time

- Introducing new Types
- Equations and Term Rewriting
- Confluence and Termination of reduction systems
- Term Rewriting in Isabelle

**Slide 3**



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Content

- Intro & motivation, getting started with Isabelle
- **Foundations & Principles**
  - Lambda Calculus
  - Higher Order Logic, natural deduction
  - **Term rewriting**
- Proof & Specification Techniques
  - Inductively defined sets, rule induction
  - Datatypes, recursion, induction
  - Calculational reasoning, mathematics style proofs
  - Hoare logic, proofs about programs

**Slide 2**



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Exercises

- use **typedef** to define a new type  $v$  with exactly one element.
- define a constant  $u$  of type  $v$
- show that every element of  $v$  is equal to  $u$
- design a set of rules that turns formulae with  $\wedge, \vee, \longrightarrow, \neg$  into disjunctive normal form  
(= disjunction of conjunctions with negation only directly on variables)
- prove those rules in Isabelle
- use **simp only** with these rules on  $(\neg B \longrightarrow C) \longrightarrow A \longrightarrow B$

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# ISAR

## A LANGUAGE FOR STRUCTURED PROOFS

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Isar

apply scripts	What about..
→ unreadable	→ Elegance?
→ hard to maintain	→ Explaining deeper insights?
→ do not scale	→ Large developments?
<b>No structure.</b>	<b>Isar!</b>

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A typical Isar proof

```
proof
  assume formula0
  have formula1 by simp
  ⋮
  have formulan by blast
  show formulan+1 by ...
qed
```

proves  $formula_0 \implies formula_{n+1}$

(analogous to **assumes/shows** in lemma statements)

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Isar core syntax

```
proof = proof [method] statement* qed
      | by method

method = (simp ...) | (blast ...) | (rule ...) | ...

statement = fix variables (∧)
           | assume proposition (⟹)
           | [from name+] (have | show) proposition proof
           | next (separates subgoals)

proposition = [name:] formula
```

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## proof and qed



**proof** [method] statement\* **qed**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

**assume** A: "A"

**from** A **show** "A" **by** assumption

**next**

**assume** B: "B"

**from** B **show** "B" **by** assumption

**qed**

- **proof** (<method>) applies method to the stated goal
- **proof** applies a single rule that fits
- **proof -** does nothing to the goal

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## How do I know what to Assume and Show?



**Look at the proof state!**

**lemma** "[A; B]  $\implies$  A  $\wedge$  B"

**proof** (rule conjI)

- **proof** (rule conjI) changes proof state to
  1. [A; B]  $\implies$  A
  2. [A; B]  $\implies$  B
- so we need 2 shows: **show** "A" and **show** "B"
- We are allowed to **assume** A, because A is in the assumptions of the proof state.

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## The Three Modes of Isar



→ **[prove]:**

goal has been stated, proof needs to follow.

→ **[state]:**

proof block has opened or subgoal has been proved, new *from* statement, goal statement or assumptions can follow.

→ **[chain]:**

*from* statement has been made, goal statement needs to follow.

**lemma** "[A; B]  $\implies$  A  $\wedge$  B" **[prove]**

**proof** (rule conjI) **[state]**

**assume** A: "A" **[state]**

**from** A **[chain]** **show** "A" **[prove]** **by** assumption **[state]**

**next** **[state]** ...

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## Have



Can be used to make intermediate steps.

**Example:**

**lemma** "(x :: nat) + 1 = 1 + x"

**proof -**

**have** A: "x + 1 = Suc x" **by** simp

**have** B: "1 + x = Suc x" **by** simp

**show** "x + 1 = 1 + x" **by** (simp only: A B)

**qed**

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## DEMO: ISAR PROOFS

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BACK TO TERM REWRITING ...

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## Applying a Rewrite Rule



- $l \rightarrow r$  **applicable** to term  $t[s]$   
if there is substitution  $\sigma$  such that  $\sigma l = s$
- **Result:**  $t[\sigma r]$
- **Equationally:**  $t[s] = t[\sigma r]$

### Example:

**Rule:**  $0 + n \rightarrow n$

**Term:**  $a + (0 + (b + c))$

**Substitution:**  $\sigma = \{n \mapsto b + c\}$

**Result:**  $a + (b + c)$

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## Conditional Term Rewriting



Rewrite rules can be conditional:

$$[P_1 \dots P_n] \Rightarrow l = r$$

is **applicable** to term  $t[s]$  with  $\sigma$  if

- $\sigma l = s$  and
- $\sigma P_1, \dots, \sigma P_n$  are provable by rewriting.

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## Rewriting with Assumptions



Last time: Isabelle uses assumptions in rewriting.

**Can lead to non-termination.**

**Example:**

**lemma** "f x = g x ∧ g x = f x ⇒ f x = 2"

simp                    **use and simplify** assumptions  
(simp (no\_asm))       **ignore** assumptions  
(simp (no\_asm\_use))   **simplify**, but do **not use** assumptions  
(simp (no\_asm\_simp)) **use**, but do **not simplify** assumptions

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## Preprocessing



Preprocessing (recursive) for maximal simplification power:

$\neg A \mapsto A = \text{False}$   
 $A \longrightarrow B \mapsto A \Longrightarrow B$   
 $A \wedge B \mapsto A, B$   
 $\forall x. A x \mapsto A ?x$   
 $A \mapsto A = \text{True}$

**Example:**

$(p \longrightarrow q \wedge \neg r) \wedge s$   
 $\mapsto$   
 $p \Longrightarrow q = \text{True} \quad r = \text{False} \quad s = \text{True}$

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## DEMO



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## Case splitting with simp



$P \text{ (if } A \text{ then } s \text{ else } t)$   
 $=$   
 $(A \longrightarrow P s) \wedge (\neg A \longrightarrow P t)$

**Automatic**

$P \text{ (case } e \text{ of } 0 \Rightarrow a \mid \text{Suc } n \Rightarrow b)$   
 $=$   
 $(e = 0 \longrightarrow P a) \wedge (\forall n. e = \text{Suc } n \longrightarrow P b)$

**Manually: apply** (simp split: nat.split)

Similar for any data type t: **t.split**

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## Congruence Rules



**congruence rules are about using context**

**Example:** in  $P \rightarrow Q$  we could use  $P$  to simplify terms in  $Q$

For  $\implies$  hardwired (assumptions used in rewriting)

For other operators expressed with conditional rewriting.

**Example:**  $[P = P'; P' \implies Q = Q'] \implies (P \rightarrow Q) = (P' \rightarrow Q')$

**Read:** to simplify  $P \rightarrow Q$

→ first simplify  $P$  to  $P'$

→ then simplify  $Q$  to  $Q'$  using  $P'$  as assumption

→ the result is  $P' \rightarrow Q'$

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## More Congruence



Sometimes useful, but not used automatically (slowdown):

**conj\_cong:**  $[P = P'; P' \implies Q = Q'] \implies (P \wedge Q) = (P' \wedge Q')$

Context for if-then-else:

**if\_cong:**  $[b = c; c \implies x = u; \neg c \implies y = v] \implies$   
 $(\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } u \text{ else } v)$

Prevent rewriting inside then-else (default):

**if\_weak\_cong:**  $b = c \implies (\text{if } b \text{ then } x \text{ else } y) = (\text{if } c \text{ then } x \text{ else } y)$

→ declare own congruence rules with **[cong]** attribute

→ delete with **[cong del]**

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## Ordered rewriting



**Problem:**  $x + y \rightarrow y + x$  does not terminate

**Solution:** use permutative rules only if term becomes lexicographically smaller.

**Example:**  $b + a \rightsquigarrow a + b$  but not  $a + b \rightsquigarrow b + a$ .

For types nat, int etc:

- lemmas **add\_ac** sort any sum (+)
- lemmas **times\_ac** sort any product (\*)

**Example:** **apply** (simp add: add\_ac) yields  
 $(b + c) + a \rightsquigarrow \dots \rightsquigarrow a + (b + c)$

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## AC Rules



**Example for associative-commutative rules:**

**Associative:**  $(x \odot y) \odot z = x \odot (y \odot z)$

**Commutative:**  $x \odot y = y \odot x$

These 2 rules alone get stuck too early (not confluent).

**Example:**  $(z \odot x) \odot (y \odot v)$

**We want:**  $(z \odot x) \odot (y \odot v) = v \odot (x \odot (y \odot z))$

**We get:**  $(z \odot x) \odot (y \odot v) = v \odot (y \odot (x \odot z))$

**We need:** **AC rule**  $x \odot (y \odot z) = y \odot (x \odot z)$

If these 3 rules are present for an AC operator  
Isabelle will order terms correctly

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## DEMO

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### Back to Confluence

**Last time:** confluence in general is undecidable.  
**But:** confluence for terminating systems is decidable!  
**Problem:** overlapping lhs of rules.

#### Definition:

Let  $l_1 \rightarrow r_1$  and  $l_2 \rightarrow r_2$  be two rules with disjoint variables.  
 They form a **critical pair** if a non-variable subterm of  $l_1$  unifies with  $l_2$ .

#### Example:

Rules: (1)  $f x \rightarrow a$  (2)  $g y \rightarrow b$  (3)  $f (g z) \rightarrow b$

Critical pairs:

$$\begin{array}{l} (1)+(3) \quad \{x \mapsto g z\} \quad a \xleftarrow{(1)} f g t \xrightarrow{(3)} b \\ (3)+(2) \quad \{z \mapsto y\} \quad b \xleftarrow{(3)} f g t \xrightarrow{(2)} b \end{array}$$

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### Completion

(1)  $f x \rightarrow a$  (2)  $g y \rightarrow b$  (3)  $f (g z) \rightarrow b$   
 is not confluent

**But it can be made confluent by adding rules!**

**How:** join all critical pairs

#### Example:

$$(1)+(3) \quad \{x \mapsto g z\} \quad a \xleftarrow{(1)} f g t \xrightarrow{(3)} b$$

shows that  $a = b$  (because  $a \xrightarrow{*} b$ ), so we add  $a \rightarrow b$  as a rule

This is the main idea of the Knuth-Bendix completion algorithm.

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### DEMO: WALDMEISTER

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We have learned today ...

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- Isar
- Conditional term rewriting
- Congruence rules
- AC rules
- More on confluence

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