



COMP 4161
NICTA Advanced Course

Advanced Topics in Software Verification

Simon Winwood, Toby Murray, June Andronick, Gerwin Klein



Slide 1



Last Time on HOL

- Defining HOL
- Higher Order Abstract Syntax
- Deriving proof rules
- More automation

Slide 3



Content

- Intro & motivation, getting started with Isabelle
- **Foundations & Principles**
 - Lambda Calculus
 - **Higher Order Logic, natural deduction**
 - **Term rewriting**
- Proof & Specification Techniques
 - Inductively defined sets, rule induction
 - Datatypes, recursion, induction
 - Calculational reasoning, mathematics style proofs
 - Hoare logic, proofs about programs

Slide 2



The Three Basic Ways of Introducing Theorems

- **Axioms:**
Example: `axioms refl: "t = t"`
Do not use. Evil. Can make your logic inconsistent.
- **Definitions:**
Example: `defs inj_def: "inj f ≡ ∀x y. f x = f y ⟶ x = y"`
- **Proofs:**
Example: `lemma "inj (λx. x + 1)"`
The harder, but safe choice.

Slide 4

The Three Basic Ways of Introducing Types



→ **typedect**: by name only

Example: **typedect** names

Introduces new type *names* without any further assumptions

→ **types**: by abbreviation

Example: **types** α rel = " $\alpha \Rightarrow \alpha \Rightarrow \text{bool}$ "

Introduces abbreviation *rel* for existing type $\alpha \Rightarrow \alpha \Rightarrow \text{bool}$

Type abbreviations are immediatly expanded internally

→ **typedef**: by definon as a set

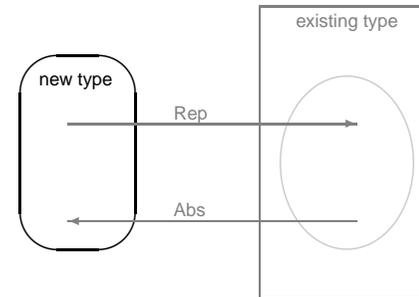
Example: **typedef** new_type = "{some set}" <proof>

Introduces a new type as a subset of an existing type.

The proof shows that the set on the rhs in non-empty.

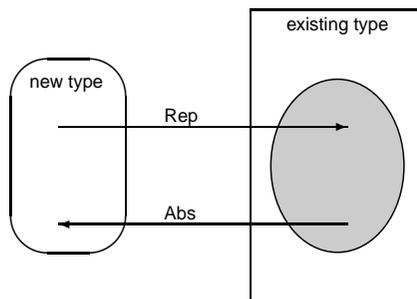
Slide 5

How typedef Works



Slide 7

How typedef Works



Slide 6

Example: Pairs



(α, β) Prod

① Pick existing type: $\alpha \Rightarrow \beta \Rightarrow \text{bool}$

② Identify subset:

$$(\alpha, \beta) \text{ Prod} = \{f. \exists a b. f = \lambda(x :: \alpha) (y :: \beta). x = a \wedge y = b\}$$

③ We get from Isabelle:

- functions Abs_Prod, Rep_Prod
- both injective
- $\text{Abs_Prod} (\text{Rep_Prod } x) = x$

④ We now can:

- define constants Pair, fst, snd in terms of Abs_Prod and Rep_Prod
- derive all characteristic theorems
- forget about Rep/Abs, use characteristic theorems instead

Slide 8



DEMO: INTRODUCING NEW TYPES

Slide 9



TERM REWRITING

Slide 10

The Problem



Given a set of equations

$$l_1 = r_1$$

$$l_2 = r_2$$

\vdots

$$l_n = r_n$$

does equation $l = r$ hold?

Applications in:

- **Mathematics** (algebra, group theory, etc)
- **Functional Programming** (model of execution)
- **Theorem Proving** (dealing with equations, simplifying statements)

Slide 11

Term Rewriting: The Idea



use equations as reduction rules

$$l_1 \rightarrow r_1$$

$$l_2 \rightarrow r_2$$

\vdots

$$l_n \rightarrow r_n$$

decide $l = r$ by deciding $l \xrightarrow{*} r$

Slide 12

Arrow Cheat Sheet

$\xrightarrow{0}$	$= \{(x, y) x = y\}$	identity
$\xrightarrow{n+1}$	$= \xrightarrow{n} \circ \longrightarrow$	n+1 fold composition
$\xrightarrow{+}$	$= \bigcup_{i>0} \xrightarrow{i}$	transitive closure
$\xrightarrow{*}$	$= \xrightarrow{+} \cup \xrightarrow{0}$	reflexive transitive closure
$\xrightarrow{=}$	$= \longrightarrow \cup \xrightarrow{0}$	reflexive closure
$\xrightarrow{-1}$	$= \{(y, x) x \longrightarrow y\}$	inverse
\longleftarrow	$= \xrightarrow{-1}$	inverse
\longleftrightarrow	$= \longleftarrow \cup \longrightarrow$	symmetric closure
$\xleftrightarrow{+}$	$= \bigcup_{i>0} \xleftrightarrow{i}$	transitive symmetric closure
$\xleftrightarrow{*}$	$= \xleftrightarrow{+} \cup \xleftrightarrow{0}$	reflexive transitive symmetric closure

Slide 13

How to Decide $l \xleftrightarrow{*} r$

Same idea as for β : look for n such that $l \xrightarrow{*} n$ and $r \xrightarrow{*} n$

Does this always work?

If $l \xrightarrow{*} n$ and $r \xrightarrow{*} n$ then $l \xleftrightarrow{*} r$. Ok.

If $l \xleftrightarrow{*} r$, will there always be a suitable n ? **No!**

Example:

Rules: $f x \longrightarrow a, \quad g x \longrightarrow b, \quad f (g x) \longrightarrow b$

$f x \xleftrightarrow{*} g x$ because $f x \longrightarrow a \longleftarrow f (g x) \longrightarrow b \longleftarrow g x$

But: $f x \longrightarrow a$ and $g x \longrightarrow b$ and a, b in normal form

Works only for systems with **Church-Rosser** property:

$$l \xleftrightarrow{*} r \implies \exists n. l \xrightarrow{*} n \wedge r \xrightarrow{*} n$$

Fact: \longrightarrow is Church-Rosser iff it is confluent.

Slide 14

Confluence



Problem:

is a given set of reduction rules confluent?

undecidable

Local Confluence



Fact: local confluence and termination \implies confluence

Slide 15

Termination

\longrightarrow is **terminating** if there are no infinite reduction chains

\longrightarrow is **normalizing** if each element has a normal form

\longrightarrow is **convergent** if it is terminating and confluent

Example:

\longrightarrow_{β} in λ is not terminating, but confluent

\longrightarrow_{β} in λ^{-} is terminating and confluent, i.e. convergent

Problem: is a given set of reduction rules terminating?

undecidable

Slide 16

When is \longrightarrow Terminating?



Basic Idea: when the r_i are in some way simpler then the l_i

More formally: \longrightarrow is terminating when
there is a well founded order $<$ in which $r_i < l_i$ for all rules.
(well founded = no infinite decreasing chains $a_1 > a_2 > \dots$)

Example: $f(gx) \longrightarrow gx, g(fx) \longrightarrow fx$

This system always terminates. Reduction order:

$s <_r t$ iff $size(s) < size(t)$ with
 $size(s)$ = number of function symbols in s

- ① $gx <_r f(gx)$ and $fx <_r g(fx)$
- ② $<_r$ is well founded, because $<$ is well founded on \mathbb{N}

Slide 17

Term Rewriting in Isabelle



Term rewriting engine in Isabelle is called **Simplifier**

apply simp

- uses simplification rules
- (almost) blindly from left to right
- until no rule is applicable.

termination: not guaranteed
(may loop)

confluence: not guaranteed
(result may depend on which rule is used first)

Slide 18

Control



- Equations turned into simplification rules with **[simp]** attribute
- Adding/deleting equations locally:
apply (simp add: <rules>) and **apply** (simp del: <rules>)
- Using only the specified set of equations:
apply (simp only: <rules>)

Slide 19

DEMO

Slide 20