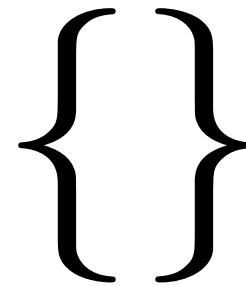


**COMP 4161**  
NICTA Advanced Course

**Advanced Topics in Software Verification**

Gerwin Klein  
Formal Methods



# CONTENT

- Intro & motivation, getting started with Isabelle
- Foundations & Principles
  - Lambda Calculus
  - Higher Order Logic, natural deduction
  - **Term rewriting**
- **Proof & Specification Techniques**
  - **Inductively defined sets, rule induction**
  - Datatypes, recursion, induction
  - Calculational reasoning, mathematics style proofs
  - Hoare logic, proofs about programs

## LAST TIME

- Isar, structured proofs
- Term rewriting, rule applications
- Conditional term rewriting
- Congruence rules

# ADVANCED TERM REWRITING

# ORDERED REWRITING

**Problem:**  $x + y \longrightarrow y + x$  does not terminate

**Solution:** use permutative rules only if term becomes lexicographically smaller.

**Example:**  $b + a \rightsquigarrow a + b$  but not  $a + b \rightsquigarrow b + a$ .

For types `nat`, `int` etc:

- lemmas **add\_ac** sort any sum (+)
- lemmas **times\_ac** sort any product (\*)

**Example:** `apply (simp add: add_ac)` yields  
 $(b + c) + a \rightsquigarrow \dots \rightsquigarrow a + (b + c)$

# AC RULES

## Example for associative-commutative rules:

**Associative:**  $(x \odot y) \odot z = x \odot (y \odot z)$

**Commutative:**  $x \odot y = y \odot x$

These 2 rules alone get stuck too early (not confluent).

Example:  $(z \odot x) \odot (y \odot v)$

We want:  $(z \odot x) \odot (y \odot v) = v \odot (x \odot (y \odot z))$

We get:  $(z \odot x) \odot (y \odot v) = v \odot (y \odot (x \odot z))$

**We need: AC rule**  $x \odot (y \odot z) = y \odot (x \odot z)$

If these 3 rules are present for an AC operator  
Isabelle will order terms correctly

**DEMO**

# BACK TO CONFLUENCE

**Last time:** confluence in general is undecidable.

**But:** confluence for terminating systems is decidable!

**Problem:** overlapping lhs of rules.

## Definition:

Let  $l_1 \longrightarrow r_1$  and  $l_2 \longrightarrow r_2$  be two rules with disjoint variables.

They form a **critical pair** if a non-variable subterm of  $l_1$  unifies with  $l_2$ .

## Example:

Rules: (1)  $f x \longrightarrow a$  (2)  $g y \longrightarrow b$  (3)  $f (g z) \longrightarrow b$

Critical pairs:

$$(1)+(3) \quad \{x \mapsto g z\} \quad a \xleftarrow{(1)} f g t \xrightarrow{(3)} b$$

$$(3)+(2) \quad \{z \mapsto y\} \quad b \xleftarrow{(3)} f g t \xrightarrow{(2)} b$$



# COMPLETION

$$(1) f x \longrightarrow a \quad (2) g y \longrightarrow b \quad (3) f (g z) \longrightarrow b$$

is not confluent

**But it can be made confluent by adding rules!**

**How:** join all critical pairs

**Example:**

$$(1)+(3) \quad \{x \mapsto g z\} \quad a \xleftarrow{(1)} f g t \xrightarrow{(3)} b$$

shows that  $a = b$  (because  $a \xleftarrow{*} b$ ), so we add  $a \longrightarrow b$  as a rule

This is the main idea of the Knuth-Bendix completion algorithm.

## DEMO: WALDMEISTER

# ORTHOGONAL REWRITING SYSTEMS

## Definitions:

A rule  $l \longrightarrow r$  is **left-linear** if no variable occurs twice in  $l$ .

A **rewrite system** is **left-linear** if all rules are.

A system is **orthogonal** if it is left-linear and has no critical pairs.

**Orthogonal rewrite systems are confluent**

Application: functional programming languages

**THAT WAS TERM REWRITING**

## MORE ISAR

## LAST TIME ON ISAR

- basic syntax
- proof and qed
- assume and show
- from and have
- the three modes of Isar

# BACKWARD AND FORWARD

## Backward reasoning: ... have " $A \wedge B$ " proof

- proof picks an **intro** rule automatically
- conclusion of rule must unify with  $A \wedge B$

## Forward reasoning: ...

**assume AB:** " $A \wedge B$ "

**from AB have "..."** proof

- now **proof** picks an **elim** rule automatically
- triggered by **from**
- first assumption of rule must unify with AB

## General case: from $A_1 \dots A_n$ have $R$ proof

- first  $n$  assumptions of rule must unify with  $A_1 \dots A_n$
- conclusion of rule must unify with  $R$

# FIX AND OBTAIN

**fix**  $v_1 \dots v_n$

Introduces new arbitrary but fixed variables  
( $\sim$  parameters,  $\wedge$ )

**obtain**  $v_1 \dots v_n$  **where**  $\langle \text{prop} \rangle$   $\langle \text{proof} \rangle$

Introduces new variables together with property



**DEMO**

# FANCY ABBREVIATIONS

<b>this</b>	=	the previous fact proved or assumed
<b>then</b>	=	<b>from this</b>
<b>thus</b>	=	<b>then show</b>
<b>hence</b>	=	<b>then have</b>
<b>with</b> $A_1 \dots A_n$	=	<b>from</b> $A_1 \dots A_n$ <b>this</b>
<b>?thesis</b>	=	the last enclosing goal statement

# MOREOVER AND ULTIMATELY

**have**  $X_1: P_1 \dots$

**have**  $X_2: P_2 \dots$

$\vdots$

**have**  $X_n: P_n \dots$

**from**  $X_1 \dots X_n$  **show**  $\dots$

**have**  $P_1 \dots$

**moreover** **have**  $P_2 \dots$

$\vdots$

**moreover** **have**  $P_n \dots$

**ultimately** **show**  $\dots$

wastes lots of brain power

on names  $X_1 \dots X_n$

# GENERAL CASE DISTINCTIONS

**show** *formula*

**proof** -

**have**  $P_1 \vee P_2 \vee P_3$  <proof>

**moreover** { **assume**  $P_1$  ... **have** ?thesis <proof> }

**moreover** { **assume**  $P_2$  ... **have** ?thesis <proof> }

**moreover** { **assume**  $P_3$  ... **have** ?thesis <proof> }

**ultimately show** ?thesis **by blast**

**qed**

{ ... } is a proof block similar to **proof ... qed**

{ **assume**  $P_1$  ... **have**  $P$  <proof> }

stands for  $P_1 \implies P$

# MIXING PROOF STYLES

**from ...**

**have ...**

**apply -**      make incoming facts assumptions

**apply (...)**

⋮

**apply (...)**

**done**