

**COMP 4161**

NICTA Advanced Course

**Advanced Topics in Software Verification**

Gerwin Klein

Formal Methods

$\{P\} \dots \{Q\}$

# CONTENT

- Intro & motivation, getting started with Isabelle
- Foundations & Principles
  - Lambda Calculus
  - Higher Order Logic, natural deduction
  - Term rewriting
- **Proof & Specification Techniques**
  - Inductively defined sets, rule induction
  - Datatypes, recursion, induction
  - More recursion, Computational reasoning
  - **Hoare logic, proofs about programs**
  - Locales, Presentation

# LAST TIME

- Calculations: also/finally
- [trans]-rules
- Code generation

# FINDING THEOREMS

Command **find\_theorems** (C-c C-f) finds combinations of:

- pattern: `"_ + _ + _"`
- lhs of simp rules: **simp:** `"_ * (_ + _)"`
- intro/elim/dest on current goal
- lemma name: **name:** `assoc`
- exclusions thereof: **-name:** `"HOL."`

**Example:**

**find\_theorems dest -"hd" name: "List."**

finds all theorems in the current context that

- match the goal as dest rule,
- do not contain the constant "hd"
- are in the List theory (name starts with "List.")

# ISAR: DEFINE AND DEFINES

Can define local constant in Isar proof context:

**proof**

```

...
define "f  $\equiv$  big term"
have "g = f x" ...
  
```

like definition, not automatically unfolded (f\_def)  
 different to **let** ?f = "big term"

Also available in lemma statement:

```

lemma ...:
  fixes ...
  assumes ...
  defines ...
  shows ...
  
```

# A CRASH COURSE IN SEMANTICS

# IMP - A SMALL IMPERATIVE LANGUAGE

## Commands:

<b>datatype</b> com	=	SKIP	
		Assign loc aexp	(- := -)
		Semi com com	(-; -)
		Cond bexp com com	(IF _ THEN _ ELSE _)
		While bexp com	(WHILE _ DO _ OD)

**types** loc = string

**types** state = loc  $\Rightarrow$  nat

**types** aexp = state  $\Rightarrow$  nat

**types** bexp = state  $\Rightarrow$  bool

# EXAMPLE PROGRAM

## Usual syntax:

```

B := 1;
WHILE A ≠ 0 DO
  B := B * A;
  A := A - 1
OD

```

## Expressions are functions from state to bool or nat:

```

B := ( $\lambda\sigma. 1$ );
WHILE ( $\lambda\sigma. \sigma A \neq 0$ ) DO
  B := ( $\lambda\sigma. \sigma B * \sigma A$ );
  A := ( $\lambda\sigma. \sigma A - 1$ )
OD

```



# WHAT DOES IT DO?

## So far we have defined:

- **Syntax** of commands and expressions
- **State** of programs (function from variables to values)

**Now we need:** the meaning (semantics) of programs

## How to define execution of a program?

- A wide field of its own
- Some choices:
  - Operational (inductive relations, big step, small step)
  - Denotational (programs as functions on states, state transformers)
  - Axiomatic (pre-/post conditions, Hoare logic)

# STRUCTURAL OPERATIONAL SEMANTICS

$$\overline{\langle \text{SKIP}, \sigma \rangle \longrightarrow \sigma}$$

$$\frac{e \sigma = v}{\langle x := e, \sigma \rangle \longrightarrow \sigma[x \mapsto v]}$$

$$\frac{\langle c_1, \sigma \rangle \longrightarrow \sigma' \quad \langle c_2, \sigma' \rangle \longrightarrow \sigma''}{\langle c_1; c_2, \sigma \rangle \longrightarrow \sigma''}$$

$$\frac{b \sigma = \text{True} \quad \langle c_1, \sigma \rangle \longrightarrow \sigma'}{\langle \text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2, \sigma \rangle \longrightarrow \sigma'}$$

$$\frac{b \sigma = \text{False} \quad \langle c_2, \sigma \rangle \longrightarrow \sigma'}{\langle \text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2, \sigma \rangle \longrightarrow \sigma'}$$

# STRUCTURAL OPERATIONAL SEMANTICS

$$\frac{b \sigma = \text{False}}{\langle \text{WHILE } b \text{ DO } c \text{ OD}, \sigma \rangle \longrightarrow \sigma}$$

$$\frac{b \sigma = \text{True} \quad \langle c, \sigma \rangle \longrightarrow \sigma' \quad \langle \text{WHILE } b \text{ DO } c \text{ OD}, \sigma' \rangle \longrightarrow \sigma''}{\langle \text{WHILE } b \text{ DO } c \text{ OD}, \sigma \rangle \longrightarrow \sigma''}$$

## **DEMO: THE DEFINITIONS IN ISABELLE**

# PROOFS ABOUT PROGRAMS

## Now we know:

- What programs are: Syntax
- On what they work: State
- How they work: Semantics

## So we can prove properties about programs

### Example:

Show that example program from slide 8 implements the factorial.

**lemma**  $\langle \text{factorial}, \sigma \rangle \longrightarrow \sigma' \implies \sigma' B = \text{fac } (\sigma A)$

(where  $\text{fac } 0 = 0$ ,  $\text{fac } (\text{Suc } n) = (\text{Suc } n) * \text{fac } n$ )

## DEMO: EXAMPLE PROOF

**TOO TEDIOUS**

**Induction needed for each loop**

**Is there something easier?**

# FLOYD/HOARE

**Idea:** describe meaning of program by pre/post conditions

**Examples:**

$$\{\text{True}\} \quad x := 2 \quad \{x = 2\}$$

$$\{y = 2\} \quad x := 21 * y \quad \{x = 42\}$$

$$\{x = n\} \quad \text{IF } y < 0 \text{ THEN } x := x + y \text{ ELSE } x := x - y \quad \{x = n - |y|\}$$

$$\{A = n\} \quad \text{factorial} \quad \{B = \text{fac } n\}$$

**Proofs:** have rules that directly work on such triples



# MEANING OF A HOARE-TRIPLE

$$\{P\} \ c \ \{Q\}$$

**What are the assertions  $P$  and  $Q$ ?**

- Here: again functions from state to bool  
(shallow embedding of assertions)
- Other choice: syntax and semantics for assertions (deep embedding)

**What does  $\{P\} \ c \ \{Q\}$  mean?**

**Partial Correctness:**

$$\models \{P\} \ c \ \{Q\} \quad \equiv \quad (\forall \sigma \ \sigma'. \ P \ \sigma \wedge \langle c, \sigma \rangle \longrightarrow \sigma' \implies Q \ \sigma')$$

**Total Correctness:**

$$\models \{P\} \ c \ \{Q\} \quad \equiv \quad (\forall \sigma. \ P \ \sigma \implies \exists \sigma'. \ \langle c, \sigma \rangle \longrightarrow \sigma' \wedge Q \ \sigma')$$

This lecture: partial correctness only (easier)

# HOARE RULES

$$\frac{}{\{P\} \text{ SKIP } \{P\}} \quad \frac{}{\{P[x \mapsto e]\} \quad x := e \quad \{P\}}$$

$$\frac{\{P\} \quad c_1 \quad \{R\} \quad \{R\} \quad c_2 \quad \{Q\}}{\{P\} \quad c_1; c_2 \quad \{Q\}}$$

$$\frac{\{P \wedge b\} \quad c_1 \quad \{Q\} \quad \{P \wedge \neg b\} \quad c_2 \quad \{Q\}}{\{P\} \quad \text{IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \quad \{Q\}}$$

$$\frac{\{P \wedge b\} \quad c \quad \{P\} \quad P \wedge \neg b \implies Q}{\{P\} \quad \text{WHILE } b \text{ DO } c \text{ OD} \quad \{Q\}}$$

$$\frac{P \implies P' \quad \{P'\} \quad c \quad \{Q'\} \quad Q' \implies Q}{\{P\} \quad c \quad \{Q\}}$$

# HOARE RULES

$$\frac{}{\vdash \{P\} \text{ SKIP } \{P\}} \quad \frac{}{\vdash \{\lambda\sigma. P (\sigma(x := e \sigma))\} x := e \{P\}}$$

$$\frac{\vdash \{P\} c_1 \{R\} \quad \vdash \{R\} c_2 \{Q\}}{\vdash \{P\} c_1; c_2 \{Q\}}$$

$$\frac{\vdash \{\lambda\sigma. P \sigma \wedge b \sigma\} c_1 \{R\} \quad \vdash \{\lambda\sigma. P \sigma \wedge \neg b \sigma\} c_2 \{Q\}}{\vdash \{P\} \text{ IF } b \text{ THEN } c_1 \text{ ELSE } c_2 \{Q\}}$$

$$\frac{\vdash \{\lambda\sigma. P \sigma \wedge b \sigma\} c \{P\} \quad \wedge \sigma. P \sigma \wedge \neg b \sigma \implies Q \sigma}{\vdash \{P\} \text{ WHILE } b \text{ DO } c \text{ OD } \{Q\}}$$

$$\frac{\wedge \sigma. P \sigma \implies P' \sigma \quad \vdash \{P'\} c \{Q'\} \quad \wedge \sigma. Q' \sigma \implies Q \sigma}{\vdash \{P\} c \{Q\}}$$

# ARE THE RULES CORRECT?

**Soundness:**  $\vdash \{P\} c \{Q\} \implies \models \{P\} c \{Q\}$

**Proof:** by rule induction on  $\vdash \{P\} c \{Q\}$

**Demo:** Hoare Logic in Isabelle