

# System Calls

Interface and Implementation

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# System Calls

Interface

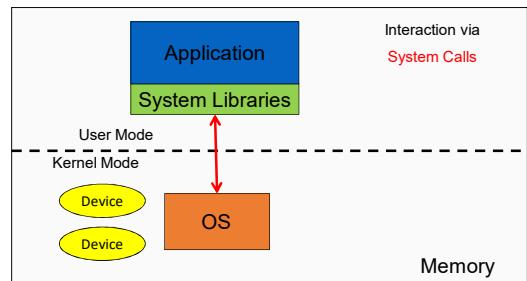
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## Learning Outcomes

- A high-level understanding of *System Call* interface
  - Mostly from the user's perspective
  - From textbook (section 1.6)
- Understanding of how the application-kernel boundary is crossed with system calls in general
  - Including an appreciation of the relationship between a case study (OS/161 system call handling) and the general case.
- Exposure architectural details of the MIPS R3000
  - Detailed understanding of the exception handling mechanism
  - From "Hardware Guide" on class web site

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## The Structure of a Computer System



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# System Calls

- Can be viewed as special function calls
  - Provides for a controlled entry into the kernel
  - While in kernel, they perform a privileged operation
  - Returns to original caller with the result
- The system call interface represents the abstract machine provided by the operating system.

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## The System Call Interface: A Brief Overview

- From the user's perspective
  - Process Management
  - File I/O
  - Directories management
  - Some other selected Calls
  - There are many more
    - On Linux, see `man syscalls` for a list

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## Some System Calls For Process Management

**Process management**

Call	Description
pid = fork()	Create a child process identical to the parent
pid = waitpid(pid, &statloc, options)	Wait for a child to terminate
s = execve(name, argv, environp)	Replace a process' core image
exit(status)	Terminate process execution and return status

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## Some System Calls For File Management

**File management**

Call	Description
fd = open(file, how, ...)	Open a file for reading, writing or both
s = close(fd)	Close an open file
n = read(fd, buffer, nbytes)	Read data from a file into a buffer
n = write(fd, buffer, nbytes)	Write data from a buffer into a file
position = lseek(fd, offset, whence)	Move the file pointer
s = stat(name, &buf)	Get a file's status information

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## Some System Calls For Directory Management

**Directory and file system management**

Call	Description
s = mkdir(name, mode)	Create a new directory
s = rmdir(name)	Remove an empty directory
s = link(name1, name2)	Create a new entry, name2, pointing to name1
s = unlink(name)	Remove a directory entry
s = mount(special, name, flag)	Mount a file system
s = umount(special)	Unmount a file system

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## Some System Calls For Miscellaneous Tasks

**Miscellaneous**

Call	Description
s = chdir(dirname)	Change the working directory
s = chmod(name, mode)	Change a file's protection bits
s = kill(pid, signal)	Send a signal to a process
seconds = time(&seconds)	Get the elapsed time since Jan. 1, 1970

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## System Calls

### A stripped down shell:

```
while (TRUE) { /* repeat forever */
    type_prompt(); /* display prompt */
    read_command (command, parameters); /* input from terminal */

    if (fork() != 0) /* Parent code */
        waitpid(-1, &status, 0); /* wait for child to exit */
    else { /* Child code */
        execve (command, parameters, 0); /* execute command */
    }
}
```

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## System Calls

UNIX	Win32	Description
fork	CreateProcess	Create a new process
waitpid	WaitForSingleObject	Can wait for a process to exit
execve	(none)	CreateProcess = fork + execve
exit	ExitProcess	Terminate execution
open	CreateFile	Create a file or open an existing file
close	CloseHandle	Close a file
read	ReadFile	Read data from a file
write	WriteFile	Write data to a file
lseek	SetFilePointer	Move the file pointer
stat	GetFileAttributesEx	Get various file attributes
mkdir	CreateDirectory	Create a new directory
rmdir	RemoveDirectory	Remove an empty directory
link	(none)	Win32 does not support links
unlink	DeleteFile	Destroy an existing file
mount	(none)	Win32 does not support mount
umount	(none)	Win32 does not support unmount
chdir	SetCurrentDirectory	Change the current working directory
chmod	(none)	Win32 does not support security (although NT does)
time	GetLocalTime	Get the current time

Some Win32 API calls

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## System Call Implementation

Crossing user-kernel boundary

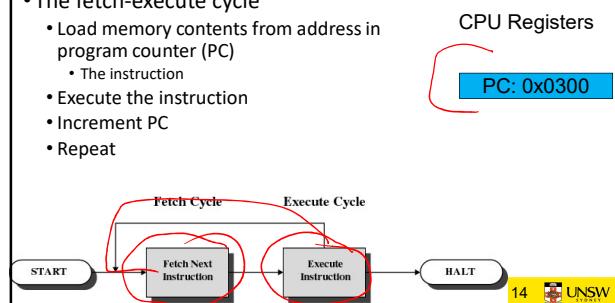
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## A Simple Model of CPU Computation

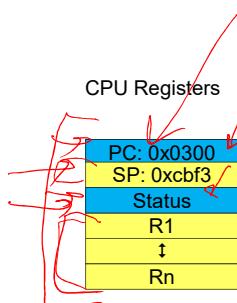
- The fetch-execute cycle

- Load memory contents from address in program counter (PC)
  - The instruction
- Execute the instruction
- Increment PC
- Repeat



## A Simple Model of CPU Computation

- Stack Pointer (SP)
- Status Register
  - Condition codes
    - Positive result
    - Zero result
    - Negative result
- General Purpose Registers
  - Holds operands of most instructions
  - Enables programmers (compiler) to minimise memory references.



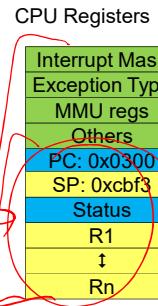
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## Privileged-mode Operation

- To protect operating system execution, two or more CPU modes of operation exist

- Privileged mode (system-, kernel-mode)
  - All instructions and registers are available
- User-mode
  - Uses 'safe' subset of the instruction set
    - Only affects the state of the application itself
    - They cannot be used to uncontrollably interfere with OS
  - Only 'safe' registers are accessible



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## Example Unsafe Instruction

- "cli" instruction on x86 architecture
  - Disables interrupts
- Example exploit
 

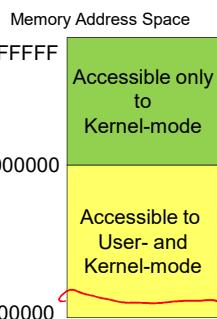
```
cli /* disable interrupts */
while (true)
    /* loop forever */;
```

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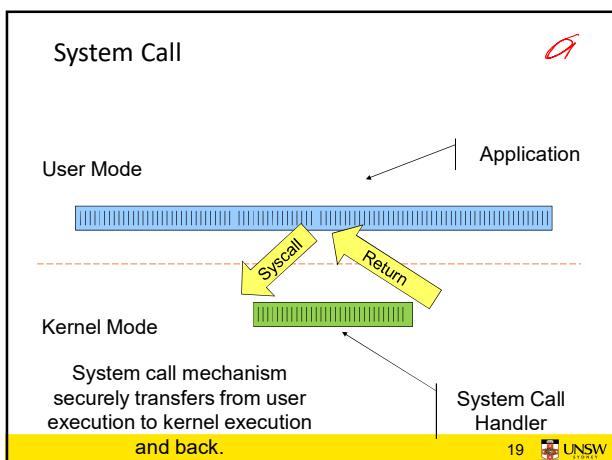
## Privileged-mode Operation

- The accessibility of addresses within an address space changes depending on operating mode
  - To protect kernel code and data
- Note: The exact memory ranges are usually configurable, and vary between CPU architectures and/or operating systems.



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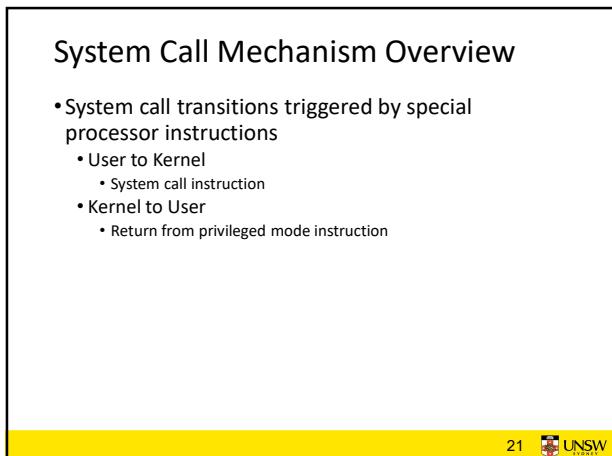




### Questions we'll answer

- There is only one register set
  - How is register use managed?
  - What does an application expect a system call to look like?
- How is the transition to kernel mode triggered?
- Where is the OS entry point (system call handler)?
- How does the OS know what to do?

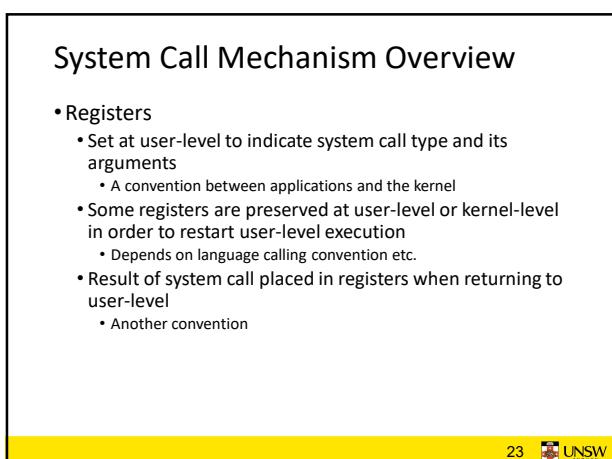
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### System Call Mechanism Overview

- Processor mode
  - Switched from user-mode to kernel-mode
    - Switched back when returning to user mode
- Stack Pointer (SP)
  - User-level SP is saved and a kernel SP is initialised
    - User-level SP restored when returning to user-mode
- Program Counter (PC)
  - User-level PC is saved and PC set to kernel entry point
    - User-level PC restored when returning to user-level
  - Kernel entry via the designated entry point must be strictly enforced

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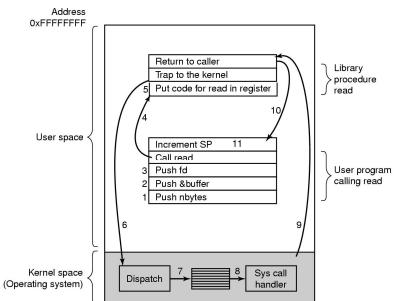


### Why do we need system calls?

- Why not simply jump into the kernel via a function call?????
  - Function calls do not
    - Change from user to kernel mode
      - and eventually back again
    - Restrict possible entry points to secure locations
      - To prevent entering after any security checks

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## Steps in Making a System Call



There are 11 steps in making the system call  
read (fd, buffer, nbytes)

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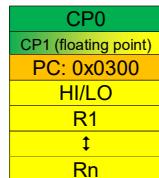
## The MIPS R2000/R3000

- Before looking at system call mechanics in some detail, we need a basic understanding of the MIPS R3000

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## Coprocessor 0

- The processor control registers are located in CPO
  - Exception/Interrupt management registers
  - Translation management registers
- CPO is manipulated using mtc0 (move to) and mfc0 (move from) instructions
  - mtc0/mfc0 are only accessible in kernel mode.



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## CPO Registers

- Exception Management**
  - c0\_cause
    - Cause of the recent exception
  - c0\_status
    - Current status of the CPU
  - c0\_epc
    - Address of the instruction that caused the exception
  - c0\_badvaddr
    - Address accessed that caused the exception
- Miscellaneous**
  - c0\_prid
    - Processor Identifier
- Memory Management**
  - c0\_index
  - c0\_random
  - c0\_entryhi
  - c0\_entrylo
  - c0\_context
- More about these later in course

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## c0\_status

31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16
CU3	CU2	CU1	CU0	0	RE	0	BEV	TS	PE	CM	PZ	SwC	IsC		
15				8	7	6	5	4	3	2	1	0			
	IM			0	KUo	IEo	KUp	IEp	KUc	IEc					

Figure 3.2. Fields in status register (SR)

- For practical purposes, you can ignore most bits
  - Green background is the focus

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## c0\_status

31	30	29	28	27	26	25	24	23	22	21	20	19	18	17	16
CU3	CU2	CU1	CU0	0	RE	0	BEV	TS	PE	CM	PZ	SwC	IsC		
15				8	7	6	5	4	3	2	1	0			
	IM			0	KUo	IEo	KUp	IEp	KUc	IEc					

Figure 3.2. Fields in status register (SR)

- IM**
  - Individual interrupt mask bits
    - 0 = kernel
    - 1 = user mode
  - 6 external
  - 2 software
- IE**
  - 0 = all interrupts masked
  - 1 = interrupts enable
    - Mask determined via IM bits
- c, p, o = current, previous, old

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c0_cause										
31	30	29	28	27	16	15	8	7	6	5
BD	0	CE	0		IP	0	ExcCode	0		0

Figure 3.3. Fields in the Cause register

- IP
  - Interrupts pending
    - 8 bits indicating current state of interrupt lines
- CE
  - Coprocessor error
    - Attempt to access disabled Copro.
- BD
  - If set, the instruction that caused the exception was in a branch delay slot
- ExcCode
  - The code number of the exception taken

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### Exception Codes

ExcCode Value	Mnemonic	Description
0	Int	Interrupt
1	Mod	"TLB modification"
2	TLBL	"TLB load/TLB store"
3	TLBS	
4	AdEL	Address error (on load/I-fetch or store respectively). Either an attempt to access outside kuseg when in user mode, or an attempt to read a word or half-word at a misaligned address.
5	AdES	

Table 3.2. ExcCode values: different kinds of exceptions

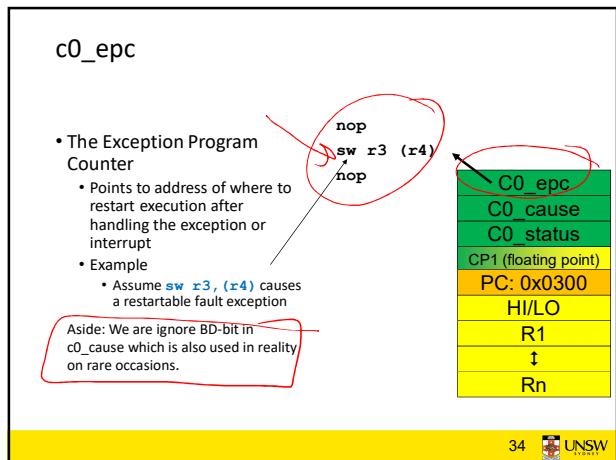
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### Exception Codes

ExcCode Value	Mnemonic	Description
6	IBE	Bus error (instruction fetch or data load, respectively). External hardware has signalled an error of some kind; proper exception handling is system-dependent. The R30xx family CPUs can't take a bus error on a store; the write buffer would make such an exception "imprecise".
7	DBE	
8	Syscall	Generated unconditionally by a <i>syscall</i> instruction.
9	Bp	Breakpoint - a break instruction.
10	RI	"reserved instruction"
11	CpU	"Co-Processor unusable"
12	Ov	"arithmetic overflow". Note that "unsigned" versions of instructions (e.g. <i>addiu</i> ) never cause this exception.
13-31	-	reserved. Some are already defined for MIPS CPUs such as the R6000 and R4xxx

Table 3.2. ExcCode values: different kinds of exceptions

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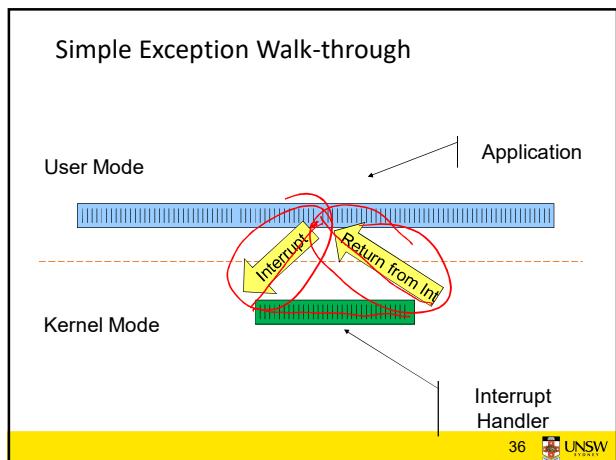
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### Exception Vectors

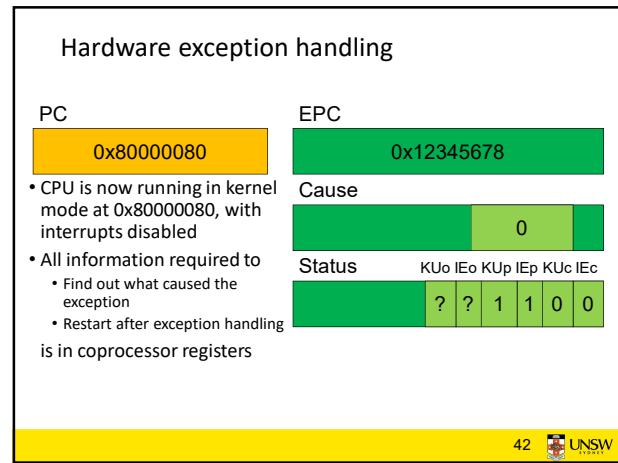
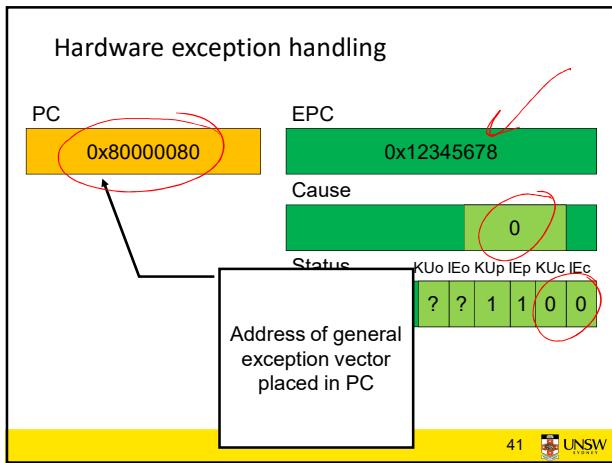
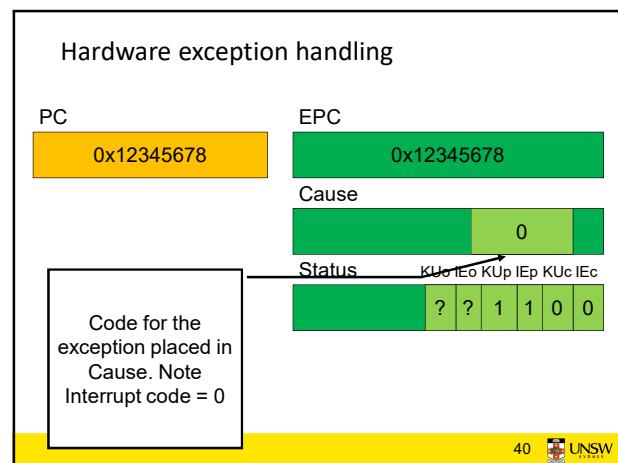
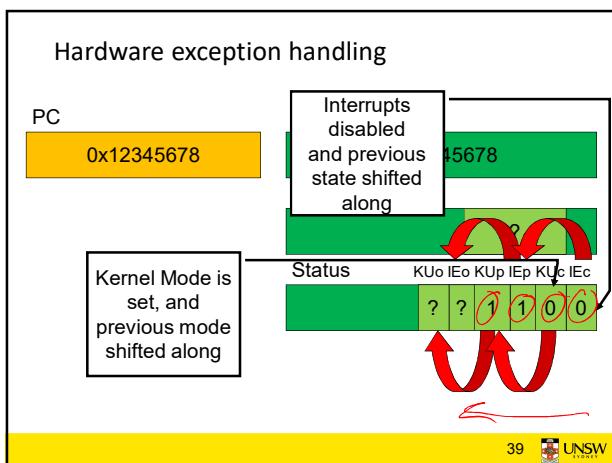
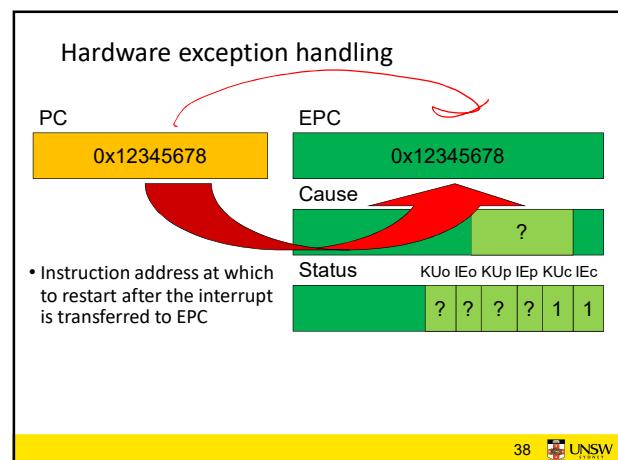
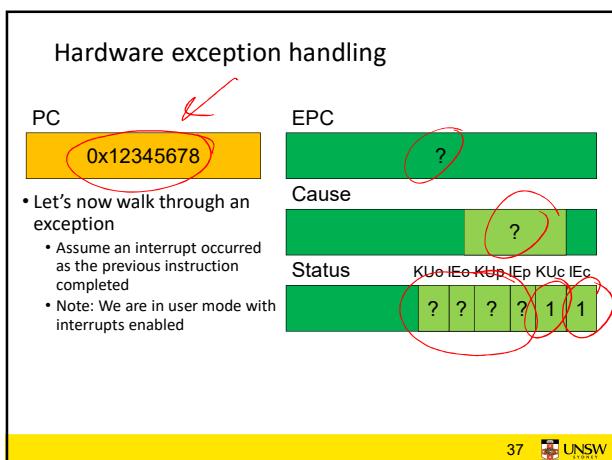
Program address	"segment"	Physical Address	Description
0x8000 0000	kseg0	0x0000 0000	TLB miss on kuseg reference only.
0x8000 0080	kseg0	0x0000 0080	All other exceptions.
0xbfc0 0100	kseg1	0x1fe0 0100	Uncached alternative kuseg TLB miss entry point (used if SR bit BEV set).
0xbfc0 0180	kseg1	0x1fe0 0180	Uncached alternative for all other exceptions, used if SR bit BEV set.
0xbfc0 0000	kseg1	0x1fe0 0000	The "reset exception".

Table 4.1. Reset and exception entry points (vectors) for R30xx family

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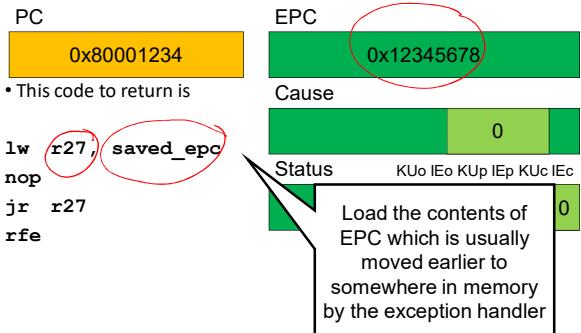


## Returning from an exception

- For now, let's ignore
  - how the exception is actually handled
  - how user-level registers are preserved
- Let's simply look at how we return from the exception

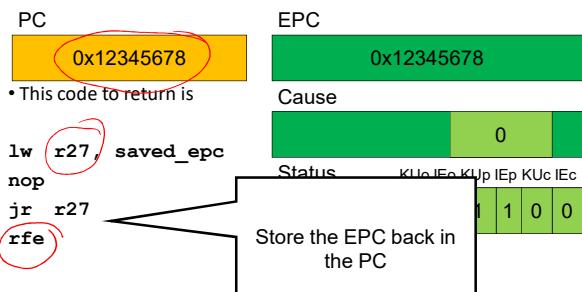
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## Returning from an exception



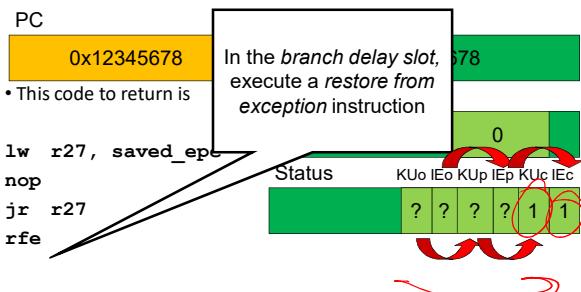
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## Returning from an exception



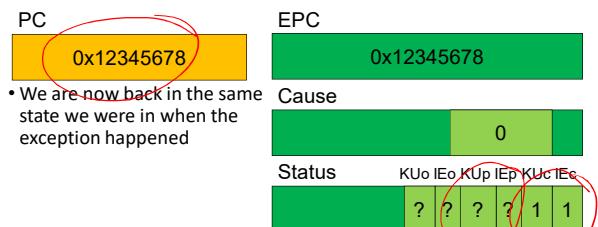
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## Returning from an exception



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## Returning from an exception



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## MIPS System Calls

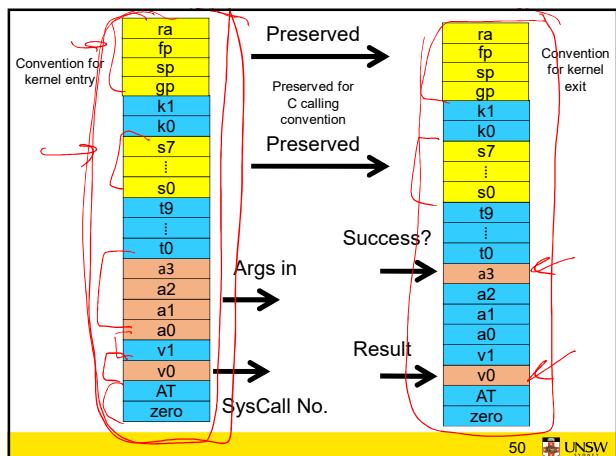
- System calls are invoked via a *syscall* instruction.
  - The *syscall* instruction causes an exception and transfers control to the general exception handler
  - A convention (an agreement between the kernel and applications) is required as to how user-level software indicates
    - Which system call is required
    - Where its arguments are
    - Where the result should go

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## OS/161 Systems Calls

- OS/161 uses the following conventions
  - Arguments are passed and returned via the normal C function calling convention
  - Additionally
    - Reg v0 contains the system call number
    - On return, reg a3 contains
      - 0 if success, v0 contains successful result
      - not 0 if failure, v0 has the errno.
      - v0 stored in errno
      - -1 returned in v0

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## CAUTION

• Seriously low-level code follows  
 • This code is not for the faint hearted

```
move    a0,s3
addiu  a1,sp,16
jal    40068c <read>
li     a2,1024
move    s0,v0
blez   s0,400194 <docat+0x
```

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## User-Level System Call Walk Through – Calling read()

int read(int filehandle, void \*buffer, size\_t size)  
 • Three arguments, one return value  
 • Code fragment calling the read function

<pre>400124: 02602021 move a0,s3 400128: 27a50010 addiu a1,sp/16 40012c: 0c1001a3 jal 40068c &lt;read&gt; 400130: 24060400 li a2,1024 400134: 00408021 move s0,v0 400138: 1a000016 blez s0,400194 &lt;docat+0x94&gt;</pre>	<pre>move a0,s3 addiu a1,sp/16 jal 40068c &lt;read&gt; li a2,1024 move s0,v0 blez s0,400194</pre>
--	---

• Args are loaded, return value is tested

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## Inside the read() syscall function part 1

```
0040068c <read>:
  40068c: 08100190 j 400640
  <__syscall>
  400690: 24020005 li v0,5
```

- Appropriate registers are preserved
  - Arguments (a0-a3), return address (ra), etc.
- The syscall number (5) is loaded into v0
- Jump (not jump and link) to the common syscall routine

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## The read() syscall function part 2

```
00400640 <__syscall>:
  400640: 0000000c syscall
  400644: 10e00005 beqz a3,40065c <__syscall+0x1c>
  400648: 00000000 nop
  40064c: 3c011000 lui at,0x1000
  400650: ac220000 sw v0,0(at)
  400654: 2403ffff li v1,-1
  400658: 2402ffff li v0,-1
  40065c: 03e00008 jr ra
  400660: 00000000 nop
```

Generate a syscall exception

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### The read() syscall function part 2

```
00400640 <_syscall>:
400640: 0000000c    syscall
400644: 10e00005    beqz a3,40065c <_syscall+0x1c>
400648: 00000000    nop
40064c: 3c011000    lui  at,0x1000
400650: ac220000    sw   v0,0(at)
400654: 2403ffff    li   v1,-1
400658: 2402ffff    li   v0,-1
40065c: 03e00008    jr   ra
400660: 00000000    nop
```

Test success, if yes,  
branch to return  
from function

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### The read() syscall function part 2

```
00400640 <_syscall>:
400640: 0000000c    syscall
400644: 10e00005    beqz a3,40065c <_syscall+0x1c>
400648: 00000000    nop
40064c: 3c011000    lui  at,0x1000
400650: ac220000    sw   v0,0(at)
400654: 2403ffff    li   v1,-1
400658: 2402ffff    li   v0,-1
40065c: 03e00008    jr   ra
400660: 00000000    nop
```

If failure, store code  
in *errno*

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### The read() syscall function part 2

```
00400640 <_syscall>:
400640: 0000000c    syscall
400644: 10e00005    beqz a3,40065c <_syscall+0x1c>
400648: 00000000    nop
40064c: 3c011000    lui  at,0x1000
400650: ac220000    sw   v0,0(at)
400654: 2403ffff    li   v1,-1
400658: 2402ffff    li   v0,-1
40065c: 03e00008    jr   ra
400660: 00000000    nop
```

Set read() result to  
-1

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### The read() syscall function part 2

```
00400640 <_syscall>:
400640: 0000000c    syscall
400644: 10e00005    beqz a3,40065c <_syscall+0x1c>
400648: 00000000    nop
40064c: 3c011000    lui  at,0x1000
400650: ac220000    sw   v0,0(at)
400654: 2403ffff    li   v1,-1
400658: 2402ffff    li   v0,-1
40065c: 03e00008    jr   ra
400660: 00000000    nop
```

Return to location  
after where read()  
was called

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### Summary

- From the caller's perspective, the read() system call behaves like a normal function call
  - It preserves the calling convention of the language
- However, the actual function implements its own convention by agreement with the kernel
  - Our OS/161 example assumes the kernel preserves appropriate registers(s0-s8, sp, gp, ra).
- Most languages have similar *libraries* that interface with the operating system.

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### System Calls - Kernel Side

- Things left to do
  - Change to kernel stack
  - Preserve registers by saving to memory (on the kernel stack)
  - Leave saved registers somewhere accessible to
    - Read arguments
    - Store return values
  - Do the "read()"
  - Restore registers
  - Switch back to user stack
  - Return to application

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## OS/161 Exception Handling

- Note: The following code is from the uniprocessor variant of OS161 (v1.x).
    - Simpler, but broadly similar to current version.

```
exception:
    move k1, sp          /* Save previous stack pointer in k1 */
    mfc0 k0, c0_status   /* Get status register */
    andi k0, k0, CST_KU  /* Check the we-were-in-user-mode bit */
    beq    k0, $0, 1f      /* clear, from kernel, already have stack */
    nop
    nop                 /* delay slot */

    /* Coming from user mode */
    la k0, curkstack
    lw sp, 0(k0)
    nop

1:
    mfc0 k0, c0_cause   /* Note cause */
    j common_exception
    nop
```

Note k0, k1  
registers  
available for  
kernel use

Note k0, k1  
registers  
available for  
kernel use

```

exception:
    move k1, sp           /* Save previous stack pointer in k1 */
    mfc0 k0, c0_status    /* Get status register */
    andi k0, k0, CST_Kup /* Check the we-were-in-user-mode bit */
    beq    k0, $0, 1f      /* If clear, from kernel, already have stack */
    nop                 /* delay slot */

/* Coming from user mode - load kernel stack into sp */
la k0, curkstack        /* get address of "curkstack" */
lw sp, 0(k0)            /* get its value */
nop                  /* delay slot for the load */

1:
    mfc0 k0, c0_cause   /* Now, load the exception cause. */
    j common_exception   /* Skip to common code */
    nop                 /* delay slot */

```

```

common_exception:

/*
 * At this point:
 *     Interrupts are off. (The processor did this for us.)
 *     k0 contains the exception cause value.
 *     k1 contains the old stack pointer.
 *     sp points into the kernel stack.
 *     All other registers are untouched.
 */

/*
 * Allocate stack space for 37 words to hold the trap frame,
 * plus four more words for a minimal argument block.
 */
addi sp, sp, -164

```

```
/* The order here must match mips/include/trapframe.h. */

sw ra, 160(sp) /* dummy for gdb */
sw s8, 156(sp) /* save s8 */
sw sp, 152(sp) /* dummy for gdb */
sw gp, 148(sp) /* save gp */
sw k1, 144(sp) /* dummy for gdb */
sw k0, 140(sp) /* dummy for gdb */

sw k1, 152(sp) /* real saved sp */
nop             /* delay slot for store */

mfco k1, c0_epc /* Copr.0 reg 13 == PC for
sw k1, 160(sp) /* real saved PC */
```

These six stores are a “hack” to avoid confusing GDB  
You can ignore the details of why and how

```
/* The order here must match mips/include/trapframe.h. */

sw ra, 160(sp) /* dummy for gdb */
sw s8, 156(sp) /* save s8 */
sw sp, 152(sp) /* dummy for gdb */
sw gp, 148(sp) /* save gp */
sw k1, 144(sp) /* dummy for gdb */
sw k0, 140(sp) /* dummy for gdb */

sw k1, 152(sp) /* real saved sp */
nop             /* delay slot for store */

mfc0 k1, c0_epc /* Copr.0 reg 13 == PC for exception */
sw k1, 160(sp) /* real saved PC */
```

The real work starts here

```

sw t9, 136(sp)
sw t8, 132(sp)
sw s7, 128(sp)
sw s6, 124(sp)
sw s5, 120(sp)
sw s4, 116(sp)
sw s3, 112(sp)
sw t2, 108(sp)
sw s1, 104(sp)
sw s0, 100(sp)
sw t7, 96(sp)
sw t6, 92(sp)
sw t5, 88(sp)
sw t4, 84(sp)
sw t3, 80(sp)
sw t2, 76(sp)
sw t1, 72(sp)
sw t0, 68(sp)
sw s9, 64(sp)
sw a2, 60(sp)
sw a1, 56(sp)
sw a0, 52(sp)
sw v1, 48(sp)
sw v0, 44(sp)
sw A7, 40(sp)
sw ra, 36(sp)

```

Save all the registers  
on the kernel stack

67 UNSW

```

/*
 * Save special registers.
 */
mfhi t0
mflo t1
sw t0, 32(sp)
sw t1, 28(sp)

/*
 * Save remaining exception context information.
 */

sw k0, 24(sp) /* k0 was loaded with cause earlier */
mfc0 t1, c0_status /* Copr.0 reg 11 == status */
sw t1, 20(sp)
mfc0 t2, c0_vaddr /* Copr.0 reg 8 == faulting vaddr */
sw t2, 16(sp)

/*
 * Pretend to save $0 for gdb's benefit.
 */
sw $0, 12(sp)

```

We can now use the  
other registers (t0, t1)  
that we have  
preserved on the stack

68 UNSW

```

/*
 * Prepare to call mips_trap(struct trapframe *)
*/
addiu a0, sp, 16 /* set argument */
jal mips_trap /* call it */
nop /* delay slot */

```

Create a pointer to the  
base of the saved  
registers and state in  
the first argument  
register

69 UNSW

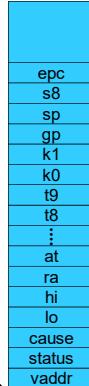
```

struct trapframe {
    u_int32_t tf_vaddr; /* vaddr register */
    u_int32_t tf_status; /* status register */
    u_int32_t tf_cause; /* cause register */
    u_int32_t tf_lo;
    u_int32_t tf_t1;
    u_int32_t tf_ra; /* Saved register 31 */
    u_int32_t tf_at; /* Saved register 1 (AT) */
    u_int32_t tf_v0; /* Saved register 2 (v0) */
    u_int32_t tf_v1; /* etc. */
    u_int32_t tf_a1;
    u_int32_t tf_a2;
    u_int32_t tf_a3;
    u_int32_t tf_t0;
    u_int32_t tf_t7;
    u_int32_t tf_s0;
    u_int32_t tf_a7;
    u_int32_t tf_t9;
    u_int32_t tf_x0;
    u_int32_t tf_x1;
    u_int32_t tf_sp;
    u_int32_t tf_tp;
    u_int32_t tf_s8;
    u_int32_t tf_epc; /* coprocessor 0 epc register */
};

```

By creating a pointer to  
here of type struct  
trapframe \*, we can  
access the user's saved  
registers as normal  
variables within 'C'

Kernel Stack



70 UNSW

Now we arrive in the 'C' kernel

```

/*
 * General trap (exception) handling function for mips.
 * This is called by the assembly-language exception handler once
 * the trapframe has been set up.
 */
void
mips_trap(struct trapframe *tf)
{
    u_int32_t code, isutlb, iskern;
    int savespl;

    /* The trap frame is supposed to be 37 registers long. */
    assert(sizeof(struct trapframe)==(37*4));

    /* Save the value of curspl, which belongs to the old context. */
    savespl = curspl;

    /* Right now, interrupts should be off. */
    curspl = SPL_HIGH;
}

```

71 UNSW

What happens next?

- The kernel deals with whatever caused the exception
  - Syscall
  - Interrupt
  - Page fault
  - It potentially modifies the trapframe, etc
    - E.g., Store return code in v0, zero in a3
- 'mips\_trap' eventually returns

72 UNSW

```

exception_return:

/*      16(sp)          no need to restore tf_vaddr */
lw t0, 20(sp)           /* load status register value into t0 */
nop                   /* load delay slot */
mtc0 t0, c0_status     /* store it back to coprocessor 0 */
/*      24(sp)          no need to restore tf_cause */

/* restore special registers */
lw t1, 28(sp)
lw t0, 32(sp)
mtlo t1
mthi t0

/* load the general registers */
lw ra, 36(sp)

lw AT, 40(sp)
lw v0, 44(sp)
lw v1, 48(sp)
lw a0, 52(sp)
lw a1, 56(sp)
lw a2, 60(sp)
lw a3, 64(sp)

```

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```

lw t0, 68(sp)
lw t1, 72(sp)
lw t2, 76(sp)
lw t3, 80(sp)
lw t4, 84(sp)
lw t5, 88(sp)
lw t6, 92(sp)
lw t7, 96(sp)
lw s0, 100(sp)
lw s1, 104(sp)
lw s2, 108(sp)
lw s3, 112(sp)
lw s4, 116(sp)
lw s5, 120(sp)
lw s6, 124(sp)
lw s7, 128(sp)
lw t8, 132(sp)
lw t9, 136(sp)

/*      140(sp)          "saved" k0 was dummy garbage anyway */
/*      144(sp)          "saved" k1 was dummy garbage anyway */

```

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```

lw gp, 148(sp)          /* restore gp */
/*      152(sp)          stack pointer - below */
lw s8, 156(sp)          /* restore s8 */
lw k0, 160(sp)          /* fetch exception return PC into k0 */

lw sp, 152(sp)          /* fetch saved sp (must be last) */

/* done */
jr k0                  /* jump back */
rfe                   /* in delay slot */
.end common_exception

```

Note again that only  
k0, k1 have been  
trashed

75 