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# COMP2521 25T2

## Analysis of Algorithms

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- Program efficiency is critical for many applications:
  - Finance, robotics, games, database systems, ...
- We may want to compare programs to decide which one to use
- We may want to determine whether a program will be “fast enough”

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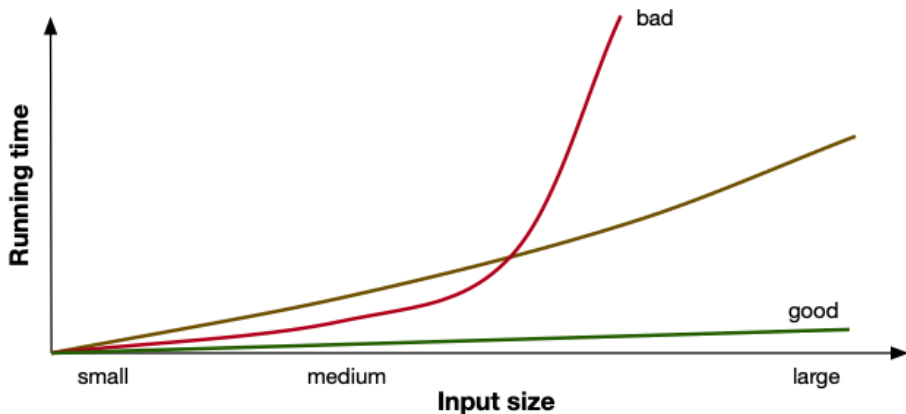
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What determines how fast a program runs?

- The operating system?
- Compilers?
- Hardware?
  - E.g., CPU, GPU, cache
- Load on the machine?
- Most important: the data structures and **algorithms** used

- The running time of an algorithm tends to be a function of input size
- Typically: larger input  $\Rightarrow$  longer running time
  - Small inputs: fast running time, regardless of algorithm
  - Larger inputs: slower, but how much slower?



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- Best-case performance
  - Not very useful
  - Usually only occurs for specific types of input
- Average-case performance
  - Difficult; need to know how the program is used
- Worst-case performance
  - Most important; determines how long the program could possibly run

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**Time complexity** is  
the amount of time it takes to run an algorithm,  
*as a function of the input size*

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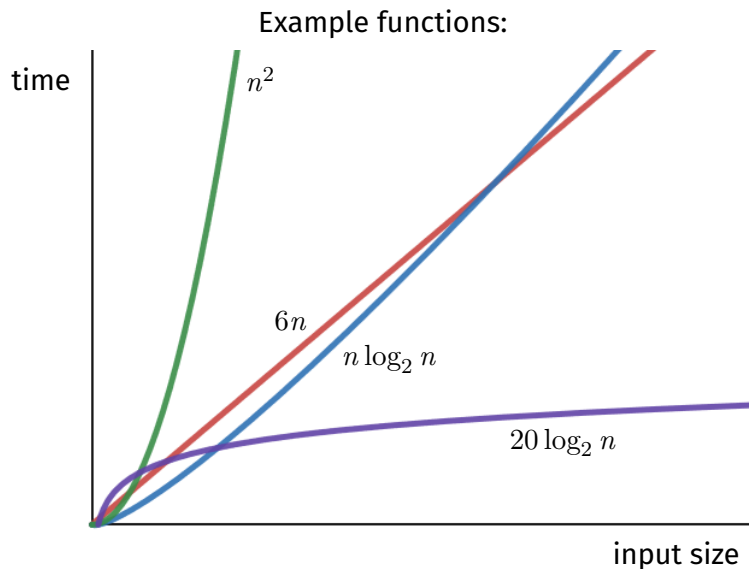
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The time complexity of an algorithm can be analysed in two ways:

- Empirically: Measuring the time that a program implementing the algorithm takes to run
- Theoretically: Counting the number of operations or “steps” performed by the algorithm as a function of input size



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The search problem:

Given an array of size  $n$  and a value,  
return the index containing the value if it exists,  
otherwise return -1.

[0]	[1]	[2]	[3]	[4]	[5]	[6]
2	16	11	1	9	4	15

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- 1 Write a program that implements the algorithm
- 2 Run the program with inputs of varying size and composition
- 3 Measure the running time of the algorithm
- 4 Plot the results

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We can measure the running time of an algorithm using *clock(3)*.

- The *clock()* function determines the amount of processor time used since the start of the process.

```
#include <time.h>
```

```
clock_t start = clock();  
// algorithm code here...  
clock_t end = clock();
```

```
double seconds = (double)(end - start) / CLOCKS_PER_SEC;
```

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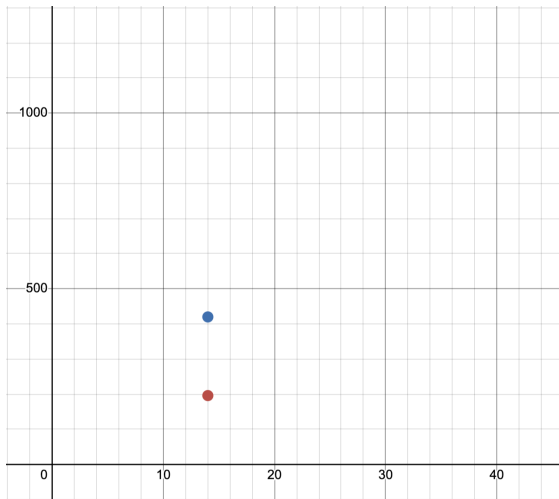
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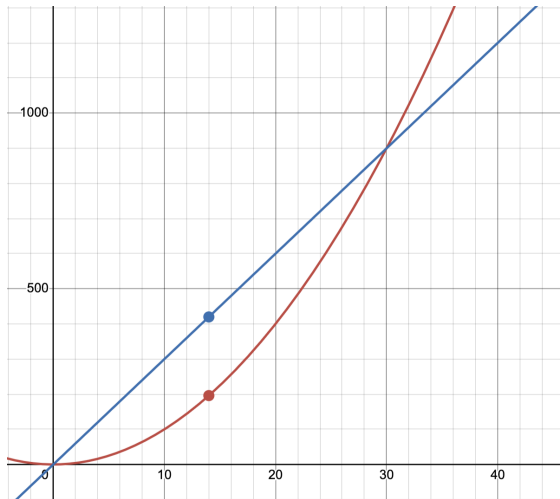
Absolute times will differ  
between machines, between languages  
...so we're not interested in absolute time.

We are interested in the *relative* change  
as the input size increases

Which algorithm is more efficient?



## Compare growth rates, not absolute times



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Let's empirically analyse the following search algorithm:

```
// Returns the index of the given value in the array
// if it exists, or -1 otherwise
int linearSearch(int arr[], int size, int val) {
    for (int i = 0; i < size; i++) {
        if (arr[i] == val) {
            return i;
        }
    }
}
```

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```
// Returns the index of the given value in the array if it exists,  
// or -1 otherwise  
int linearSearch(int arr[], int size, int val) {  
    for (int i = 0; i < size; i++) {  
        if (arr[i] == val) {  
            return i;  
        }  
    }  
    return -1;  
}
```



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## Sample results:

Input Size	Running Time
1,000,000	0.002
10,000,000	0.023
100,000,000	0.240
200,000,000	0.471
300,000,000	0.702
400,000,000	0.942
500,000,000	1.196
1,000,000,000	2.384

The worst-case running time of linear search grows linearly as the input size increases.

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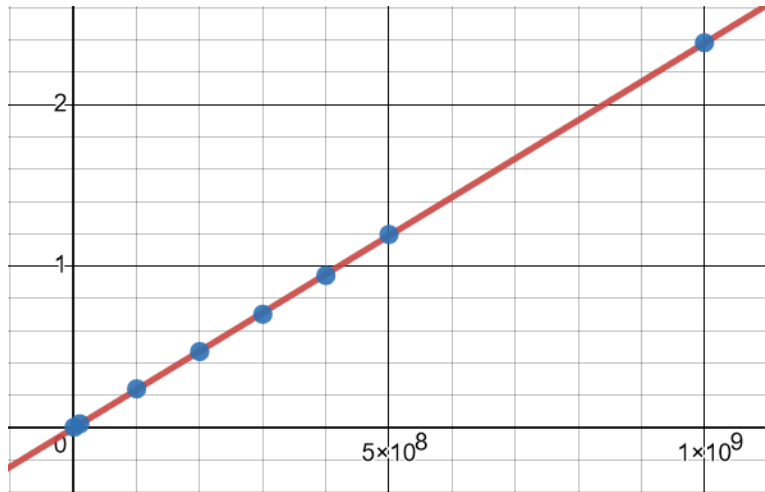
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- Requires implementation of algorithm
- Different choice of input data  $\Rightarrow$  different results
  - Choosing good inputs is extremely important
- Timing results affected by runtime environment
  - E.g., load on the machine
- In order to compare two algorithms...
  - Need “comparable” implementation of each algorithm
  - Must use same inputs, same hardware, same O/S, same load

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- Uses high-level description of algorithm (pseudocode)
  - Can use the code if it is implemented already
- Characterises running time as a function of input size
- Allows us to evaluate the efficiency of the algorithm
  - Independent of the hardware/software environment

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- Pseudocode is a plain language description of the steps in an algorithm
- Uses structural conventions of a regular programming language
  - if statements, loops
- Omits language-specific details
  - variable declarations
  - allocating/freeing memory

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## Pseudocode for linear search:

```
linearSearch( $A$ ,  $val$ ):  
    Input: array  $A$  of size  $n$ , value  $val$   
    Output: index of  $val$  in  $A$  if it exists  
               -1 otherwise  
  
    for  $i$  from 0 up to  $n - 1$ :  
        if  $A[i] = val$ :  
            return  $i$   
  
    return -1
```

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Every algorithm uses a core set of basic operations.

Examples:

- Assignment
- Indexing into an array
- Calling/returning from a function
- Evaluating an expression
- Increment/decrement

We call these operations **primitive** operations.

Assume that primitive operations take the same constant amount of time.

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How many primitive operations are performed by this line of code?

```
for (int i = 0; i < n; i++)
```



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How many primitive operations are performed by this line of code?

```
for (int i = 0; i < n; i++)
```

The assignment  $i = 0$  occurs 1 time

The comparison  $i < n$  occurs  $n + 1$  times

The increment  $i++$  occurs  $n$  times

Total:  $1 + (n + 1) + n$  primitive operations

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By inspecting the pseudocode, we can determine the maximum number of primitive operations executed by an algorithm as a function of the input size.

```
linearSearch(A, val):  
    Input: array A of size n, value val  
    Output: index of val in A if it exists  
              -1 otherwise  
  
    for i from 0 up to n - 1:           1 + (n + 1) + n  
        if A[i] = val:                   2n  
            return i  
  
    return -1                               1  
                                           -----  
                                           4n + 3 (total)
```

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Linear search requires  $4n + 3$  primitive operations in the worst case.

If the time taken by a primitive operation is  $c$ , then the time taken by linear search in the worst case is  $c(4n + 3)$ .

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We are mainly interested in  
how the running time of an algorithm changes  
as the input size increases.

This is called the **asymptotic behaviour** of the running time.

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Asymptotic behaviour is not affected by lower-order terms.

- For example, suppose the running time of an algorithm is  $4n + 100$ .
- As  $n$  increases, the lower-order term (i.e., 100) becomes less significant (i.e., becomes a smaller proportion of the running time)

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Asymptotic behaviour is not affected by constant factors.

Example: Suppose the running time  $T(n)$  of an algorithm is  $n^2$ .

- What happens when we double the input size?

$$\begin{aligned}T(2n) &= (2n)^2 \\&= 4n^2 \\&= 4T(n)\end{aligned}$$

When we double the input size, the time taken quadruples.

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Example: Now suppose the running time  $T(n)$  of an algorithm is  $10n^2$ .

- Now what happens when we double the input size?

$$\begin{aligned}T(2n) &= 10 \times (2n)^2 \\&= 10 \times 4n^2 \\&= 4 \times 10n^2 \\&= 4T(n)\end{aligned}$$

When we double the input size, the time taken also quadruples!

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To summarise:

- Asymptotic behaviour is unaffected by lower-order terms
- Asymptotic behaviour is unaffected by constant factors

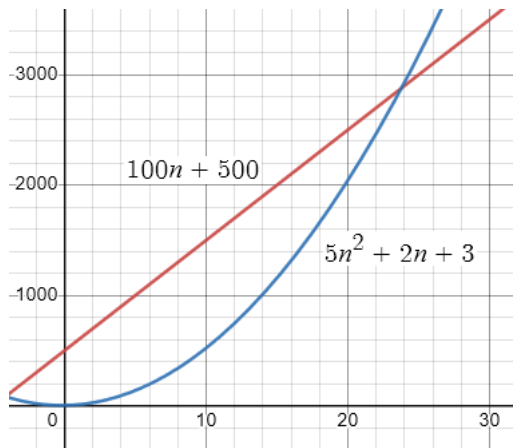
This means we can ignore lower-order terms and constant factors when characterising the asymptotic behaviour of an algorithm.

Examples:

- If  $T(n) = 100n + 500$ , ignoring lower-order terms and constant factors gives  $n$
- If  $T(n) = 5n^2 + 2n + 3$ , ignoring lower-order terms and constant factors gives  $n^2$



This also means that for sufficiently large inputs, the algorithm that has the running time with the highest-order term will always take longer.



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## Big-Oh notation

is used to classify the asymptotic behaviour of an algorithm,  
and this is how we usually express time complexity in this course.

For example, linear search is  $O(n)$  in the worst case.

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Big-Oh notation allows us to easily compare the efficiency of algorithms

- For example, if algorithm A has a time complexity of  $O(n)$  and algorithm B has a time complexity of  $O(n^2)$ , then we can say that for sufficiently large inputs, algorithm A will perform better.

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Formally, big-Oh is actually a notation used to describe the asymptotic relationship between functions.

**Formally:**

Given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if:

- There are positive constants  $c$  and  $n_0$  such that:
  - $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$

**Informally:**

Given functions  $f(n)$  and  $g(n)$ , we say that  $f(n)$  is  $O(g(n))$  if for sufficiently large  $n$ ,  $f(n)$  is bounded above by some multiple of  $g(n)$ .

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 $f(n)$  is  $O(g(n))$ if  $f(n)$  is asymptotically **less than or equal** to  $g(n)$  $f(n)$  is  $\Omega(g(n))$ if  $f(n)$  is asymptotically **greater than or equal** to  $g(n)$  $f(n)$  is  $\Theta(g(n))$ if  $f(n)$  is asymptotically **equal** to  $g(n)$

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Since time complexity is not affected by constant factors, instead of counting primitive operations, we can simply count line executions.

```
linearSearch(A, value):  
    Input: array  $A$  of size  $n$ , value  
    Output: index of value in  $A$  if it exists  
              -1 otherwise  
  
    for  $i$  from 0 up to  $n - 1$ :       $n$   
        if  $A[i] = \text{value}$ :             $n$   
            return  $i$   
  
    return -1                        1  
                                   -----  
                                    $2n + 1$  (total)
```

Worst-case time complexity:  $O(n)$

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To determine the worst-case time complexity of an algorithm:

- Determine the number of line executions performed in the worst case in terms of the input size
- Discard lower-order terms and constant factors
- The worst-case time complexity is then the big-Oh of the term that remains

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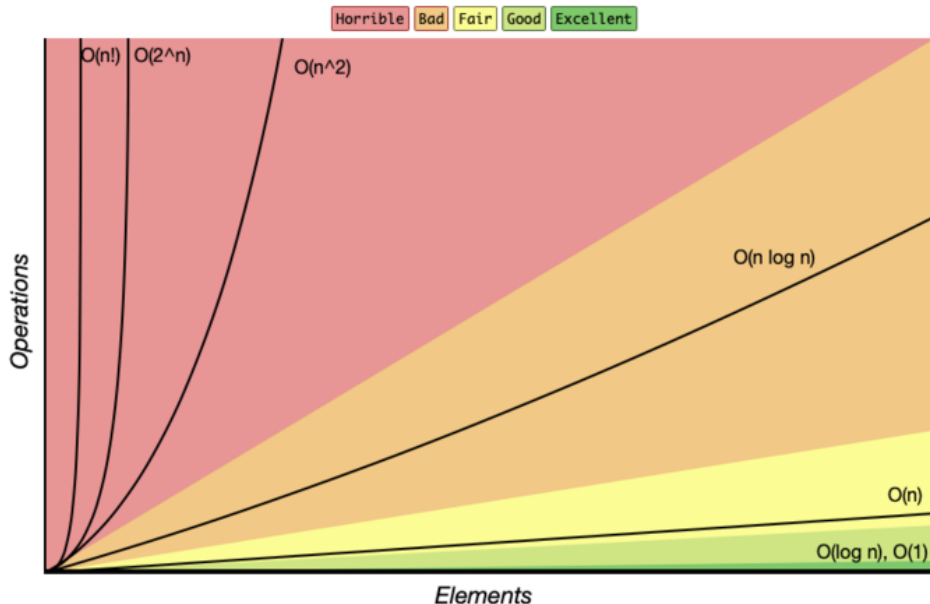
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## Commonly encountered functions in algorithm analysis:

- Constant:  $1$
- Logarithmic:  $\log n$
- Linear:  $n$
- N-Log-N:  $n \log n$
- Quadratic:  $n^2$
- Cubic:  $n^3$
- Exponential:  $2^n$
- Factorial:  $n!$





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Linear search requires  $4n + 3$  primitive operations in the worst case.

Therefore, linear search is  $O(n)$  in the worst case.

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Is there a faster algorithm for searching an array?

Yes... if the array is sorted.

[0]	[1]	[2]	[3]	[4]	[5]	[6]
1	2	4	9	11	15	16

Let's start in the **middle**.

- If  $a[N/2] = val$ , we found  $val$ ; we're done!
- Otherwise, we split the array:
  - ... if  $val < a[N/2]$ , we search the left half ( $a[0]$  to  $a[(N/2) - 1]$ )
  - ... if  $val > a[N/2]$ , we search the right half ( $a[(N/2) + 1]$  to  $a[N - 1]$ )

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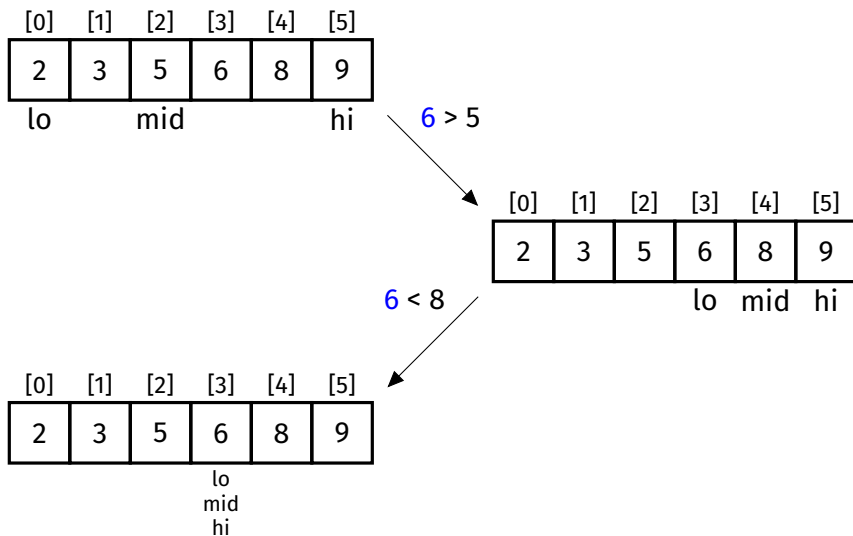
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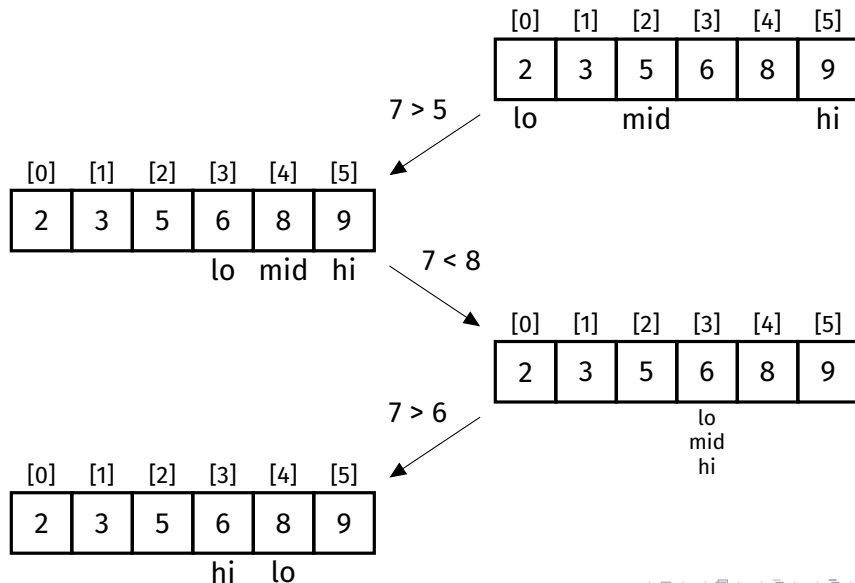
Binary search is a more efficient search algorithm for **sorted arrays**:

```
int binarySearch(int arr[], int size, int val) {  
    int lo = 0;  
    int hi = size - 1;  
  
    while (lo <= hi) {  
        int mid = (lo + hi) / 2;  
  
        if (val < arr[mid]) {  
            hi = mid - 1;  
        } else if (val > arr[mid]) {  
            lo = mid + 1;  
        } else {  
            return mid;  
        }  
    }  
  
    return -1;  
}
```

Successful search for 6:



## Unsuccessful search for 7:



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How many iterations of the loop?

- Best case: 1 iteration
  - Item is found right away
- Worst case:  $\log_2 n$  iterations
  - Item does not exist
  - Every iteration, the size of the subarray being searched is halved

Thus, binary search is  $O(\log_2 n)$  or simply  $O(\log n)$

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$$O(\log_2 n) = O(\log n)$$

Why drop the base?

According to the change of base formula:

$$\log_a n = \frac{\log_b n}{\log_b a}$$

If  $a$  and  $b$  are constants,  
 $\log_a n$  and  $\log_b n$  differ by a constant factor



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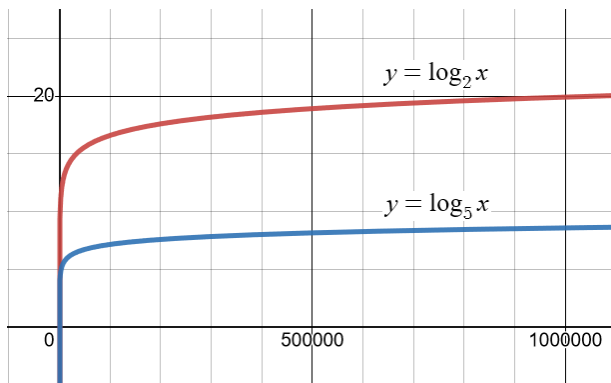
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For example:

$$\log_2 n = \frac{\log_5 n}{\log_5 2} \\ \approx 2.32193 \log_5 n$$



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What if an algorithm takes multiple arrays as input?

If there is no constraint on the relative sizes of the arrays, their sizes would be given as two variables, usually  $n$  and  $m$

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Example time complexities with two variables:

$$O(n + m)$$

$$O(nm)$$

$$O(\max(n, m))$$

$$O(\min(n, m))$$

$$O(n \log m)$$

$$O(n \log m + m \log n)$$

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## Problem:

Given two arrays, where each array contains no repeats,  
find the number of elements in common

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```
numCommonElements(A, B):  
    Input:   array A of size n  
             array B of size m  
    Output: number of elements in common  
  
    numCommon = 0  
    for i from 0 up to n - 1:  
        for j from 0 up to m - 1:  
            if A[i] = B[j]:  
                numCommon = numCommon + 1  
  
    return numCommon
```

Time complexity:  $O(nm)$

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Analysis Examples

If I know my algorithm is quadratic (i.e.,  $O(n^2)$ ),  
and I know that for a dataset of 1000 items,  
it takes 1.2 seconds to run ...

- how long for 2000?
- how long for 10,000?
- how long for 100,000?
- how long for 1,000,000?

(answers on the next slide)

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Analysis Examples

If I know my algorithm is quadratic (i.e.,  $O(n^2)$ ),  
and I know that for a dataset of 1000 items,  
it takes 1.2 seconds to run ...

- how long for 2000? **4.8 seconds**
- how long for 10,000? **120 seconds** (2 mins)
- how long for 100,000? **12000 seconds** (3.3 hours)
- how long for 1,000,000? **1200000 seconds** (13.9 days)



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Exercise

Analysis Examples

```
for (int i = 1; i <= n; i++) {  
    for (int j = 1; j <= n; j++) {  
        for (int k = 1; k <= n; k++) {  
            // constant-time statement  
        }  
    }  
}
```

Time complexity?

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```
for (int i = 1; i <= n; i++) {  
    for (int j = 1; j <= n; j++) {  
        for (int k = 1; k <= n; k++) {  
            // constant-time statement  
        }  
    }  
}
```

Time complexity?  $O(n^3)$

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```
for (int i = 1; i <= n; i++) {  
    for (int j = 1; j <= i; j++) {  
        // constant-time statement  
    }  
}
```

Time complexity?

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Analysis Examples

```
for (int i = 1; i <= n; i++) {  
    for (int j = 1; j <= i; j++) {  
        // constant-time statement  
    }  
}
```

Time complexity?  $O(n^2)$

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```
for (int i = 1; i <= n; i = i * 2) {  
    // constant-time statement  
}
```

Time complexity?

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Analysis Examples

```
for (int i = 1; i <= n; i = i * 2) {  
    // constant-time statement  
}
```

Time complexity?  $O(\log n)$

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Analysis Examples

```
int p = 0;

for (int i = 1; p <= n; i++) {
    p = p + i;
}
```

Time complexity?

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Analysis Examples

```
int p = 0;

for (int i = 1; p <= n; i++) {
    p = p + i;
}
```

Time complexity?  $O(\sqrt{n})$