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### COMP2521 24T2 Analysis of Algorithms

### Sim Mautner

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Slides adapted from those by Kevin Luxa 2521 24T1

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- Each line of code we execute, takes time.
- Each variable we create, takes up space.

# **Motivation**

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### • In order for an application to be useful, it must:

- Run "fast enough"
- Not take up too much space

# **Factors Affecting Efficiency**

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# As software engineers, there are many factors influencing how usable our application will be.

• Factors outside of our control:

• Factors within our control:

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As software engineers, there are many factors influencing how usable our application will be.

- Factors outside of our control:
  - The machine our code will be running on, including:
    - How much memory the computer has
    - How fast the computer can execute each line of code
  - How much data the application will need to handle
- Factors within our control:

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As software engineers, there are many factors influencing how usable our application will be.

- Factors outside of our control:
  - The machine our code will be running on, including:
    - How much memory the computer has
    - How fast the computer can execute each line of code
  - How much data the application will need to handle
- Factors within our control:
  - Which data structure(s) we use  $\rightarrow$  how much space is needed to store and manipulate the provided data
  - Which algorithm(s) we use  $\rightarrow$  how much time (and space) it takes to process the provided data



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### Scenario:

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You're going camping. You have 1 car, and you're going for 4 nights. You will be camping near your car and there's a river nearby.

You're trying to decide what mattresses to sleep on. There are 2 main contenders.

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### Exercise Mat Self-Inflating Mattress





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Tradeoffs

Dimensions80cm x 30cm x 30cm20cm x 10cm x 10cm(per mattress)Time to pack up<br/>(per mattress)15 seconds5 minutes



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### How do you decide?

• Case 1: You go with 3 friends

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### How do you decide?

- Case 1: You go with 3 friends
  - Space is limited

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### How do you decide?

- Case 1: You go with 3 friends
  - Space is limited
  - Time is freely available

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  - Space is limited
  - Time is freely available



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### How do you decide?

- Case 1: You go with 3 friends
  - Space is limited
  - Time is freely available



- Case 2: You go with 2 young children
  - There's plenty of space

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  - Space is limited
  - Time is freely available



- Case 2: You go with 2 young children
  - There's plenty of space
  - Time is limited

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### How do you decide?

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  - Space is limited
  - Time is freely available



- Case 2: You go with 2 young children
  - There's plenty of space
  - Time is limited



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### In computer science:

- Sometimes you will make a tradeoff:
  - sacrifice space for speed
  - sacrifice speed for space
- And sometimes you will find beautiful data structures and algorithms which take up less space *and* less time than the one you were using until now



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Throughout this term we will be looking at different data structures and algorithms which although they accomplish the same goal, some will do so more efficiently.

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Throughout this term we will be looking at different data structures and algorithms which although they accomplish the same goal, some will do so more efficiently.

In some cases, different data structures and algorithms will be more efficient in accomplishing the same goal, because details of the data it is being applied to is different.

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• The running time of an algorithm tends to be a function of input size

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- The running time of an algorithm tends to be a function of input size
- Typically: larger input  $\Rightarrow$  longer running time



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• The running time of an algorithm tends to be a function of input size

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- Typically: larger input  $\Rightarrow$  longer running time
  - Small inputs: fast running time, regardless of algorithm

# **Algorithm Efficiency**

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- The running time of an algorithm tends to be a function of input size
- Typically: larger input  $\Rightarrow$  longer running time
  - Small inputs: fast running time, regardless of algorithm
  - Larger inputs: slower, but how much slower?

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- Typically: larger input  $\Rightarrow$  longer running time
  - Small inputs: fast running time, regardless of algorithm
  - Larger inputs: slower, but how much slower?



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- Average-case performance
- Worst-case performance

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- Not very useful
- Usually only occurs for specific types of input
- Average-case performance
- Worst-case performance

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- Not very useful
- Usually only occurs for specific types of input
- Average-case performance
  - Difficult; need to know how the program is used
- Worst-case performance

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- Not very useful
- Usually only occurs for specific types of input
- Average-case performance
  - Difficult; need to know how the program is used
- Worst-case performance
  - Most important; determines how long the program could possibly run

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# **Time Complexity**

### Time complexity is the amount of time it takes to run an algorithm, as a function of the input size

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Example functions:  $n^2$ 6n $n \log_2 n$  $20\log_2 n$ 

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### The time complexity of an algorithm can be analysed in two ways:

• Empirically: Measuring the time that a program implementing the algorithm takes to run

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### The time complexity of an algorithm can be analysed in two ways:

- Empirically: Measuring the time that a program implementing the algorithm takes to run
- Theoretically: Counting the number of operations or "steps" performed by the algorithm as a function of input size

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Searching

### The search problem:

Given an array of size *n* and a value, return the index containing the value if it exists, otherwise return -1.

[0]	[1]	[2]	[3]	[4]	[5]	[6]
2	16	11	1	9	4	15

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1 Write a program that implements the algorithm

2 Run the program with inputs of varying size and composition

- 3 Measure the running time of the algorithm
- 4 Plot the results

# **Timing Execution**

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We can measure the running time of an algorithm using *clock*(3).

• The *clock()* function determines the amount of processor time used since the start of the process.

#include <time.h>

```
clock_t start = clock();
// algorithm code here...
clock_t end = clock();
```

double seconds = (double)(end - start) / CLOCKS\_PER\_SEC;

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# **Timing Execution**

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Absolute times will differ between machines, between languages ...so we're not interested in absolute time.

We are interested in the *relative* change as the input size increases

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# **Empirical Analysis**

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### Let's empirically analyse the following search algorithm:

```
// Returns the index of the given value in the array if it exist
// or -1 otherwise
int linearSearch(int arr[], int size, int val) {
    for (int i = 0; i < size; i++) {
        if (arr[i] == val) {
            return i;
            }
        return -1;
}</pre>
```

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## **Empirical Analysis**

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### Sample results:

Input Size	Running Time		
1,000,000	0.002		
10,000,000	0.023		
100,000,000	0.240		
200,000,000	0.471		
300,000,000	0.702		
400,000,000	0.942		
500,000,000	1.196		
1,000,000,000	2.384		

The worst-case running time of linear search grows linearly as the input size increases.

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# **Empirical Analysis**

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# Limitations of Empirical Analysis

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- Requires implementation of algorithm
- Different choice of input data  $\Rightarrow$  different results
  - Choosing good inputs is extremely important
- Timing results affected by runtime environment
  - E.g., load on the machine
- In order to compare two algorithms...
  - Need "comparable" implementation of each algorithm
  - Must use same inputs, same hardware, same O/S, same load

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- Uses high-level description of algorithm (pseudocode)
  - Can use the code if it is implemented already
- Characterises running time as a function of input size
- Allows us to evaluate the efficiency of the algorithm
  - Independent of the hardware/software environment

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- Pseudocode is a plain language description of the steps in an algorithm
  - Uses structural conventions of a regular programming language
    - if statements, loops
  - Omits language-specific details
    - variable declarations
    - allocating/freeing memory

### Pseudocode

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### Pseudocode for linear search:

```
linearSearch(A, val):
    Input: array A of size n, value val
    Output: index of val in A if it exists
        -1 otherwise
```

```
for i from 0 up to n-1:
if A[i] = val:
return i
```

return -1

# **Primitive Operations**

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Multiple Variables Appendix Every algorithm uses a core set of basic operations.

### Examples:

- Assignment
- Indexing into an array
- Calling/returning from a function
- Evaluating an expression
- Increment/decrement

### We call these operations **primitive** operations.

Assume that primitive operations take the same constant amount of time.

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# Counting Primitive Operations

### How many primitive operations are performed by this line of code?

for (int i = 0; i < n; i++)

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# Counting Primitive Operations

How many primitive operations are performed by this line of code?

for (int i = 0; i < n; i++)

The assignment i = 0 occurs 1 time The comparison i < n occurs n + 1 times The increment i++ occurs n times

Total: 1 + (n + 1) + n primitive operations

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# By inspecting the pseudocode, we can determine the maximum number of primitive operations executed by an algorithm as a function of the input size.

linearSearch(A, val):
 Input: array A of size n, value val
 Output: index of val in A if it exists
 -1 otherwise

```
for i from 0 up to n-1:

if A[i] = val:

return i
1 + (n + 1) + n
2n
```

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# **Counting Primitive Operations**

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Linear search requires 4n + 3 primitive operations in the worst case.

If the time taken by a primitive operation is c, then the time taken by linear search in the worst case is c(4n + 3).

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**Binary Search** 

Multiple Variables Appendix We are mainly interested in how the running time of an algorithm changes as the input size increases.

This is called the **asymptotic behaviour** of the running time.

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Asymptotic Analysis Lower-Order Terms

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Multiple Variables Appendix Asymptotic behaviour is not affected by lower-order terms.

- For example, suppose the running time of an algorithm is 4n + 100.
- As *n* increases, the lower-order term (i.e., 100) becomes less significant (i.e., becomes a smaller proportion of the running time)

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Multiple Variables Appendix Asymptotic behaviour is not affected by constant factors.

Example: Suppose the running time T(n) of an algorithm is  $n^2$ .

• What happens when we double the input size?

$$T(2n) = (2n)^2$$
$$= 4n^2$$
$$= 4T(n)$$

When we double the input size, the time taken quadruples.

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Example: Now suppose the running time T(n) of an algorithm is  $10n^2$ .

• Now what happens when we double the input size?

1

$$T(2n) = 10 \times (2n)^2$$
$$= 10 \times 4n^2$$
$$= 4 \times 10n^2$$
$$= 4 T(n)$$

When we double the input size, the time taken also quadruples!

# Asymptotic Analysis

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To summarise:

- Asymptotic behaviour is unaffected by lower-order terms
- Asymptotic behaviour is unaffected by constant factors

This means we can ignore lower-order terms and constant factors when characterising the asymptotic behaviour of an algorithm.

### Examples:

- If T(n) = 100n + 500, ignoring lower-order terms and constant factors gives n
- If  $T(n) = 5n^2 + 2n + 3$ , ignoring lower-order terms and constant factors gives  $n^2$

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This also means that for sufficiently large inputs, the algorithm that has the running time with the highest-order term will always take longer.



# Asymptotic Analysis

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### Big-Oh notation is used to classify the asymptotic behaviour of an algorithm, and this is how we usually express time complexity in this course.

**Big-Oh Notation** 

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For example, linear search is O(n) in the worst case.

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Big-Oh notation allows us to easily compare the efficiency of algorithms

• For example, if algorithm A has a time complexity of O(n) and algorithm B has a time complexity of  $O(n^2)$ , then we can say that for sufficiently large inputs, algorithm A will perform better.

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Multiple Variables Appendix Formally, big-Oh is actually a notation used to describe the asymptotic relationship between functions.

### Formally:

Given functions f(n) and g(n), we say that f(n) is O(g(n)) if:

• There are positive constants *c* and *n*<sub>0</sub> such that:

•  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ 

### Informally:

Given functions f(n) and g(n), we say that f(n) is O(g(n)) if for sufficiently large n, f(n) is bounded above by some multiple of g(n).

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# **Big-Oh Notation**



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f(n) is O(g(n))if f(n) is asymptotically less than or equal to g(n)

f(n) is  $\Omega(g(n))$ if f(n) is asymptotically greater than or equal to g(n)

> f(n) is  $\Theta(g(n))$ if f(n) is asymptotically equal to g(n)

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Multiple Variables Appendix Since time complexity is not affected by constant factors, instead of counting primitive operations, we can simply count line executions.

Worst-case time complexity: O(n)

Analysing Complexity

# **Analysing Complexity**

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To determine the worst-case time complexity of an algorithm:

- Determine the number of line executions performed in the worst case in terms of the input size
- Discard lower-order terms and constant factors
- The worst-case time complexity is then the big-Oh of the term that remains

# **Common Functions**

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### Commonly encountered functions in algorithm analysis:

- Constant: 1
- Logarithmic:  $\log n$
- Linear: *n*
- N-Log-N:  $n \log n$
- Quadratic:  $n^2$
- Cubic:  $n^3$
- Exponential:  $2^n$
- Factorial: *n*!

# **Common Functions**





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# **Back to Linear Search**

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### Linear search requires 4n + 3 primitive operations in the worst case.

Therefore, linear search is O(n) in the worst case.

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### Is there a faster algorithm for searching an array?

Yes... if the array is sorted.

[0]	[1]	[2]	[3]	[4]	[5]	[6]
1	2	4	9	11	15	16

### Let's start in the middle.

- If a[N/2] = val, we found val; we're done!
- Otherwise, we split the array:

... if val < a[N/2], we search the left half (a[0] to a[(N/2) - 1)]) ... if val > a[N/2], we search the right half (a[(N/2) + 1)] to a[N - 1])

# Searching in a Sorted Array

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### Binary search is a more efficient search algorithm for **sorted arrays**:

```
int binarySearch(int arr[], int size, int val) {
    int lo = 0;
    int hi = size - 1;
    while (lo <= hi) {</pre>
        int mid = (lo + hi) / 2;
        if (val < arr[mid]) {</pre>
             hi = mid - 1;
        } else if (val > arr[mid]) {
             lo = mid + 1;
        } else {
             return mid;
        }
    }
    return -1;
}
```

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Binary Search Example

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### Successful search for 6:



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### Unsuccessful search for 7:



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### How many iterations of the loop?

- Best case: 1 iteration
  - Item is found right away
- Worst case:  $\log_2 n$  iterations
  - Item does not exist
  - Every iteration, the size of the subarray being searched is halved

**Binary Search** 

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Analysis

Thus, binary search is  $O(\log_2 n)$  or simply  $O(\log n)$ 

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Binary Search O(log n)

$$O(\log_2 n) = O(\log n)$$

Why drop the base?

According to the change of base formula:

$$\log_a n = \frac{\log_b n}{\log_b a}$$

If *a* and *b* are constants,  $\log_a n$  and  $\log_b n$  differ by a constant factor

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## Binary Search O(log n)









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What if an algorithm takes multiple arrays as input?

If there is no constraint on the relative sizes of the arrays, their sizes would be given as two variables, usually n and m

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**Multiple Variables** 

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Example time complexities with two variables:

O(n+m)O(nm)

 $O(\max(n, m))$ 

 $O(\min(n, m))$ 

 $O(n\log m)$ 

 $O(n\log m + m\log n)$
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# **Multiple Variables**

Example

## Problem:

Given two arrays, where each array contains no repeats, find the number of elements in common

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```
numCommonElements(A, B):
    Input: array A of size n
        array B of size m
    Output: number of elements in common
```

```
numCommon = 0
for i from 0 up to n-1:
for j from 0 up to m-1:
if A[i] = B[j]:
numCommon = numCommon + 1
```

return numCommon

Time complexity: O(nm)

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# **Predicting Time**

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Appendix Exercise If I know my algorithm is quadratic (i.e.,  $O(n^2)$ ), and I know that for a dataset of 1000 items, it takes 1.2 seconds to run ...

- how long for 2000?
- how long for 10,000?
- how long for 100,000?
- how long for 1,000,000?

(answers on the next slide)

**Predicting Time** 

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Appendix Exercise If I know my algorithm is quadratic (i.e.,  $O(n^2)$ ), and I know that for a dataset of 1000 items, it takes 1.2 seconds to run ...

- how long for 2000? 4.8 seconds
- how long for 10,000? 120 seconds (2 mins)
- how long for 100,000? 12000 seconds (3.3 hours)
- how long for 1,000,000? 1200000 seconds (13.9 days)