


File system internals  
Tanenbaum, Chapter 4

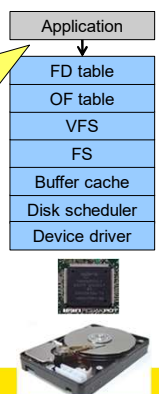
COMP3231  
Operating Systems

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### UNIX storage stack

**Syscall interface:**  
creat  
open  
read  
write  
...



Application  
↓  
FD table  
OF table  
VFS  
FS  
Buffer cache  
Disk scheduler  
Device driver

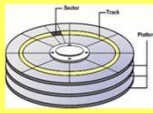
} Operating System

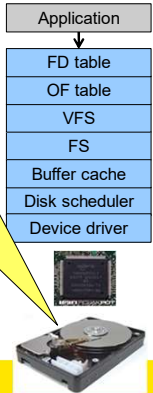
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### UNIX storage stack

**Hard disk platters:**  
tracks  
sectors





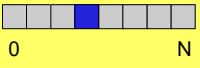
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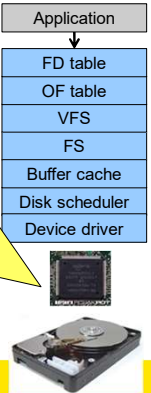
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### UNIX storage stack

**Disk controller:**  
Hides disk geometry,  
bad sectors  
Exposes linear  
sequence of blocks





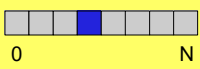
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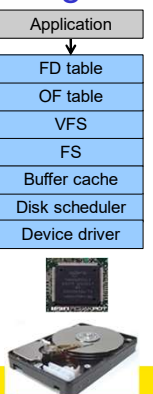
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### UNIX storage stack

**Device driver:**  
Hides device-specific  
protocol  
Exposes block-device  
Interface (linear  
sequence of blocks)





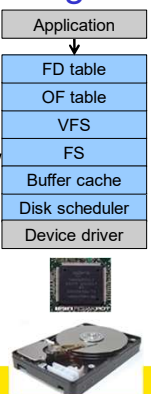
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Buffer cache  
Disk scheduler  
Device driver

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### UNIX storage stack

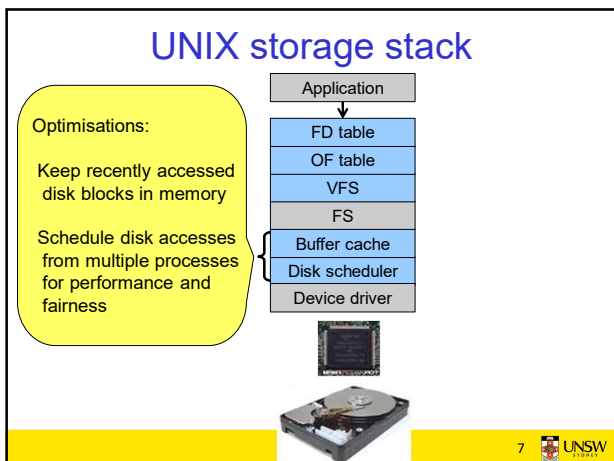
**File system:**  
Hides physical location  
of data on the disk  
  
Exposes: directory  
hierarchy, symbolic file  
names, random-access  
files, protection



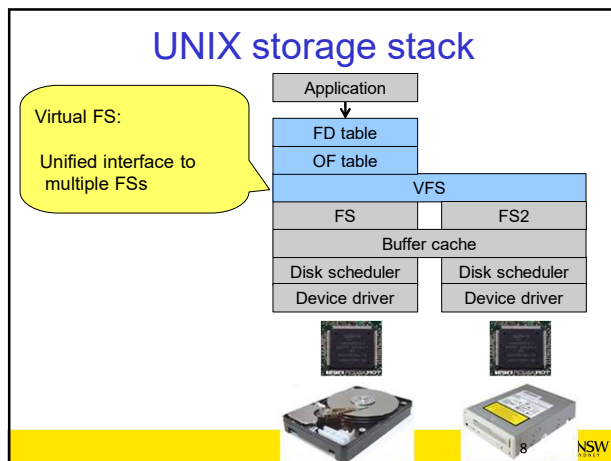
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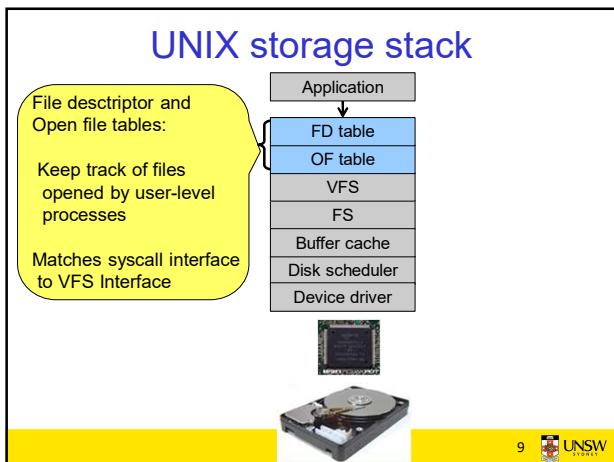
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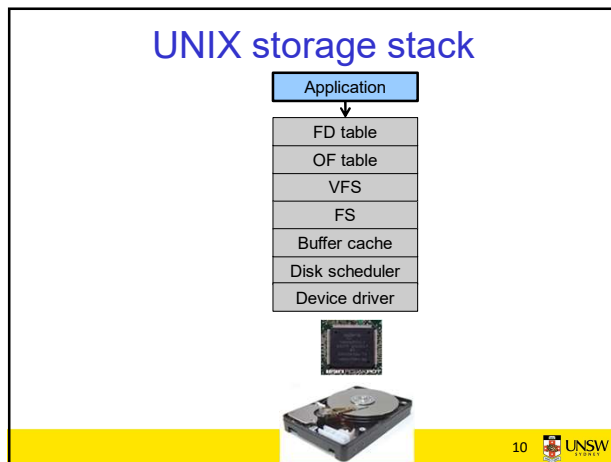
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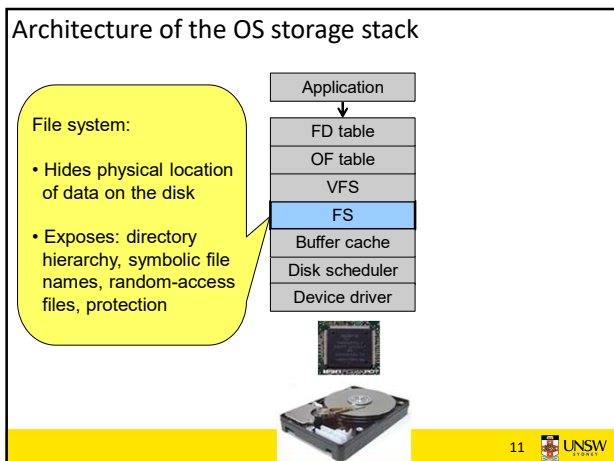
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- ### Some popular file systems
- FAT16
  - FAT32
  - NTFS
  - Ext2
  - Ext3
  - Ext4
  - ReiserFS
  - XFS
  - ISO9660
  - HFS+
  - UFS2
  - ZFS
  - JFS
  - OCFS
  - Btrfs
  - JFFS2
  - ExFAT
  - UBIFS
- Question: why are there so many?
- 12 UNSW

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### Why are there so many?

- Different physical nature of storage devices
  - Ext3 is optimised for magnetic disks
  - JFFS2 is optimised for flash memory devices
  - ISO9660 is optimised for CDROM
- Different storage capacities
  - FAT16 does not support drives >2GB
  - FAT32 becomes inefficient on drives >32GB
  - ZFS, Btrfs is designed to scale to multi-TB disk arrays
- Different CPU and memory requirements
  - FAT16 is not suitable for modern PCs but is a good fit for many embedded devices
- Proprietary standards
  - NTFS may be a nice FS, but its specification is closed

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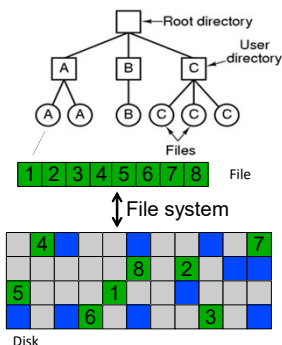
### Assumptions

- In this lecture we focus on file systems for magnetic disks
  - Seek time
    - ~15ms worst case
  - Rotational delay
    - 8ms worst case for 7200rpm drive
  - For comparison, disk-to-buffer transfer speed of a modern drive is ~10µs per 4K block.
- Conclusion: keep blocks that are likely to be accessed together close to each other

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### Implementing a file system

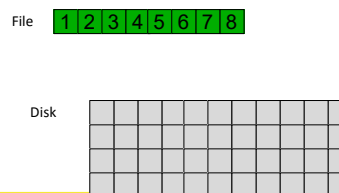
- The FS must map symbolic file names into a collection of block addresses
- The FS must keep track of
  - which blocks belong to which files.
  - in what order the blocks form the file
  - which blocks are free for allocation
- Given a logical region of a file, the FS must track the corresponding block(s) on disk.
  - Stored in file system metadata



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### File Allocation Methods

- A file is divided into “blocks”
  - the unit of transfer to storage
- Given the logical blocks of a file, what method is used to choose where to put the blocks on disk?

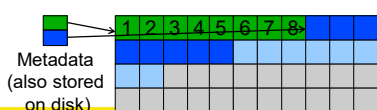


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### Contiguous Allocation

- ✓ Easy bookkeeping (need to keep track of the starting block and length of the file)
- ✓ Increases performance for sequential operations
- ✗ Need the maximum size for the file at the time of creation
- ✗ As files are deleted, free space becomes divided into many small chunks (external fragmentation)

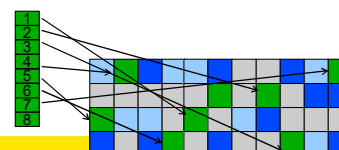
Example: ISO 9660 (CDROM FS)



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### Dynamic Allocation Strategies

- Disk space allocated in portions as needed
- Allocation occurs in fixed-size blocks
- ✓ No external fragmentation
- ✓ Does not require pre-allocating disk space
- ✗ Partially filled blocks (internal fragmentation)
- ✗ File blocks are scattered across the disk
- ✗ Complex metadata management (maintain the collection of blocks for each file)



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### External and internal fragmentation

- External fragmentation
  - The space wasted external to the allocated memory regions
  - Memory space exists to satisfy a request but it is unusable as it is not contiguous
- Internal fragmentation
  - The space wasted internal to the allocated memory regions
  - Allocated memory may be slightly larger than requested memory; this size difference is wasted memory internal to a partition

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### Dynamic allocation: Linked list allocation

- Each block contains a pointer to the next block in the chain. Free blocks are also linked in a chain.
  - ✓ Only single metadata entry per file
  - ✓ Best for sequentially accessed files

Question: What are the downsides?

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### Linked list allocation

- Each block contains a pointer to the next block in the chain. Free blocks are also linked in a chain.
  - ✓ Only single metadata entry per file
  - ✓ Best for sequential files

- x Poor for random access
- x Blocks end up scattered across the disk due to free list eventually being randomised

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### Dynamic Allocation: File Allocation Table (FAT)

- Keep a map of the entire FS in a separate table
  - A table entry contains the number of the next block of the file
  - The last block in a file and empty blocks are marked using reserved values
- The table is stored on the disk and is replicated in memory
- Random access is fast (following the in-memory list)

Question: any issues with this design?

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### File allocation table

- Issues
  - Requires a lot of memory for large disks
    - $200\text{GB} = 200 \times 10^6 \times 1\text{K-blocks} \implies 200 \times 10^6 \text{ FAT entries} = 800\text{MB}$
  - Free block lookup is slow
    - searches for a free entry in table

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### File allocation table disk layout

- Examples
  - FAT12, FAT16, FAT32

Two copies of FAT for redundancy

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### Dynamical Allocation: inode-based FS structure

- Idea: separate table (index-node or i-node) for each file.
  - Only keep table for open files in memory
  - Fast random access
- The most popular FS structure today

25 UNSW

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### i-node implementation issues

- i-nodes occupy one or several disk areas

- i-nodes are allocated dynamically, hence free-space management is required for i-nodes
  - Use fixed-size i-nodes to simplify dynamic allocation
  - Reserve the last i-node entry for a pointer (a block number) to an extension i-node.

26 UNSW

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### i-node implementation issues

27 UNSW

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### i-node implementation issues

- Free-space management
  - Approach 1: linked list of free blocks in free blocks on disk
  - Approach 2: keep bitmaps of free blocks and free i-nodes on disk

28 UNSW

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### Free block list

- List of all unallocated blocks
- Background jobs can re-order list for better contiguity
- Store in free blocks themselves
  - Does not reduce disk capacity
- Only one block of pointers need be kept in the main memory

29 UNSW

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### Bit tables

- Individual bits in a bit vector flags used/free blocks
- 16GB disk with 512-byte blocks --> 4MB table
- May be too large to hold in main memory
- Expensive to search
  - Optimisations possible, e.g. a two level table
- Concentrating (de)allocations in a portion of the bitmap has desirable effect of concentrating access
- Simple to find contiguous free space

30 UNSW

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## Implementing directories

- Directories are stored like normal files
  - directory entries are contained inside data blocks
- The FS assigns special meaning to the content of these files
  - a directory file is a list of directory entries
  - a directory entry contains file name, attributes, and the file i-node number
    - maps human-oriented file name to a system-oriented name

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## Fixed-size vs variable-size directory entries

- Fixed-size directory entries
  - Either too small
    - Example: DOS 8+3 characters
  - Or waste too much space
    - Example: 255 characters per file name
- Variable-size directory entries
  - Freeing variable length entries can create external fragmentation in directory blocks
    - Can compact when block is in RAM

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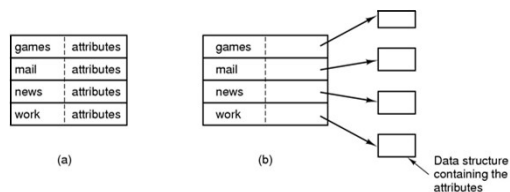
## Searching Directory Listings

- Locating a file in a directory
  - Linear scan
    - Implement a directory cache in software to speed-up search
  - Hash lookup
  - B-tree (100's of thousands entries)

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## Storing file attributes



(a) disk addresses and attributes in directory entry

– FAT

(b) directory in which each entry just refers to an i-node

– UNIX

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## Trade-off in FS block size

- File systems deal with 2 types of blocks
  - Disk blocks or sectors (usually 512 bytes)
  - File system blocks  $512 * 2^N$  bytes
  - What is the optimal N?
- Larger blocks require less FS metadata
- Smaller blocks waste less disk space (less internal fragmentation)
- Sequential Access
  - The larger the block size, the fewer I/O operations required
- Random Access
  - The larger the block size, the more unrelated data loaded.
  - Spatial locality of access improves the situation
- Choosing an appropriate block size is a compromise

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