#### File system internals Tanenbaum, Chapter 4

# COMP3231 Operating Systems

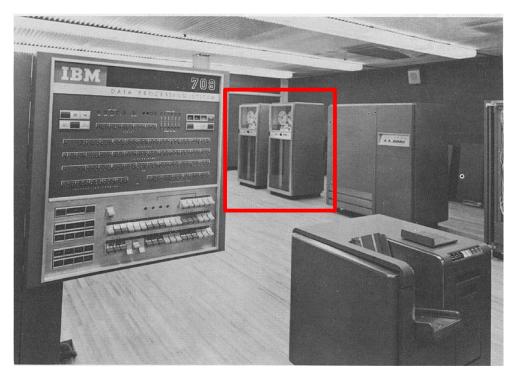


#### Summary of the FS abstraction

User's view	Under the hood
Hierarchical structure	Flat address space
Arbitrarily-sized files	Fixed-size blocks
Symbolic file names	Numeric block addresses
Contiguous address space inside a file	Fragmentation
Access control	No access control
(Some degree of) reliability	Data written to the disk survives OS crashes. RAID provides additional protection against disk crashes.



- Early batch processing systems
  - No OS
  - I/O from/to punch cards
  - Tapes and drums for external storage, but no FS
  - Rudimentary library support for reading/writing tapes and drums



#### IBM 709 [1958]



- The first file systems were singlelevel (everything in one directory)
- Files were stored in contiguous chunks
  - Maximal file size must be known in advance
- Now you can edit a program and save it in a named file on the tape!



#### PDP-8 with DECTape [1965]



- Time-sharing OSs
  - Required full-fledged file systems
- MULTICS
  - Multilevel directory structure (keep files that belong to different users separately)
  - Access control lists
  - Symbolic links

#### Honeywell 6180 running MULTICS [1976]



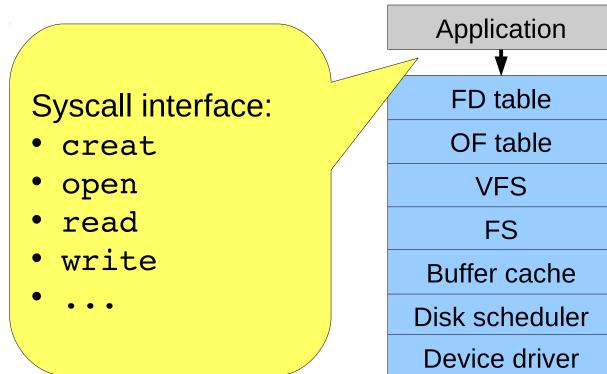


- UNIX
  - Based on ideas from MULTICS
  - Simpler access control model
  - Everything is a file!

PDP-7







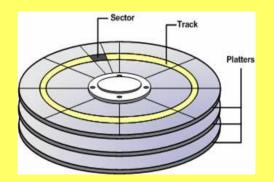


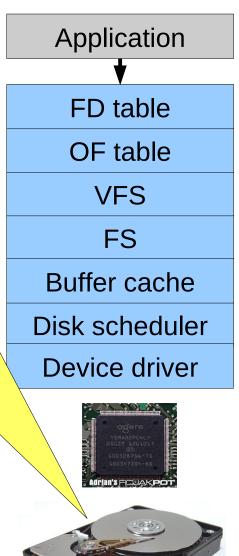




#### Hard disk platters:

- tracks
- sectors



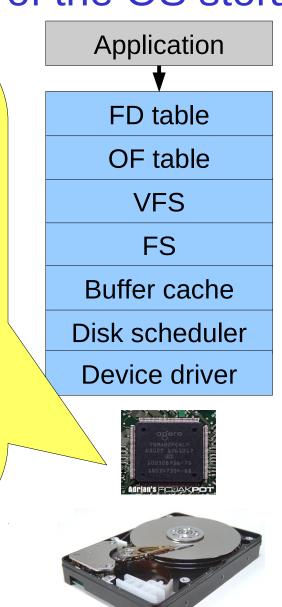




#### Disk controller:

- Hides disk geometry, bad sectors
- Exposes linear sequence of blocks

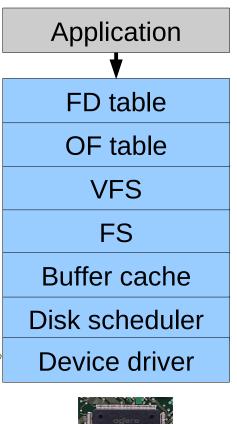
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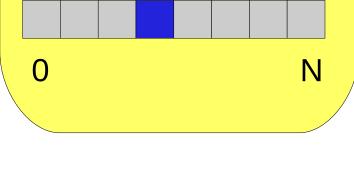


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Device driver:

- Hides device-specific protocol
- Exposes block-device Interface (linear sequence of blocks)







File system:

- Hides physical location of data on the disk
- Exposes: directory hierarchy, symbolic file names, random-access files, protection



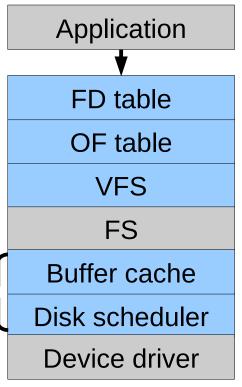






**Optimisations:** 

- Keep recently accessed disk blocks in memory
- Schedule disk accesses from multiple processes for performance and fairness



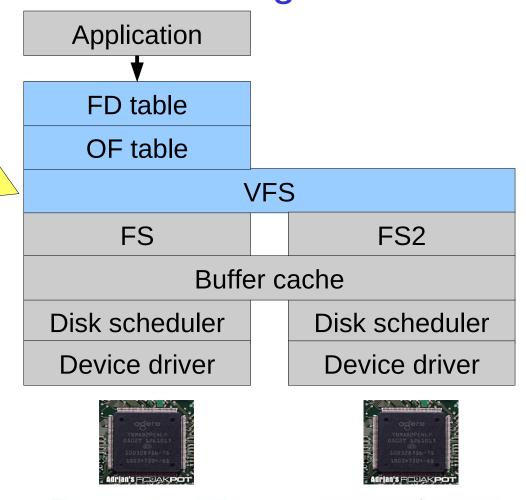






Virtual FS:

 Unified interface to multiple FSs

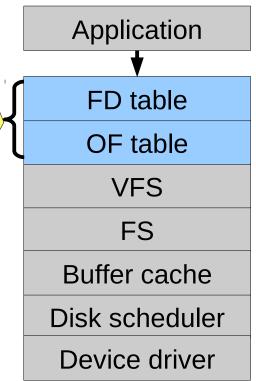






File desctriptor and Open file tables:

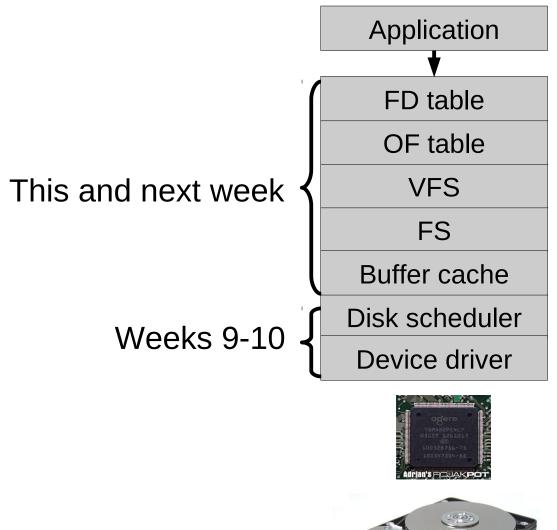
- Keep track of files opened by user-level Processes
- Implement semantics of FS syscalls



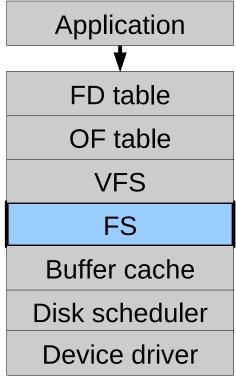


















#### Some popular file systems

- FAT16
- FAT32
- NTFS
- Ext2
- Ext3
- Ext4
- ReiserFS
- XFS
- ISO9660

- HFS+
- UFS2
- ZFS
- JFS
- OCFS
- Btrfs
- JFFS2
- ExFAT
- UBIFS

Question: why are there so many?

## Question 1



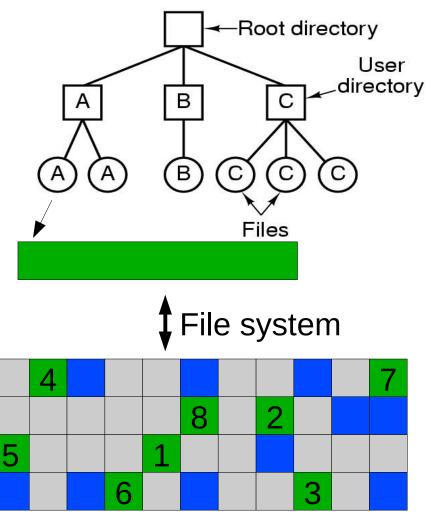
#### Assumptions

- In this lecture we focus on file systems for magnetic disks
  - Rotational delay
    - 8ms worst case for 7200rpm drive
  - Seek time
    - ~15ms worst case
  - For comparison, disk-to-buffer transfer speed of a modern drive is  ${\sim}10\mu s$  per 4K block.
- Conclusion: keep blocks that are likely to be accessed together close to each other



#### Implementing a file system

- The FS must map symbolic file names into block addresses
- The FS must keep track of
  - which blocks belong to which files.
  - in what order the blocks form the file
  - which blocks are free for allocation
- Given a logical region of a file, the FS must track the corresponding block(s) on disk.
  - Stored in file system metadata

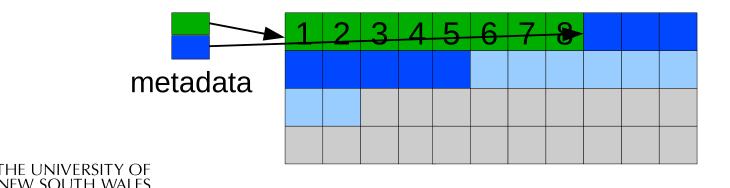




### **Allocation strategies**

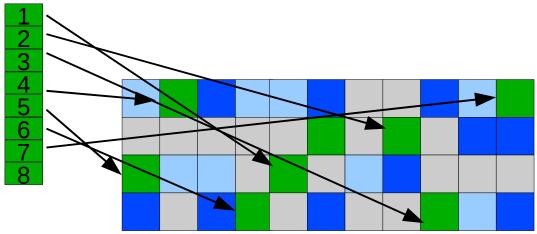
- Contiguous allocation
  - Easy bookkeeping (need to keep track of the starting block and length of the file)
  - Increases performance for sequential operations
  - Need the maximum size for the file at the time of creation
  - As files are deleted, free space becomes divided into many small chunks (external fragmentation)

Example: ISO 9660 (CDROM FS)



## **Allocation strategies**

- Dynamic allocation
  - Disk space allocated in portions as needed
  - Allocation occurs in fixed-size blocks
  - No external fragmentation
  - Does not require pre-allocating disk space
  - Partially filled blocks (internal fragmentation)
  - File blocks are scattered across the disk
  - Complex metadata management (maintain the list of blocks for each file)





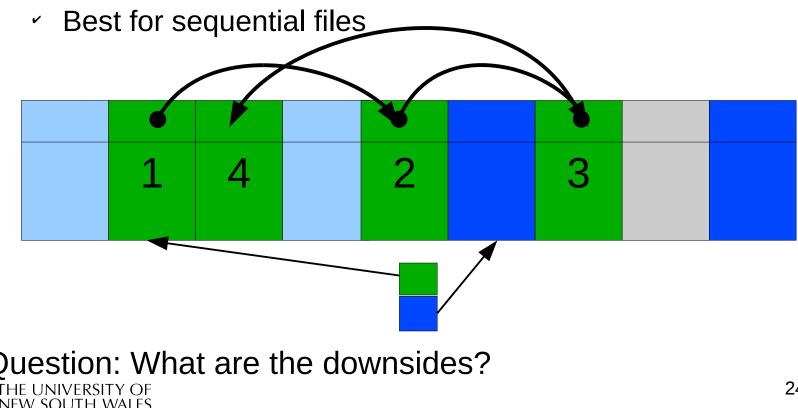
## External and internal fragmentation

- External fragmentation
  - The space wasted external to the allocated memory regions
  - Memory space exists to satisfy a request but it is unusable as it is not contiguous
- Internal fragmentation
  - The space wasted internal to the allocated memory regions
  - Allocated memory may be slightly larger than requested memory; this size difference is wasted memory internal to a partition



#### Linked list allocation

- Each block contains a pointer to the next block in the chain. Free blocks are also linked in a chain.
  - Only single metadata entry per file

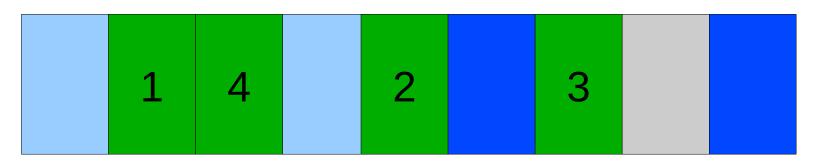


#### Question 2



#### File allocation table

- Keep a map of the entire FS in a separate table
  - A table entry contains the number of the next block of the file
  - The last block in a file and empty blocks are marked using reserved values
- The table is stored on the disk and is replicated in memory
- Random access is fast (following the in-memory list)





Question: any issues with this design? 26

#### Question 3



#### File allocation table

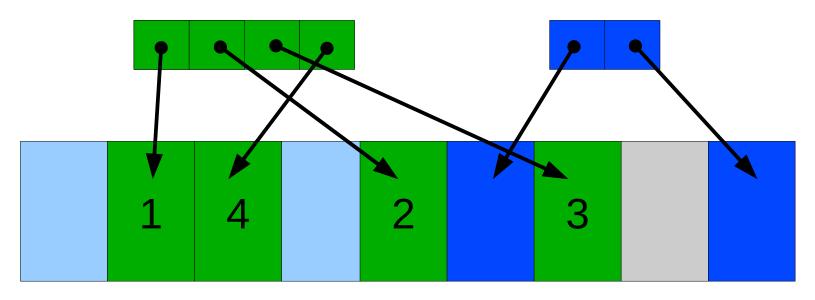
- Examples
  - FAT12, FAT16, FAT32





#### inode-based FS structure

- Idea: separate table (index-node or i-node) for each file.
  - Only keep table for open files in memory
  - Fast random access
- The most popular FS structure today





## i-node implementation issues

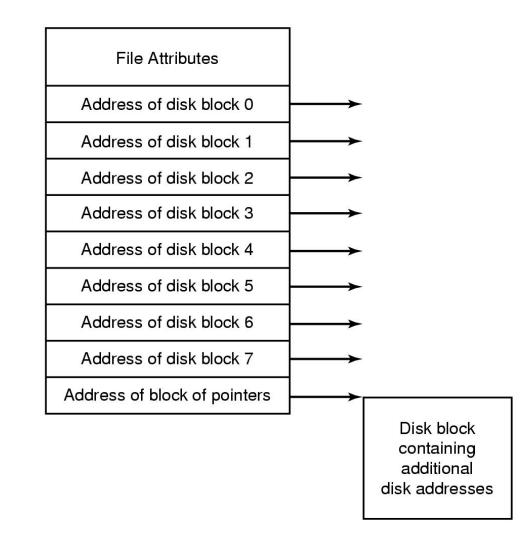
• i-nodes occupy one or several disk areas



- i-nodes are allocated dynamically, hence free-space management is required for i-nodes
  - Use fixed-size i-nodes to simplify dynamic allocation
  - Reserve the last i-node entry for a pointer to an extension i-node



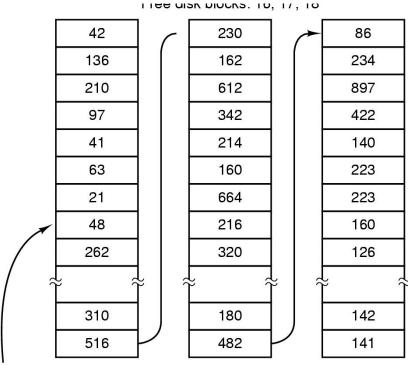
#### i-node implementation issues





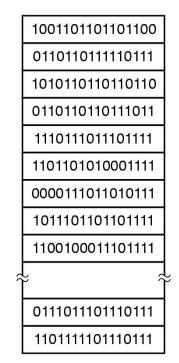
## i-node implementation issues

- Free-space management
  - Approach 1: linked list of free blocks
  - Approach 2: keep bitmaps of free blocks and free i-nodes



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A 1-KB disk block can hold 256 32-bit disk block numbers



A bitmap

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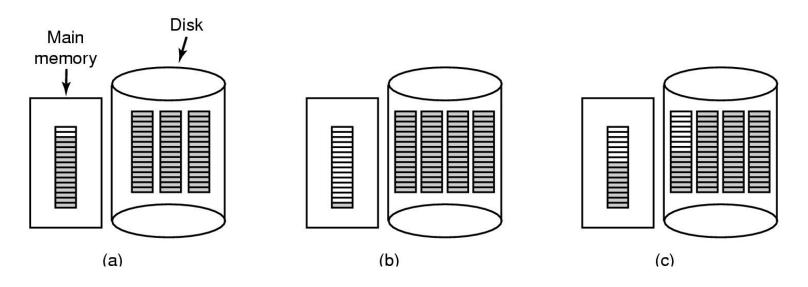


#### Free block list

- List of all unallocated blocks
- Background jobs can re-order list for better contiguity
- Store in free blocks themselves
  - Does not reduce disk capacity
- Only one block of pointers need be kept in the main memory



#### Free block list



(a) Almost-full block of pointers to free disk blocks in RAM

- three blocks of pointers on disk
- (b) Result of freeing a 3-block file
- (c) Alternative strategy for handling 3 free blocks
  - shaded entries are pointers to free disk blocks



#### **Bit tables**

- Individual bits in a bit vector flags used/free blocks
- 16GB disk with 512-byte blocks --> 4MB table
- May be too large to hold in main memory
- Expensive to search
  - But may use a two level table
- Concentrating (de)allocations in a portion of the bitmap has desirable effect of concentrating access
- Simple to find contiguous free space



#### **Implementing directories**

- Directories are stored like normal files
  - directory entries are contained inside data blocks
- The FS assigns special meaning to the content of these files
  - a directory file is a list of directory entries
  - a directory entry contains file name, attributes, and the file i-node number
    - maps human-oriented file name to a system-oriented name



#### Fixed-size vs variable-size directory entries

- Fixed-size directory entries
  - Either too small
    - Example: DOS 8+3 characters
  - Or waste too much space
    - Example: 255 characters per file name
- Variable-size directory entries
  - Freeing variable length entries can create external fragmentation in directory blocks
    - Can compact when block is in RAM

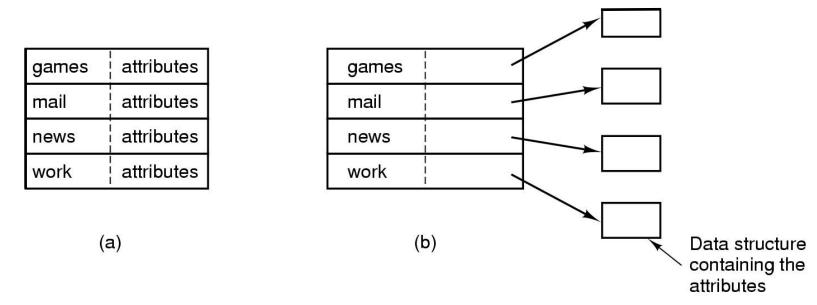


# **Directory listing**

- Locating a file in a directory
  - Linear scan
    - Use a directory cache to speed-up search
  - Hash lookup
  - B-tree (100's of thousands entries)



## Storing file attributes



- (a) disk addresses and attributes in directory entry
  - FAT
- (b) directory in which each entry just refers to an i-node
  - UNIX

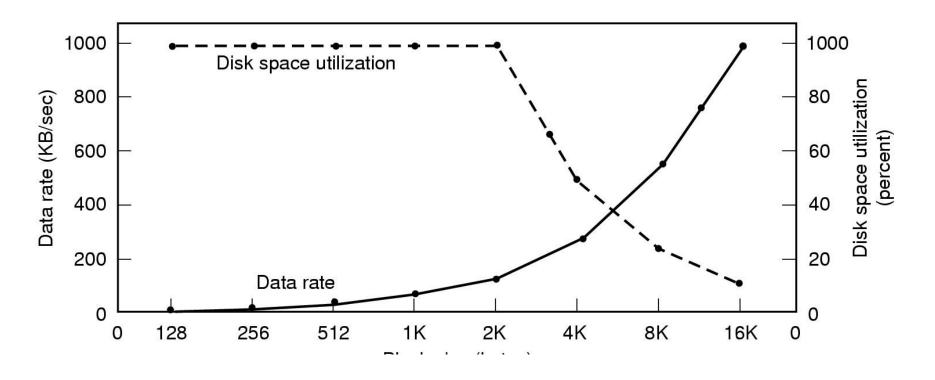


## Trade-off in FS block size

- File systems deal with 2 types of blocks
  - Disk blocks or sectors (usually 512 bytes)
  - File system blocks 512 \* 2<sup>N</sup> bytes
  - What is the optimal N?
- Larger blocks require less FS metadata
- Smaller blocks waste less disk space
- Sequential Access
  - The larger the block size, the fewer I/O operations required
- Random Access
  - The larger the block size, the more unrelated data loaded.
  - Spatial locality of access improves the situation
- Choosing an appropriate block size is a compromise



#### Example block-size trade-off



- Dark line (left hand scale) gives data rate of a disk
- Dotted line (righ-hand scale) gived disk space efficiency
  All files 2KB (an approximate median size)

