Scheduling
What is Scheduling?

– On a multi-programmed system
  • We may have more than one Ready process
– On a batch system
  • We may have many jobs waiting to be run
– On a multi-user system
  • We may have many users concurrently using the system

• The **scheduler** decides who to run next.
  – The process of choosing is called *scheduling*. 
Is scheduling important?

• It is not in certain scenarios
  – If you have no choice
    • Early systems
      – Usually batching
      – Scheduling algorithm simple
        » Run next on tape or next on punch tape
  – Only one thing to run
    • Simple PCs
      – Only ran a word processor, etc.…
    • Simple Embedded Systems
      – TV remote control, washing machine, etc.…
Is scheduling important?

- It is in most realistic scenarios
  - Multitasking/Multi-user System
    - Example
      - Email daemon takes 2 seconds to process an email
      - User clicks button on application.
    - Scenario 1
      - Run daemon, then application
        » System appears really sluggish to the user
    - Scenario 2
      - Run application, then daemon
        » Application appears really responsive, small email delay is unnoticed
  - Scheduling decisions can have a dramatic effect on the perceived performance of the system
    - Can also affect correctness of a system with deadlines
Application Behaviour

- Bursts of CPU usage alternate with periods of I/O wait
a) **CPU-Bound process**
   - Spends most of its computing
   - Time to completion largely determined by received CPU time
b) I/O-Bound process
   - Spend most of its time waiting for I/O to complete
     • Small bursts of CPU to process I/O and request next I/O
   - Time to completion largely determined by I/O request time
Observations

- Generally, technology is increasing CPU speed much faster than I/O speed
  - CPU bursts becoming shorter, I/O waiting is relatively constant
  - Processes are becoming more I/O bound
Observations

- We need a mix of CPU-bound and I/O-bound processes to keep both CPU and I/O systems busy.
- Process can go from CPU- to I/O-bound (or vice versa) in different phases of execution.
Observations

• Choosing to run an I/O-bound process delays a CPU-bound process by very little

• Choosing to run a CPU-bound process prior to an I/O-bound process delays the next I/O request significantly
  – No overlap of I/O waiting with computation
  – Results in device (disk) not as busy as possible

⇒ Generally, favour I/O-bound processes over CPU-bound processes
When is scheduling performed?

- A new process
  - Run the parent or the child?
- A process exits
  - Who runs next?
- A process waits for I/O
  - Who runs next?
- A process blocks on a lock
  - Who runs next? The lock holder?
- An I/O interrupt occurs
  - Who do we resume, the interrupted process or the process that was waiting?
- On a timer interrupt? (See next slide)

- Generally, a scheduling decision is required when a process (or thread) can no longer continue, or when an activity results in more than one ready process.
Preemptive versus Non-preemptive Scheduling

- **Non-preemptive**
  - Once a thread is in the *running* state, it continues until it completes, blocks on I/O, or voluntarily yields the CPU.
  - A single process can monopolise the entire system.

- **Preemptive Scheduling**
  - Current thread can be interrupted by OS and moved to *ready* state.
  - Usually after a timer interrupt and process has exceeded its maximum run time.
    - Can also be as a result of higher priority process that has become *ready* (after I/O interrupt).
  - Ensures fairer service as single thread can’t monopolise the system.
    - Requires a timer interrupt.
Categories of Scheduling Algorithms

• The choice of scheduling algorithm depends on the goals of the application (or the operating system)
  – No one algorithm suits all environments

• We can roughly categorise scheduling algorithms as follows
  – Batch Systems
    • No users directly waiting, can optimise for overall machine performance
  – Interactive Systems
    • Users directly waiting for their results, can optimise for users perceived performance
  – Realtime Systems
    • Jobs have deadlines, must schedule such that all jobs (mostly) meet their deadlines.
Goals of Scheduling Algorithms

• All Algorithms
  – Fairness
    • Give each process a *fair* share of the CPU
  – Policy Enforcement
    • What ever policy chosen, the scheduler should ensure it is carried out
  – Balance/Efficiency
    • Try to keep all parts of the system busy
Goals of Scheduling Algorithms

• Interactive Algorithms
  – Minimise response time
    • Response time is the time difference between issuing a command and getting the result
      – E.g selecting a menu, and getting the result of that selection
    • Response time is important to the user’s perception of the performance of the system.
  – Provide Proportionality
    • Proportionality is the user expectation that short jobs will have a short response time, and long jobs can have a long response time.
    • Generally, favour short jobs
Goals of Scheduling Algorithms

• Real-time Algorithms
  – Must meet deadlines
    • Each job/task has a deadline.
    • A missed deadline can result in data loss or catastrophic failure
      – Aircraft control system missed deadline to apply brakes

– Provide Predictability
  • For some apps, an occasional missed deadline is okay
    – E.g. DVD decoder
  • Predictable behaviour allows smooth DVD decoding with only rare skips
Interactive Scheduling
Round Robin Scheduling

• Each process is given a *timeslice* to run in
• When the timeslice expires, the next process preempts the current process, and runs for its timeslice, and so on
  – The preempted process is placed at the end of the queue
• Implemented with
  – A ready queue
  – A regular timer interrupt
Example

- **5 Process**
  - Process 1 arrives slightly before process 2, etc…
  - All are immediately runnable
  - Execution times indicated by scale on x-axis
Round Robin Schedule

Timeslice = 1 unit
Round Robin Schedule

Timeslice = 3 units
Round Robin

• Pros
  – Fair, easy to implement

• Con
  – Assumes everybody is equal

• Issue: What should the timeslice be?
  – Too short
    • Waste a lot of time switching between processes
    • Example: timeslice of 4ms with 1 ms context switch = 20% round robin overhead
  – Too long
    • System is not responsive
    • Example: timeslice of 100ms
      – If 10 people hit “enter” key simultaneously, the last guy to run will only see progress after 1 second.
    • Degenerates into FCFS if timeslice longer than burst length
Priorities

• Each Process (or thread) is associated with a priority
• Provides basic mechanism to influence a scheduler decision:
  – Scheduler will always chooses a thread of higher priority over lower priority
• Priorities can be defined internally or externally
  – Internal: e.g. I/O bound or CPU bound
  – External: e.g. based on importance to the user
Example

- 5 Jobs
  - Job number equals priority
  - Priority 1 > priority 5
  - Release and execution times as shown

- Priority-driven preemptively scheduled
Example

- J1
- J2
- J3
- J4
- J5
Example
Example

J1 J2 J3

J4 J5
Example
Example
Example

J1

J2

J3

J4

J5
Example

J1
J2
J3
J4
J5

0 2 4 6 8 10 12 14 16 18 20
Example
Example
Example
Example
Example
Example
Example
Example
Example
Priorities

- Usually implemented by multiple priority queues, with round robin on each queue
- Con
  - Low priorities can starve
    - Need to adapt priorities periodically
      - Based on ageing or execution history
Traditional UNIX Scheduler

- Two-level scheduler
  - High-level scheduler schedules processes between memory and disk
  - Low-level scheduler is CPU scheduler
- Based on a multi-level queue structure with round robin at each level
Traditional UNIX Scheduler

- The highest priority (lower number) is scheduled
- Priorities are re-calculated once per second, and re-inserted in appropriate queue
  - Avoid starvation of low priority threads
  - Penalise CPU-bound threads
Traditional UNIX Scheduler

- **Priority** = \( CPU_{usage} + nice + base \)
  - **CPU\_usage** = number of clock ticks
    - Decays over time to avoid permanently penalising the process
  - **Nice** is a value given to the process by a user to permanently boost or reduce its priority
    - Reduce priority of background jobs
  - **Base** is a set of hardwired, negative values used to boost priority of I/O bound system activities
    - Swapper, disk I/O, Character I/O

```
<table>
<thead>
<tr>
<th>Priority Level</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Highest</td>
<td>Waiting for disk I/O</td>
</tr>
<tr>
<td>-4</td>
<td>Waiting for disk buffer</td>
</tr>
<tr>
<td>-3</td>
<td>Waiting for terminal input</td>
</tr>
<tr>
<td>-2</td>
<td>Waiting for terminal output</td>
</tr>
<tr>
<td>-1</td>
<td>Waiting for child to exist</td>
</tr>
<tr>
<td>0</td>
<td>User priority 0</td>
</tr>
<tr>
<td>1</td>
<td>User priority 1</td>
</tr>
<tr>
<td>2</td>
<td>User priority 2</td>
</tr>
<tr>
<td>3</td>
<td>User priority 3</td>
</tr>
<tr>
<td>Lowest</td>
<td>...</td>
</tr>
</tbody>
</table>
```

Process waiting in kernel mode

Process waiting in user mode

Process queued on priority level 3
Real-time Scheduling
Real Time Scheduling

• Correctness of the system may depend not only on the logical result of the computation but also on the time when these results are produced, e.g.
  – Tasks attempt to control events or to react to events that take place in the outside world
  – These external events occur in real time and processing must be able to keep up
  – Processing must happen in a timely fashion,
    • neither too late, nor too early
Real Time System (RTS)

- RTS accepts an activity $A$ and guarantees its requested (timely) behaviour $B$ if and only if
  - RTS finds a schedule
    - that includes all already accepted activities $A_i$ and the new activity $A$,
    - that guarantees all requested timely behaviour $B_i$ and $B$, and
    - that can be enforced by the RTS.
- Otherwise, RT system rejects the new activity $A$. 
Typical Real Time Systems

– Control of laboratory experiments
– Robotics
– (Air) Traffic control
– Controlling Cars / Trains/ Planes
– Telecommunications
– Medical support (Remote Surgery, Emergency room)
– Multi-Media

• Remark: Some applications may have only soft-real time requirements, but some have really hard real-time requirements
Hard-Real Time Systems

- **Requirements:**
  - **Must always meet all deadlines** (time guarantees)
  - You have to guarantee that in any situation these applications are done in time, otherwise dangerous things may happen

**Examples:**

1. If the landing of a fly-by-wire jet cannot react to sudden side-winds within some milliseconds, an accident might occur.
2. An airbag system or the ABS has to react within milliseconds
**Soft-Real Time Systems**

Requirements:  
Must *mostly* meet all deadlines, e.g. 99.9% of cases

Examples:  
1. Multi-media: 100 frames per day might be dropped (late)
2. Car navigation: 5 late announcements per week are acceptable
3. Washing machine: washing 10 sec over time might occur once in 10 runs, 50 sec once in 100 runs.
Predictability, not Speed

• Real time systems are NOT necessarily fast
• Real time systems can be slow, as long as they are predictably so.
  – It does not matter how fast they are, as long as they meet their deadlines.
Properties of Real-Time Tasks

- To schedule a real time task, its properties must be known \textit{a priori}.
- The most relevant properties are:
  - Arrival time (or release time) $a_i$.
  - Maximum execution time (service time).
  - Deadline $d_i$. 

![Diagram showing real-time task properties](image)
Categories of Real time tasks

• Periodic
  – Each task is repeated at a regular interval
  – Max execution time is the same each period
  – Arrival time is usually the start of the period
  – Deadline is usually the end

• Aperiodic (and sporadic)
  – Each task can arrive at any time
Real-time scheduling approaches

• **Static table-driven scheduling**
  – Given a set of tasks and their properties, a schedule (table) is precomputed offline.
    • Used for periodic task set
    • Requires entire schedule to be recomputed if we need to change the task set

• **Static priority-driven scheduling**
  – Given a set of tasks and their properties, each task is assigned a fixed priority
  – A preemptive priority-driven scheduler used in conjunction with the assigned priorities
    • Used for periodic task sets
Real-time scheduling approaches

• Dynamic scheduling
  – Task arrives prior to execution
  – The scheduler determines whether the new task can be admitted
    • Can all other admitted tasks and the new task meet their deadlines?
      – If no, reject the new task
  – Can handle both periodic and aperiodic tasks
Scheduling in Real-Time Systems

• We will only consider periodic systems

Schedulable real-time system

• Given
  – \( m \) periodic events
  – event \( i \) occurs within period \( P_i \) and requires \( C_i \) seconds

• Then the load can only be handled if

\[
\sum_{i=1}^{m} \frac{C_i}{P_i} \leq 1
\]
Two Typical Real-time Scheduling Algorithms

• Rate Monotonic Scheduling
  – Static Priority priority-driven scheduling
  – Priorities are assigned based on the period of each task
    • The shorter the period, the higher the priority

• Earliest Deadline First Scheduling
  – The task with the earliest deadline is chosen next
A Scheduling Example

- Three periodic Tasks
Is the Example Schedulable

\[ \sum_{i=1}^{m} \frac{C_i}{P_i} \leq 1 \]

\[ \frac{10}{30} + \frac{15}{40} + \frac{5}{50} = 0.808 \]

• YES
Two Schedules: RMS and EDF

Diagram showing the comparison of RMS and EDF schedules with tasks A, B, and C.
Let’s Modify the Example Slightly

• Increase A’s CPU requirement to 15 msec
• The system is still schedulable

\[
\frac{15}{30} + \frac{15}{40} + \frac{5}{50} = 0.975
\]

A1

A2

A3

A4

A5

B1

B2

B3

B4

C1

C2

C3
RMS and EDF
RMS failed, why?

- It has been proven that RMS is only guaranteed to work if the CPU utilisation is not too high
  - For three tasks, CPU utilisation must be less than 0.780
- We were lucky with our original example

\[ \sum_{i=1}^{m} \frac{C_i}{P_i} \leq m(2^{\frac{1}{m}} - 1) \]
EDF

- EDF always works for any schedulable set of tasks, i.e. up to 100% CPU utilisation

Summary

- If CPU utilisation is low (usual case, due to safety factor in estimating execution times)
  - Can use RMS which is simple and easy to implement
- If CPU utilisation is high
  - Must use EDF